

AGGREGATES

Section 7 – Quality Assurance and Quality Acceptance

Section 7 - 1

Quality Assurance

➤ **Definition:** a systematic method for sampling, testing and evaluating material to assure specification compliance. Includes incentives and disincentives

➤ **Composed of:**

- ▶ Quality Control (QC)
- ▶ Quality Acceptance (QA)

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Quality Assurance (continued)

➤ **Quality Control** – A systematic procedure to sample, test and monitor production. Generally a contractor responsibility.

➤ **Quality Acceptance** – A statistical method for evaluating compliance.

- ▶ **Gradation** – “Percent within limits” approach
 - Quality Index > Quality Level > Pay Factor
- ▶ **Compaction** – “Percent above limit” approach
 - Quality Index > Pay Factor

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| Acceptance Methods | |
|---|--|
| <ul style="list-style-type: none">▶ Representative Sample - Traditional<ul style="list-style-type: none">▶ Pass-Fail▶ Limited Information▶ Ex.30 pound Sample for 1000 tons, How much material is really out-of-specification?<ul style="list-style-type: none">• 2,000,000 lbs/30lbs = 66,667 samples▶ No Information on Variability | |

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| Acceptance Methods (continued) | |
|--|--|
| <ul style="list-style-type: none">▶ Sample Average<ul style="list-style-type: none">▶ 5 (3 to 7) Gradation Samples▶ Pass-Fail based on multiple Samples & percent within limits▶ Shows Distribution▶ Rewards Consistency in Practice▶ Use Tighter Specs for Job Mix Design | |

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| Acceptance Methods (continued) | |
|--|--|
| <p>Example - Grading A Plant Mix Paving</p> <p>Normal Range for #4 is 45-65%</p> <p>Have Reduced Acceptance Limits on Specific Jobs based on JMF Target \pm 5%,</p> <p>For example $52 \pm 5\%$ (47 – 52 – 57)</p> <p>So, the Narrow Band is: Upper Specification Limit = 57 Lower Specification Limit = 47</p> | |

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Acceptance Methods (continued)

- **Statistical Method**
 - ▶ Determine \bar{x} and s from data
 - ▶ Define Material Assuming It Has a "Normal Probability" Distribution
 - ▶ Contractor Gets Paid for % of Materials within the Upper and Lower Specification Limits

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Acceptance Methods (continued)

- Historically, basis from W. Edwards Deming (A Wyoming native from Cody and Powell, UW Graduate in Engineering in 1921)
- WYDOT has used procedure since
 - ▶ 1984 in field
 - ▶ 1974 in lab
- How does the System work?

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Quality Acceptance for Gradations

- For Gradations, Quality is based on the "Percentage of aggregate within specification limits".
- Example:
 - ▶ **Grading W**
 - #4 - 45-65%
 - #8 - 33-53%
 - #200 - 3-12%
 - ▶ **Based on - #4**
 - If all of the stockpile was between 45% and 65%, Full Pay

Table 803.4.4-1 Section 4-9

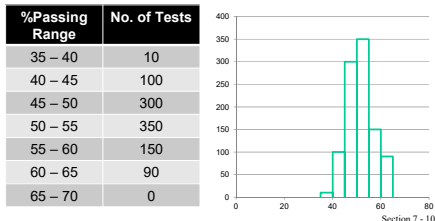
Gradation Requirements: Subbase and Base

| Sieve | Grading | | | | |
|----------|-----------|-------|--------|-------|-------|
| | J | GR | L | K | W |
| | Passing % | | | | |
| 2 in | 100 | - | - | - | - |
| | 90- | | | | |
| 1 1/2 in | 100 | - | 100 | 100 | 100 |
| | | | | 90- | 90- |
| 1 in | - | 100 | 90-100 | 100 | 100 |
| | | 90- | | | |
| 3/4 in | - | 100 | - | - | - |
| | | | 65-85 | 60-85 | 60-85 |
| 1/2 in | - | - | - | - | - |
| | | | | | |
| 3/8 in | - | - | - | - | - |
| | 35-75 | 50-78 | 35-55 | 40-45 | 45-65 |
| No. 4 | - | 37-67 | 25-50 | 30-55 | 33-55 |
| No. 8 | - | 13-35 | 10-30 | - | - |
| No. 30 | - | 0-15 | 4-15 | 3-15 | 3-12 |
| No. 200 | | | | | |

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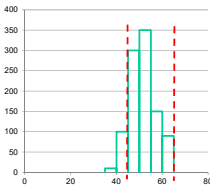
Quality Acceptance for Gradations

- How do we determine if a stockpile has between 45% and 65% passing the #4?
- We could go out and obtain 1000 samples and test them. This would give a very accurate picture of the #4 fraction of the pile.
- We could draw a picture of this distribution of #4 values, shown as the green boxes.



