THE UNIVERSITY OF WYOMING BOARD OF TRUSTEES' REPORT & SUPPLEMENTAL MATERIALS

February 17, 2021 Conference Call Meeting

The final report can be found on the University of Wyoming Board of Trustees Website at http://www.uwyo.edu/trustees/ University of Wyoming Mission Statement (July 2017)

We honor our heritage as the state's flagship and land-grant university by providing accessible and affordable higher education of the highest quality; rigorous scholarship; the communication and application of knowledge; economic and community development; and responsible stewardship of our cultural, historical and natural resources.

In the exercise of our primary mission to promote learning, we seek to provide academic and co-curricular opportunities that will:

- Graduate students who have experienced the frontiers of scholarship and creative activity and who are prepared for the complexities of an interdependent world;
- Cultivate a community of learning energized by collaborative work among students, faculty, staff and external partners.
- Nurture an environment that values and manifests diversity, internationalization, free expression, academic freedom, personal integrity and mutual respect; and
- Promote opportunities for personal health and growth, physical health, athletic competition and leadership development for all members of the university community.

As Wyoming's only public university, we are committed to scholarship, outreach and service that extend our human talent and technological capacity to serve the people in our communities, our state, the nation and the world.

TRUSTEES OF THE UNIVERSITY OF WYOMING AGENDA February 17, 2021 Conference Call Meeting

Note: Only topics that have support materials provided in advance of the meeting are contained within this report. Topics that will be discussed with only a verbal report do not have information included.

WORK SESSIONS

Discussion: Appointment of Trustees – Marsh [verbal update]	
COVID-19 Update – Seidel [verbal report]	
EOG Royalty Matter – President's recommendations – Seidel [verbal report]	
UW Regulation 2-13 Program Review Update – Alexander4	
Facilities Contracting Committee – McKinley/Mai	
a. Consideration and Action: Change Order for Wyoming Hall Utilities Increased Scope13	
Fiscal and Legal Affairs Committee – Moore/Jewell	
a. Consideration and Action: FY2020 Single Audit Reports and Schedule of Expenditures of	
Federal Awards	
b. Consideration and Action: Request for Use of Legal Reserve, Office of Inspector General's	
Audit of UW's EPSCORE Grant48	
UW Regulations Committee – Brown/Evans	
Consideration and Action: Modifications to UW Regulations – Brown/Evans	
a. UW Regulation 1-1 (Organization of the University)	,
b. UW Regulation 2-8 (Appointment, Evaluation and Removal of Academic Administrators)63	,
c. UW Regulation 6-10 (University of Wyoming Public Art Policy)	4

AGENDA ITEM TITLE: Academic Affairs Program Review Update, Alexander, Ahern, Barrett

SESSION TYPE:	APPLIES TO STRATEGIC PLAN:
☐ Work Session	☐ Yes (select below):
☐ Education Session	☐ Driving Excellence
☐ Information Item	☐ Inspiring Students
☐ Other:	☐ Impacting Communities
[Committee of the Whole – Items for Approval]	☐ High-Performing University
	☐ No [Regular Business]
✓ Attachments are provided with the narrative; p	lease also refer to supplemental packet.

EXECUTIVE SUMMARY:

At the November 12, 2020 meeting, the Board of Trustees approved a plan to address a \$42.3 million budget reduction in the current biennium, driven by a 10 percent cut in state funding that resulted in an immediate reduced distribution from the state earlier in 2020. The board accepted the plan presented by President Ed Seidel in accordance with UW Regulation 2-12. As part of the plan a number of programs were identified for review under UW Regulation 2-13 and others for accelerated strategic review.

In response, Academic Affairs established a "one stop" website for program reviews which included online templates for the submission of programs undergoing UW Regulation 2-13 review, accelerated program review, and portfolio review. All academic programs will complete at least one method of review. A portal was also established to solicit constituent feedback. A UW Regulation 2-13 review committee was established with representation from Faculty Senate, ASUW, staff, and Academic Affairs. The committee conducted two listening sessions and met with representatives from academic programs under review. The committee has provided recommendations based on the UW Regulation 2-13 review and forwarded them to the Provost and President. The Provost has also moved programs identified for accelerated strategic review to the portfolio review stage for a thorough and balanced review.

PRIOR RELATED BOARD DISCUSSIONS/ACTIONS:

November 12, 2020 Board approval of the President's Budget Reduction Plan.

