ERSITY OF 1 UNIVERSITY OF WYOMING REGULATIONS 2 3 4 Subject: Appointment, Evaluation and Removal of Academic EQUALITY 5 Administrators 1886 6 Number: UW Regulation 2-8 7 8 9 **PURPOSE** I. 10 11 To provide consistent definitions of academic administrative structures and positions, and to describe terms of appointments and general provisions regarding academic 12 13 officersadministrators. 14 15 **II**. **DEFINITIONS** 16 17 Academic AdministratorOfficer: [TBB1] An academic administrator encompasses those who serves in the Office of Academic Affairs, including the Those Officers 18 19 designated in UW Regulation 1-1 as University or Academic officers who are under the 20 authority of and who reports directly to the PProvost and Vice President of Academic Affairs, . Academic Officers have a variety of titles at the University, including Vice 21 22 Provost, and Associate Vice Provosts, as well as those academic officers, Dean, Executive 23 Director, and Director. 24 Academic Administrator: The academic administrators encompass administrative 25 officers and academic officers as defined herein, including Academic Administrative 26 Officers, Executive Directors/Directors, Deans, Associate and Assistant Deans, and Units 27 Heads. 28 29 Academic Unit: A department, center, institute, school, college or other academic division 30 to which University faculty are assigned under the aegis of the Provost and Vice President for Academic Affairs. 31 32 Associate or Assistant Dean: An academic officer-administrator who reports directly to 33 the Dean. The scope of duties may vary depending on the organizational structure and 34 complexity of the college. An Associate Dean shall be a faculty member and may have 35 the authority to act for the Dean in a broad range of areas. The Assistant Dean title may be held by a faculty member, senior staff member or administrative professional, and 36 37 generally has a narrower scope of duties than the Associate Dean.

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- At-Will: An at-will appointment allows either the employee or the University to terminate
 , or the employer to end the employment relationship at any time for any reason or for no
 reasonexcept an unlawful one.
- 41 Dean: The chief academic administrator for the College, School, Branch Campus or UW
 42 Libraries and who is under the authority of and reports directly to the Provost and Vice
 43 President of Academic Affairs.
- 44 Executive Director/Director: The academic administrator who is under the authority of
 45 and who reports directly to the Provost and Vice President of Academic Affairs
- 46 Unit Head: An academic administratro, whether designated as head, chairperson, division
 47 director, dean or director of a school within a college, or similar title, with responsibility
 48 for the leadership and management of an academic unit other than a college of the
 49 University or the Haub School of Environment and Natural Resources.
- 50
- 51Unit Head: An academic officer, whether designated as head, chairperson, division52director, dean or director of a school within a college, or similar title, with responsibility53for the leadership and management of an academic unit other than a college of the54University or the Haub School of Environment and Natural Resources.

55.III. POLICY [TBB2]

The Trustees of the University of Wyoming observe a policy of administrative 56 accountability, through the President, for the effective operation and distinctive quality of 57 58 the University. The President is responsible for recommending the appointment or removal 59 of all administrative and Academic Oofficers of the University, including of the Provost and Vice President of Academic Affairs, Deans, and the Executive Director of the School 60 of Energy Resources; Vice Provost, Associate Vice Provosts and Deans, and assuring 61 62 regular evaluation of their performance. All such University officers serve at the pleasure of the Trustees and may be removed, upon recommendation of the President, at any time 63 and for any reason that is not illegal. [TBB3] 64

65 Academic administrators College and unit level officers administrators -serve several roles as managers of the university, leaders of their academic units, and representatives of the 66 67 views and interests of their academic colleagues. Therefore, the appointment, evaluation 68 or removal of aAacademic administrators Officers administrators by the President normally shall involve significant faculty participation. This Regulation sets forth the 69 70 process for personnel treatment of academic administrators and establishes the manner in 71 which faculty participation is anticipated in the process on a timely and consistent 72 basis.[TBB4]