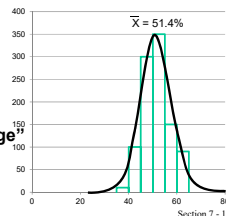
Quality Acceptance for Gradations

- The red lines are the upper and lower specification limits of 45% and 65%
- There are 110 samples out of 1000 (11%) that fall outside of the limits.
- Since Quality is defined as the percentage of material within the specification limits, The Contractor would be paid for 89% of the material.



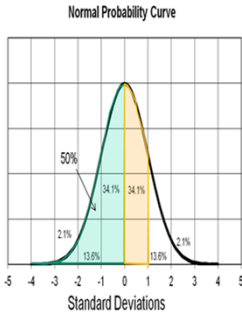
Quality Acceptance for Gradations

- However, it is not feasible to obtain 1000 samples of a pile, so another technique is needed.
- If the width of the bins was smaller, say 2% instead of 5%, we would see a much smoother curve develop.
- It has a "bell" like shape and is known as the "Normal Probability Distribution Curve"
- It can be defined by two values, the "average" or "mean" and the "standard deviation".



Properties of the Normal Probability Curve

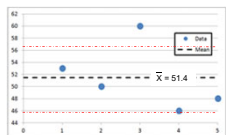
- > The total area under the curve is 100%, which means that all the tests will be included under the curve mathematically.
- > The \bar{x} value at the peak is the mean or average value. Half the area is above the mean and half is below.
- > 34.1% of the area is under the curve from zero to one Standard Deviation.
- > About 2/3 of the data is between +1 and -1 SD
- > 84.1% (50% + 34.1%) of the area is below the curve and less than 1.0 Standard Deviation.
- > We will assume this curve represents the pile.



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Quality Assurance

> Let's select 5 values out of the 1000 tests.



| X | (x - \bar{x}) | (x - \bar{x}) ² |
|---|----------------------|-------------------------------|
| 3 | = 53.0 - 51.4 = 1.6 | = 1.6 * 1.6 = 2.56 |
| 2 | = 50.0 - 51.4 = -1.4 | = -1.4 * -1.4 = 1.96 |
| 2 | = 50.0 - 51.4 = -1.4 | = -1.4 * -1.4 = 1.96 |
| 1 | = 46.0 - 51.4 = -5.4 | = -5.4 * -5.4 = 29.16 |
| 3 | = 48.0 - 51.4 = -3.4 | = -3.4 * -3.4 = 11.56 |

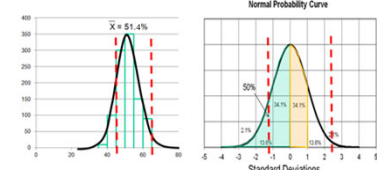
Sum = 257.0 $\sum(x - \bar{x}) = 0.0$ $\sum(x - \bar{x})^2 = 119.2$

Mean = 257.0/5 = **51.4** = \bar{x} Doesn't Help $s = \sqrt{\frac{119.2}{5-1}} = 5.46$

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Quality Assurance

- > We now have the Mean ($\bar{x} = 51.4$) and Standard Deviation ($s = 5.46$) to describe the "Normal Probability Distribution Curve"
- > We need to relate the area under the curves in both diagrams.
- > The upper and lower Specification Limits are $SL_u = 65$ and $SL_l = 45$.



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> We can relate the specification limits to the standard deviations by the Quality Index.

$$\text{Upper Quality Index} = Q_u = \frac{SL_u - \bar{x}}{s} = \frac{65 - 51.4}{5.46} = 2.49$$

$$\text{Lower Quality Index} = Q_l = \frac{\bar{x} - SL_l}{s} = \frac{51.4 - 45}{5.46} = 1.17$$

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> Remember, we are trying to determine the percentage of material between the upper and lower specification limits.