WHY THIS ITEM IS BEFORE THE BOARD:

UW Regulation 2-13 requires that the President shall make a final recommendation to the Board of Trustees upon conclusion of a review of a program.

ACTION REQUIRED AT THIS BOARD MEETING:

Approval, modification, or disapproval of UW Regulation 2-13 recommendations from Provost and President.

PROPOSED MOTION:

I move to approve the President's recommendations for the UW Regulation 2-13 programs reviewed for reorganization, consolidation, reduction, or discontinuance as presented.

PRESIDENT'S RECOMMENDATION:

The President recommends approval.

UNIVERSITY OF WYOMING

Office of Academic Affairs

1000 E. University Avenue Dept. 3302, 312 Old Main Laramie, WY 82071 307.766.4286 • fax: 307.766.2606

February 7, 2021

President Seidel:

At the November 12, 2020 meeting, the Board of Trustees approved a plan to address a \$42.3 million budget reduction in the current biennium, driven by a 10 percent cut in state funding that resulted in an immediate reduced distribution from the state earlier in 2020. The board accepted the plan presented by you in accordance with UW Regulation 2-12. As part of the plan a number of programs were identified for review under UW Regulation 2-13 and others for accelerated strategic review.

In response, Academic Affairs established a "one stop" website for program reviews which included online templates for the submission of programs undergoing UW Regulation 2-13 review, accelerated program review, and portfolio review. All academic programs will complete at least one method of review. A portal was also established to solicit constituent feedback. A UW Regulation 2-13 review committee was established with representation from Faculty Senate, ASUW, staff, and Academic Affairs. The committee conducted two listening sessions and met with representatives from academic programs under review. I have also moved programs identified for accelerated strategic review to the portfolio review stage for a thorough and balanced review.

The committee has provided a report of recommendations "Final Report to Interim Provost Alexander from the Round 1 UW 2-13 Program Review Committee," dated February 5, 2021. I have carefully reviewed the report and concur and support the committee recommendations. This round of 2-13 reviews will not result in any position terminations and students currently enrolled in these programs will not be effected; the primary cost-savings will be in reduced numbers of sections of courses taught by the colleges and departments.

With respect to American Studies, the program has 19 graduate students and 32 undergraduates in their program at this time. They awarded 12 BA's and 5 MA's in AY 2019-20. A reorganization of American Studies with the School of Culture, Gender, and Social Justice will be explored in the coming weeks, a merger that will fit both programs quite well. In Creative Writing, the program has demonstrated that they receive the highest number of inquiries of any masters- level program at UW, on

average 202 inquiries per year. They have awarded an average of 8.6 degrees per year and average over 16 students in the program per year. In addition, they provide a critical training ground for the humanities in Wyoming and beyond. The BA in Secondary French, German, and Spanish Education, though small, serves to provide trained educators to the state in modern language education and thus meets a vital need for the state. The program will explore a partnership with the Modern and Classical Languages Department. Thus, I concur with the recommendations of the committee to put these programs into the strategic portfolio review rather than eliminate them at this time.

For full information on the data, information, reports, and feedback used by the committee, please see <u>this site</u>. Do not hesitate to let me know if you have any questions.

Sincerely,

Anne Alexander Interim Provost and Vice President for Academic Affairs

Atch

Final Report to Interim Provost Alexander from the Round 1 UW 2-13 Program Review Committee, February 5, 2021

Final Report to Interim Provost Alexander from the Round 1 UW 2-13 Program Review Committee February 5, 2021

Introduction and Overview

In fall 2020, the Provost initiated a special program review and strategic planning analysis. This large endeavor was made in response to budgetary considerations as well as the need for the university to adapt to and help with the state's economic circumstances and future. As an initial step, the Provost, in consultation with the deans, selected twenty-four programs or units for immediate review under <a href="https://www.needia.com/www

Following the Provost's selection of programs and units for initial 2-13 review, on or before December 1st, the selected programs' colleges and faculty submitted response reports to Academic Affairs. These documents were posted for review by the UW community and the public, and Academic Affairs solicited feedback from stakeholders with a deadline of January 29th. Coincident with the collection of stakeholder feedback, Academic Affairs formed a 2-13 review committee co-chaired by Associate Vice Provosts Jim Ahern (graduate education) and Steven Barrett (undergraduate education) with representation from Faculty Senate, ASUW, Graduate Council student representatives, and two student service unit personnel (see Appendix A). The review committee began the task of reading the response reports and stakeholder feedback during the week of January 24th.