 73 IV.
 H.
 TERMS OF APPOINTMENT

 74H.I.
 The at-will
 DEFINITIONS

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15	As used in this regulation:
76	Academic Administrators: Those University Officers designated in UW Regulation 1-1
77	as academic officers to whom academic units report.
78	Academic Unit: means a department, center, institute, school, college or other academic
79	division to which University faculty are assigned under the aegis of the Vice President for
80	Academic Affairs.
81	Advisory Committee: A committee normally composed of a majority of faculty in the
82	affected academic unit, appointed by a reviewing officer, formed to advise on the selection
83	or review of an academic administrator, and including such non faculty members (e.g.,
84	academic professionals, staff, students, or external advisors) deemed appropriate by the
85 86	reviewing officer. The reviewing officer may serve on any such Advisory Committee or may designate any representative to serve as a non-voting ex-officio member.
80	hay designate any representative to serve as a non-voting ex-ornero member.
87	Annual Review: The performance assessment of each academic administrator carried out
88	each year by his or her reviewing officer prior to the assignment of any merit increment,
89	with a written summary prepared for both parties.
90	Five Year Review: The comprehensive review of performance and overall effectiveness
91	of an academic administrator conducted five years following appointment by a reviewing
92	officer from the point of academic administrators view of the University and of the unit,
93	with advice on the latter by an advisory committee.
94 95	Reviewing Officer: The President, a vice president or a dean with authority to evaluate an academic administrator and recommend his or her appointment or removal.
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	dedefine doministrator and recommend mis of her appointment of removal.
96	Special Review: The review of the performance and overall effectiveness of an academic
97	administrator which may be conducted at any time by a reviewing officer and, in the case
98	of unit heads, upon request of a majority of the unit faculty accepted by the reviewing
99	officer.
100	Unit Head: The academic administrator, whether designated as head, chairman, director
101	or similar title, with responsibility for the leadership and management of an academic unit
102	other than a college of the University.
103	University Faculty: Voting members of the University Faculty as defined by UW
104	Regulation 1–1.
105	Vice President: The Vice President for Academic Affairs, Vice President for Research or
106	other principal officer of the university to whom academic units report and to whom the
107	provisions of this regulation apply.
108	HI. APPOINTMENT

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- Appointment of academic administrators shall be approved by and incumbents shall serve
 at the pleasure of the Trustees upon recommendation of the President.
- 111A. The at-pleasure appointment of Unit HeadsOfficer shall be for a defined term112(usually three to fivefour years) to be specified at the time of appointment.
- **B.** The appropriate dean or vice president may provide a nomination for the appointment of a department head; however personal review and individual recommendations of such appointments by the President shall be required.
- 116 IV. SELECTION [TBB5]

117 Unless otherwise approved by the President or the Trustees, selection of academic administrators normally shall be pursuant to a national or, as appropriate, an international, 118 119 search by the appropriate reviewing officer in accordance with University equal 120 employment opportunity principles and with the significant participation of an advisory 121 committee. Any advisory committee for the selection of a dean shall include at least one 122 member Terms may be renewed, contingent upon University or college need, adequate 123 funding for the position, and satisfactory job performance. Academic Aadministrators who 124 is not employed by any university and who has knowledge, training, and experience in a 125 discipline taught within that college. The recommendations of any advisory committee or 126 the direct consultation with unit faculty required in this section IV shall not limit the 127 authority of the Provost/Vice President for Academic Affairs, the President, or the Board 128 of Trustees with regard to the selection of a dean or any other academic administrator. hold 129 an academic appointment retain their rights to tenure or term appointment upon return to 130 the faculty.

- 131 **A.** The selection process must include direct consultation with unit faculty.
- **B.** A selection process may be limited to internal candidates with prior authorization
 by the President.
- 134C.Consultation on the internal appointment or reappointment of a unit head may be135limited to direct consultation with unit faculty.
- 136 D. No individual shall be appointed as an academic administrator unless he or she
 137 qualifies for tenure and, on appointment, has been recommended for tenure by the
 138 appropriate faculty.
- 139 V. The at-will appointment of an Associate or Assistant Dean and Academic Unit
 140 Head shall be for a defined term (usually three years) to be specified at the time of
 141 appointment. Terms may be renewed, contingent upon University, college and academic
 142 unit need, adequate funding for the position, and satisfactory job performance. Rights
 143 associated with holding a tenured faculty appointment are retained.

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Appointments, reappointments, review and dismissal of Academic Administrators, College
 Administrators, and Academic Unit Headsall Academic Officers administrators shall be
 consistent with UW Regulations and Standard Administrative Policies and Procedures.