> The area less than the upper specification limit is a function of the Upper Quality Index, $Q_u = 2.49$.

> Table 113.1-1 relates Quality Indices to the remaining area, P_u .

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Table 113.1-1

Quality Level Analysis by the Standard Deviation Method

| P_u or P_L , percent Within Limits for Positive Values of Q_u or Q_L | Upper Quality Index Q_u or Lower Quality Index Q_L | | | | |
|--|--|-------|-------|-------|-------|
| | $n=3$ | $n=4$ | $n=5$ | $n=6$ | $n=7$ |
| 100 | 1.16 | 1.50 | 1.73 | 2.03 | 2.23 |
| 99 | 1.47 | 1.64 | 1.87 | 2.19 | 2.39 |
| 98 | 1.15 | 1.44 | 1.60 | 1.70 | 1.76 |
| 97 | 1.41 | 1.54 | 1.62 | 1.67 | 1.71 |
| 96 | 1.14 | 1.38 | 1.49 | 1.55 | 1.59 |
| 95 | 1.35 | 1.44 | 1.49 | 1.52 | 1.52 |
| 94 | 1.13 | 1.32 | 1.39 | 1.43 | 1.46 |
| 93 | 1.29 | 1.35 | 1.38 | 1.40 | 1.40 |
| 92 | 1.12 | 1.26 | 1.31 | 1.33 | 1.35 |
| 91 | 1.11 | 1.23 | 1.27 | 1.29 | 1.30 |
| 90 | 1.10 | 1.20 | 1.23 | 1.24 | 1.25 |
| 89 | 1.09 | 1.17 | 1.20 | 1.20 | 1.20 |
| 88 | 1.07 | 1.14 | 1.16 | 1.16 | 1.16 |
| 87 | 1.06 | 1.11 | 1.12 | 1.12 | 1.12 |
| 86 | 1.04 | 1.08 | 1.09 | 1.09 | 1.09 |
| 85 | 1.03 | 1.05 | 1.05 | 1.04 | 1.04 |
| 84 | 1.01 | 1.02 | 1.01 | 1.01 | 1.00 |
| 83 | 1.00 | 0.99 | 0.98 | 0.97 | 0.97 |
| 82 | 0.97 | 0.96 | 0.95 | 0.94 | 0.93 |
| 81 | 0.96 | 0.93 | 0.91 | 0.90 | 0.90 |
| 80 | 0.93 | 0.90 | 0.88 | 0.87 | 0.86 |
| 79 | 0.91 | 0.87 | 0.85 | 0.84 | 0.83 |
| 78 | 0.89 | 0.84 | 0.83 | 0.80 | 0.80 |
| 77 | 0.87 | 0.81 | 0.78 | 0.77 | 0.76 |
| 76 | 0.84 | 0.78 | 0.75 | 0.74 | 0.73 |
| 75 | 0.82 | 0.75 | 0.72 | 0.71 | 0.70 |
| 74 | 0.79 | 0.72 | 0.69 | 0.68 | 0.67 |
| 73 | 0.76 | 0.69 | 0.66 | 0.65 | 0.64 |
| 72 | 0.74 | 0.66 | 0.63 | 0.62 | 0.61 |
| 71 | 0.71 | 0.63 | 0.60 | 0.59 | 0.58 |
| 70 | 0.68 | 0.60 | 0.57 | 0.56 | 0.55 |
| 69 | 0.65 | 0.57 | 0.54 | 0.53 | 0.52 |
| 68 | 0.62 | 0.54 | 0.51 | 0.50 | 0.49 |
| 67 | 0.59 | 0.51 | 0.47 | 0.46 | 0.46 |
| 66 | 0.56 | 0.48 | 0.45 | 0.44 | 0.44 |

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Pay Factor Calculations

- Finally, we use the Quality Level to determine **Pay Factors** for the contractor.
- Table 113.1-2 in the Standard Specifications Book shows a Pay Factor of 1.03 for a QL = 89%.