Almost all the stakeholder feedback submitted to Academic Affairs regarded two of the programs: American Studies (B.A. and M.A.) and Creative Writing (M.F.A.). Given this focused interest, online listening sessions were conducted for each of these programs during the week of January 24th. More than 60 people attended each session. Seventeen people gave public testimony in support of American Studies and twenty-six gave public testimony on behalf of Creative Writing. Additionally, Interim Dean of Arts & Sciences and the programs' directors provided testimony.

On February 3rd, the committee met with representatives from American Studies and Creative Writing, each for 30 minutes. Following these meetings, the listening sessions and review of all the documents provided for the 2-13 reviews, the committee members individually completed evaluation questionnaires. These responses were compiled, analyzed and presented to the committee during their February 4th meeting. Following discussion of each 2-13 program, the committee was able to reach consensus regarding recommendations for every program or unit.

Recommendations of the UW 2-13 Program Review - Round 1 Committee

Table 1 provides a list of the Round 1 2-13 review programs/units and recommendations for each. The committee agrees with the originally proposed outcomes for all programs highlighted in green in Table 1. Given this and given that the committee did not receive any stakeholder feedback for these programs nor an opposing response from the programs' faculty, there will be no further discussion of these, here.

TABLE 1

Programs for Review Under UW Regulation 2- 13 - Round 1						
						2-13 Review Committee
Program/Unit	College	Concentration	Minors	UG	Grad	Recommendation
						Although the committee supported movement to SCGSJ, ultimately it
						recommended that AMST be moved to
						the Strategic Portfolio Review. Also,
						would like to refer merger with CGSJ
						or other possibilities to Strategic
American Studies	A&S		х	BA	MS	Planning Group.
						Move to Portfolio Review, MFA highly
						selective; donor impacts need to be
						further explored; in a vacuum,
						committee would support keeping and
Croative Writing	A 9. C				NAEA	even, perhaps further investment.
Creative Writing Concentration in	A&S		1		MFA	
Community						
Development	CANR	x				Eliminate
•						Eliminate
Accounting	COB		Х			
						Eliminate
Decision Science	СОВ		x			
						Eliminate
Finance	COB		Х			
						Eliminate
Human Resource						
Management	СОВ		x			
	COD					Eliminate
Marketing	СОВ		Х			
BA in Secondary French						
Education	COE	1		BA		Move to Strategic Portfolio Review
BA in Secondary German	COF			DΛ		Move to Strategic Portfolio Review
Education BA in Secondary Spanish	COE		1	BA		wiove to strategic Portiono Review
Education	COE			ВА		Move to Strategic Portfolio Review
Online undergraduate						Eliminate
major in Business						
Administration						
	СОВ			Х		
Military Justice/Judge	LAW				LAW	Suspend admissions.
Advocate General						

Psychology	A&S			MA	Eliminate
Chemistry					
-	A&S			MST	Eliminate
History	A&S			MAT	Eliminate
Journalism	A&S		BS		Eliminate
Agronomy					Eliminate
				MS,	
	CANR			PhD	
Joint Veterinary Science					
Program (A&S and	A&S and				
CANR)	CANR			Х	Eliminate
Department					
consolidation: COSC and					Move to Strategic Portfolio
ECE	CEAS		Х	Х	Review/Refer to Strategic Planning
Concentration	СОВ	x			
suspended for new					
admissions - Full-Time					Suspend admissions.
Campus MBA Energy					
Business Concentration					
Other:					
The Alaska Summer Trial	LAW				
Institute offered by the					Suspend institute
Law School will be					
discontinued.					
The Wyoming	CANR	<u> </u>			
Reclamation and					
Restoration Center will					Move to Strategic Portfolio
be discontinued.					Review/Refer to Strategic Planning

American Studies, B.A. & M.A., College of Arts & Sciences

Budget reduction report proposed outcome: Elimination.

College Dean's recommended outcome: Move American Studies into the School for Culture, Gender & Social Justice (SCGSJ).

Program's faculty recommended outcome: Move American Studies into SCGSJ; maintain B.A. and M A

Stakeholder feedback: Unanimously in support of maintaining American Studies and its degree programs; some support for move to SCGSJ.