147 [TBB6]V. EVALUATIONPERFORMANCE ASSESSMENT

- 148 The University is committed to fostering success of its Aacademic Officers-administrators 149 and enhancing their effectiveness in a constructive way. The review and evaluation of 150 Aacademic Officers administrators includes both an evaluative and developmental 151 component. The President, vice president Provost and Vice President of Academic Affairs, 152 or deanDean, as appropriate, shall evaluate conduct annual performance evaluations for 153 each academic aAcademic Aadministrator, College Administrator, and Academic Unit 154 Head annually prior to the approval of the University's annual budget. Additionally, the 155 Provost and Vice President of Academic Affairs may conduct a comprehensive 156 performance review of any aAcademic administrator on an annual basis and shall, each 157 five years following appointment, conduct an assessment of specified in this Regulation at 158 any time.
- 159 <u>Reappointment of any Academic Administrator is contingent upon a satisfactory</u>
 160 <u>performance evaluation and needs of the academic unit, college and University.</u>
 161 <u>Procedures for review shall be consistent with University Regulations and Standard</u>
 162 Administrative Policies and Procedures.
- 163 Review of Deans
- 164 At the end of the second year of an academic administrator's -Dean's-initial termappointment, and prior to reappointment to a new term, the Provost and Vice President 165 of Academic Affairs shall conduct a mid-term a performance review will be completed to 166 167 determine the effectiveness of the academic administrator's Dean's academic 168 administrator's leadership and management-of the academic unit. The reviewing officer shall seek input Input from internal and external stakeholders, such as staff, faculty, unit 169 heads, Associate or Assistant Deans, or Deans shall be included in this performance a 170 171 review for reappointment to a new term, and may be included in other reviews as 172 determined by the direct supervisor of the academic administrator. Provost and Vice 173 President of Academic Affairs.
- 174
- 175Input from the unit faculty internal and external stakeholders shall be included in the review176for reappointment, and may be included in other reviews as part of the177assessment.determined by the Provost and Vice President of Academic Affairs.178Reappointment of any aAAcademic OfficerAdministrator administrator is contingent upon179a satisfactory annual performance evaluation and needs of the academic unit, college and180University.

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181 182	<u>Procedures for all performance reviews shall be consistent with University Regulations and</u> <u>Standard Administrative Policies and Procedures.</u>			
183				
184	A.	- Annual Review		
185 186 187		1. Annual review shall be conducted by the appropriate reviewing officer each year prior to the approval of the University's annual budget with a written summary prepared for both parties.		
188 189 190		2. The reviewing officer shall maintain a performance file containing comments and suggestions received in the previous year related to the performance of the academic administrator under review.		
191		3. The annual review shall include an assessment of the following factors:		
192		a. effectiveness in meeting the goals of the academic unit and the University		
193		b. leadership, professional competence and working relationships		
194 195 196		 c. review of the performance file and other materials that have been brought to the academic administrator's attention prior to the annual review by the reviewing officer. 		
197 198		4. The reviewing officer may consult with individual faculty members, an ad hoc committee, or any other persons familiar with the administrator's performance.		
199 200		 Any merit increment awarded to an academic administrator shall be linked to the annual review. 		
201	B.			
202 203 204 205		1. Every five years, or more often if circumstances warrant, and prior to the reappointment of any unit head, the appropriate reviewing officer shall conduct a comprehensive review of the performance and overall effectiveness of each academic administrator.		
206 207 208		2. The review shall reflect the views of both the University and the academic unit; and the reviewing officer shall be advised on the views of the academic unit by an advisory committee which shall:		
209 210 211		a. Consider the annual reviews of the academic administrator; solicit the opinions of faculty, students, staff and administrators; and examine objective data regarding the progress of the academic unit.		

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212	b. Submit a confidential, reasoned report, a draft of which shall be submitted
213	to the academic administrator for comment, assessing the strengths and
214	weaknesses of the academic administrator, and providing suggestions for
215	improvement, as appropriate.
216	c. Develop a summary of their report in cooperation with the reviewing
217	officer, who shall distribute the summary to the academic unit.
218	C. Special Reviews
219	1. A reviewing officer may conduct a special review of an academic administrator
220	at any time, which shall follow the procedures established for a five year review.
221	2. A majority of an academic unit may request a special review of its unit head at
222	any time.
223	3. In the event a reviewing officer, after consultation with a unit faculty, does not
224	support a request for a special review and the faculty do not recede from the
225	request, the matter shall be referred to the vice president for a decision.
226	4. At the discretion of the Vice President for Academic Affairs, a special review,
227	pursuant to this Regulation, may be conducted for any academic support unit as
228	such is designated by the Vice President.
229 <u>V</u>	REMOVAL
230	
231	
232	Reviewing officers may recommend the removal of an <u>aaAA</u> cademic Officer administrator
233	Aadministrator at any time for any reason except an unlawful one. that is not illegal.
234	However, no removal of an academic administratora Vice President or a Dean or Executive
235	Director of the School of Energy Resources shall be final until acted upon by the Trustees.
236	All other Aacademic AdministratorsOfficer administrators may be removed by the Provost
237	and Vice President for Academic Affairs, upon recommendation of approval of the
238	President.
239	

Responsible Division/Unit: Office of the Provost and Vice President for Academic Affairs

Source: None

Links: <u>http://www.uwyo.edu/regs-policies</u>

Associated Regulations, Policies, and Forms: None

History:

University Regulation 804, Revision 2; adopted 7/17/2008 Board of Trustees meeting

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