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Table 113.1-2 Pay Factors

| Pay Factor | Required Quality Level for a Given Sample Size n and Pay Factor | | | | |
|------------|---|-------|-------|-------|-------|
| | n = 3 | n = 4 | n = 5 | n = 6 | n = 7 |
| 1.05 | 100 | 100 | 100 | 100 | 100 |
| 1.04 | 90 | 91 | 92 | 93 | 93 |
| 1.03 | 80 | 80 | 87 | 88 | 89 |
| 1.02 | 75 | 80 | 83 | 85 | 86 |
| 1.01 | 71 | 77 | 80 | 82 | 84 |
| 1.00 | 64 | 74 | 78 | 80 | 81 |
| 0.99 | 46 | 72 | 75 | 77 | 79 |
| 0.98 | 54 | 70 | 73 | 75 | 77 |
| 0.97 | 62 | 68 | 71 | 74 | 75 |
| 0.96 | 60 | 66 | 69 | 72 | 73 |
| 0.95 | 59 | 64 | 68 | 70 | 72 |
| 0.94 | 57 | 63 | 66 | 68 | 70 |
| 0.93 | 56 | 61 | 65 | 67 | 69 |
| 0.92 | 55 | 60 | 63 | 65 | 67 |
| 0.91 | 53 | 58 | 62 | 64 | 66 |
| 0.90 | 52 | 57 | 60 | 63 | 64 |
| 0.89 | 51 | 55 | 59 | 61 | 63 |
| 0.88 | 50 | 54 | 57 | 60 | 62 |
| 0.87 | 48 | 53 | 56 | 58 | 60 |
| 0.86 | 47 | 51 | 55 | 57 | 59 |
| 0.85 | 46 | 50 | 53 | 56 | 58 |
| 0.84 | 45 | 49 | 52 | 55 | 56 |
| 0.83 | 44 | 48 | 51 | 53 | 55 |
| 0.82 | 42 | 46 | 50 | 52 | 54 |
| 0.81 | 41 | 45 | 48 | 51 | 53 |
| 0.80 | 40 | 44 | 47 | 50 | 52 |
| 0.79 | 38 | 43 | 46 | 48 | 50 |
| 0.78 | 37 | 41 | 45 | 47 | 49 |
| 0.77 | 36 | 40 | 43 | 46 | 48 |
| 0.76 | 34 | 38 | 42 | 45 | 47 |
| 0.75 | 33 | 38 | 41 | 44 | 46 |

- Maximum Pay Factor
- Base and Subbase – 1.00
- Treated Base – 1.00
- Plant Mix Pavement – 1.05
- Plant Mix Wearing Course – 1.05
- Seal Coat Aggregate – 1.05
- PCCP – 1.00

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Pay Factor Calculation (continued)

TERMS

- x – an individual test value
- Σx – the summation of test values
- \bar{x} – the average of a series of test values
- N – the number of test values
- s – the standard deviation
- SL_U – the upper specification limit
- SL_L – the lower specification limit
- Q_U – the Upper Quality Index
- Q_L – the Lower Quality Index
- P_U – the percent of material within SL_U
- P_L – the percent of material within SL_L
- QL - Quality Level – the total percent of material within specifications

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| Pay Factor (continued) | |
|--|--|
| <ul style="list-style-type: none">➤ Reject material removed➤ Obviously defective material – rejected and removed➤ 2 Consecutive Lots < 1.00 PF – Adjustments➤ Contractor may remove and replace to avoid penalty | |

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| Pay Factor Calculations | |
|--|--|
| <ul style="list-style-type: none">➤ 100 Tons of Plant Mix Aggregate➤ \$15.00 per Ton➤ Minimum Payment Adjustment Factor PAF = +0.02➤ Regular Payment = (100 Tons)(\$15.00/Ton) = \$1500➤ Bonus for Quality Aggregate = (+0.02)(\$1500) = \$30.00➤ Total Payment = \$1530.00 | |

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| Maximum Pay Factors | |
|--|--|
| <ul style="list-style-type: none">➤ Base and Subbase – 1.00➤ Treated Base – 1.00➤ Plant Mix Pavement – 1.05➤ Plant Mix Wearing Course – 1.05➤ Seal Coat Aggregate – 1.05➤ PCCP – 1.00 | |

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