2-13 Review Committee Recommendation: The committee was unanimously opposed to the elimination of American Studies at this time, including its degree programs and faculty. The committee scored American Studies above average to very high for almost all criteria related to UW's Mission, 2017-2022 Strategic Plan, Pres. Seidel's Four Pillars, and other key indicators. Although the

committee agreed with Dean Dale and the American Studies faculty regarding moving the program into the School for Culture, Gender & Social Justice, the committee members believe that this can and should be taken into consideration as part of the full portfolio review and strategic planning processes.

Creative Writing, M.F.A., College of Arts & Sciences

Budget reduction report proposed outcome: Elimination.

College Dean's recommended outcome: Do not eliminate. Maintain the Creative Writing Program and its degree program.

Program's faculty recommended outcome: Do not eliminate. Maintain the Creative Writing Program and its degree program.

Stakeholder feedback: Unanimously in support of maintaining Creative Writing and its degree program; some support for move to English, mostly from English faculty.

2-13 Review Committee Recommendation: The committee was unanimously opposed to the elimination of Creative Writing at this time, including its degree program and faculty. The committee scored Creative Writing highly on all criteria related to UW's Mission, 2017-2022 Strategic Plan, Pres. Seidel's Four Pillars, and other key indicators. The MFA program is one of the most selective graduate programs at UW and attracts students nationally and internationally. The committee recommends that Creative Writing be maintained as-is for now and be moved to the portfolio review for further consideration. Some aspects of Creative Writing's future may need to be considered by the Strategic Planning Group.

B.A. degrees in French, German and Spanish Education, College of Education

Budget reduction report proposed outcome: Elimination of all three degrees.

College Dean's recommended outcome: Elimination of all three degrees.

Program's faculty recommended outcome: No response other than from college.

Stakeholder feedback: Only one email regarding these degrees was submitted to Academic Affairs. This email, from the Department Head of Modern and Classical Languages (A&S), proposed that Modern & Classical Languages could take over these degree programs without needing any additional faculty.

2-13 Review Committee Recommendation: The committee recognized that, in terms of enrollments and degrees granted, these degree programs are low-performing and in low demand. However, the committee agreed that the actual savings of eliminating these degrees would been minimal, since most of the credit hours will continue to be taught since they overlap with other degree programs. Eliminating the degrees in one or two of these while keeping the other(s) (e.g., Spanish) would probably not realize a savings, as all these degrees require the same seven education credits regardless of the language concentration. The committee further recognizes that Dual Immersion Language programs, and the foreign language component for the Hathaway scholarship, could be harder to achieve if we are not graduating students prepared to teach foreign languages in our K-12 schools around the state. The committee is not opposed to moving the degrees to Modern and Classical Languages, but it is hesitant to recommend complete elimination of these programs without that outcome having been decided following consideration in the full portfolio review.

<u>Department consolidation of Electrical & Computer Engineering with Computer Science.</u> <u>College of Engineering & Applied Science</u>

Budget reduction report proposed outcome: Merger into a single department.

College Dean's recommended outcome: Merger not recommended at this time.

Program's faculty recommended outcome: No response other than from college dean. Dean indicates that faculty do not support a merger.

Stakeholder feedback: No stakeholder feedback submitted.

2-13 Review Committee Recommendation: Do not merge, at this time. The committee recognized that the potential savings of merging the two units would be minimal and would not outweigh the potential pitfalls, at least at this time. The committee recommends maintaining the two units as separate departments if and until an alternative recommendation comes out of the upcoming portfolio review process and/or strategic planning process.

Wyoming Reclamation and Restoration Center, College of Agriculture & Natural Resources

Budget reduction report proposed outcome: Elimination.

College Dean's recommended outcome: Renaming and restructuring to be more effective.

Program's faculty recommended outcome: No response other than from college dean.

Stakeholder feedback: No stakeholder feedback submitted.

2-13 Review Committee Recommendation: Do not eliminate, at this time. Although the committee agrees that Dean Rasco's plan to rename and restructure likely makes sense, especially since the center is not dependent on block grant funding, the committee believes that any outcome should wait until after the full portfolio review and strategic planning processes.

College of Health Sciences Curricular Overhauls and Programmatic Restructuring

Budget reduction report proposed outcome: Curricular and programmatic restructuring in support of rural health.

College Dean's recommended outcome: Curricular and programmatic restructuring in support of rural health; creation of a Rural Health Innovation Institute through consolidation of currently existing programs.

Program's faculty recommended outcome: No response other than from college dean.

Stakeholder feedback: No stakeholder feedback submitted.

2-13 Review Committee Recommendation: Although the committee supports the proposed restructuring, it is not clear that these changes require a 2-13 review and may be implemented by the college without this review. If these changes do require Provost, President, and/or Trustees' approval, the committee recommends consideration as part of the full portfolio review. That said, there are many aspects of the proposed restructuring that should be considered by the Strategic Planning Group or one of its subcommittees.

A General Recommendation from the Committee

The committee agreed that it is very difficult to make these recommendations in a vacuum. Although many of the programs under review have non-controversial recommended outcomes, the handful of contentious programs' 2-13 recommendations should be made with enlightenment from a full portfolio review and a strategic planning process.

APPENDIX A

UW 2-13 Program Review - Round 1 Committee Members

James C. Ahern, AVP of Graduate Education, Academic Affairs (co-chair)

Steven Barrett, AVP of Undergraduate Education, Academic Affairs (co-chair)

Treva Ahrenholtz, Department of Family & Consumer Sciences, Secretary of Faculty Senate

Adrienne Freng, Dept. Of Criminal Justice & Sociology, Chair-elect of Faculty Senate

April Heaney, Dept. Of English, Director of LeaRN Programs, Academic Affairs

Clifford Marks, Dept. Of English, Member-at-Large of Faculty Senate

Rudi Michalak, Department of Physics & Astronomy, Chair of Faculty Senate

Richard Miller, Associate Director, Advising & Career Services, Academic Affairs

Riley Talamantes, President of the Associated Students of the University of Wyoming

Courtney Titus, Vice President of the Associated Students of the University of Wyoming

Ashli Tomisich, Ph.D. student, College of Education, Graduate Council Student Representative

Andrew Warfield, M.S. student, College of Arts & Sciences, Graduate Council Student Representative

AGENDA ITEM TITLE: Wyoming Hall Demolition and Utility Infrastructure Project-Change Order for Increased Scope, Mai

SESSION TYPE:	APPLIES TO STRATEGIC PLAN:
☐ Work Session	☐ Yes (select below):
☐ Education Session	☐ Driving Excellence
☐ Information Item	☐ Inspiring Students
☑ Other:	☐ Impacting Communities
[Committee of the Whole – Items for Approval]	☐ High-Performing University
	☑ No [Regular Business]
☐ Attachments are provided with the narrative—r	refer to Supplemental Materials Report.

EXECUTIVE SUMMARY:

In preparation for the Student Housing and Dining project, Wyoming Hall will be demolished. To remove the structure, existing utilities running through the building will need to be relocated into a new exterior utility tunnel south of the existing building. In addition, heating, and cooling lines to serve the Student Housing and Dining project will be routed from the West Campus Satellite Energy Plant.

Haselden Wyoming Constructors has been in coordination with ST+B Engineering, WWC Engineering, representatives from UW Administration and the City of Laramie to relocate utilities to allow for demolition of Wyoming Hall. During coordination with the City of Laramie to create and finalize the License Agreement between the City of Laramie and University of Wyoming it was determined that the domestic waterline in 15th street and Bradley Street between 13th and 14th needs to be upgraded. The total budget for the project remains unchanged.

The total all-in budget for this project is \$17,541,356.00

The cost for the work in this change order is \$493,830.00.

PRIOR RELATED BOARD DISCUSSIONS/ACTIONS:

- August, 2020 Board authorized Administration to execute a contract amendment establishing the guaranteed maximum price.
- May, 2020 Board authorized Administration to enter into contract with Haselden Wyoming Constructors for Construction Manager at-Risk services.
- March, 2020 Board authorized the Construction Manager at-Risk delivery method for the project.
- December, 2019 Board authorized Administration to execute a contract with ST+B Engineers for Level 3 Planning for the Wyoming Hall Demolition project.
- September, 2019 Board authorized demolition of Wyoming Hall for the purpose of new student housing.

WHY THIS ITEM IS BEFORE THE BOARD:

Pursuant to UW regulation 6-9 Board approval is required to approve change orders over \$50,000.

UW Board of Trustees Report and Supplemental Materials - Public Session February 12,2021 Page 14

ACTION REQUIRED AT THIS BOARD MEETING:

Board of Trustee approval to execute the change order with Haselden Wyoming Constructors of Laramie, WY for \$493,830.00 for the additional utilities for the Wyoming Hall Demolition and Utilities project.

PROPOSED MOTION:

"I move to authorize Administration to execute a change order with Haselden Wyoming Constructors of Laramie, WY for \$493,830 for the additional utilities for the Wyoming Hall Demolition and Utilities project."

PRESIDENT'S RECOMMENDATION:

The President recommends approval.

UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021 Page 15

AGENDA ITEM TITLE: FY2020 Single Audit Reports and Schedule of Expenditures of Federal Awards, Moore/Jewell/BKD

	
SESSION TYPE:	APPLIES TO STRATEGIC PLAN:
☐ Work Session	☐ Yes (select below):
☐ Education Session	☐ Driving Excellence
☐ Information Item	☐ Inspiring Students
☑ Other:	☐ Impacting Communities
[Committee of the Whole – Items for Approval]	☐ High-Performing University
	☑ No [Regular Business]
★ Attachments are provided with the narrative.	
EXECUTIVE SUMMARY: Consideration and action of the University of Wyo Schedule of Expenditures of Federal Awards as pr BKD.	
PRIOR RELATED BOARD DISCUSSIONS/ACT N/A	IONS:
WHY THIS ITEM IS BEFORE THE BOARD: This is an annual topic of the board which requires	board consideration and action.
ACTION REQUIRED AT THIS BOARD MEETING Approval/adoption of the FY2020 Single Audit ReAwards.	
PROPOSED MOTION:	
I move to recommend to the approval of the FY 20'	20 UW Single Audit report as presented

I move to recommend to the approval of the FY 2020 UW Single Audit report as presented.

PRESIDENT'S RECOMMENDATION:

The President recommends approval.

University of Wyoming (A Component Unit of the State of Wyoming)

Single Audit Reports and Schedule of Expenditures of Federal Awards

June 30, 2020

University of Wyoming (A Component Unit of the State of Wyoming)

June 30, 2020

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	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title DEPARTMENT OF AGRICULTURE	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
DEFAR I WENT OF AGRICULTURE									
AGRICULTURAL RESEARCH_BASIC AND APPLIED RESEARCH	10.001					\$59,641	\$59,173	RESEARCH AND DEVELOPMENT	\$57,925,901
AGRICULTURAL RESEARCH_BASIC AND APPLIED RESEARCH	10.001		SOUTH DAKOTA STATE UNIVERSITY	3TQ612	-\$468	-\$468	\$59,173	RESEARCH AND DEVELOPMENT	\$57,925,901
PLANT AND ANIMAL DISEASE, PEST CONTROL, AND ANIMAL CARE	10.025		300TH DAKOTA STATE UNIVERSITY	31Q012	\$71,503	\$429,795	\$429,795	RESEARCH AND DEVELOPMENT	\$57,925,901
WILDLIFE SERVICES	10.028					\$6,039	\$6,039	RESEARCH AND DEVELOPMENT	\$57,925,901
SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL	10.170		WYOMING DEPARTMENT OF AGRICULTURE WYOMING DEPARTMENT OF AGRICULTURE WYOMING DEPARTMENT OF AGRICULTURE	04162018REV001 04162018REV004 04172018REV002		\$9,124	\$91,844	N/A	\$0
			WYOMING DEPARTMENT OF AGRICULTURE WYOMING DEPARTMENT OF	14-SCBGP-WY-0056 021616I002 14-SCBGP-WY-0056 6916P0002 04252017REV002 16-SCBGP-WY-0023 16-SCBGP-WY-0023					
SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL	10.170		AGRICULTURE	04052018REV002		\$1,236	\$91,844	RESEARCH AND DEVELOPMENT	\$57,925,901
	0.47		AGRICULTURE WYOMING DEPARTMENT OF	04162018REV002 04162018REV005 04162018REV006 06262018REV002 02282019ACS001 03052019ACS003 03052019ACS004		40.10	2014		477.07.04
SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL	10.170		AGRICULTURE	196523		\$81,484	\$91,844	RESEARCH AND DEVELOPMENT	\$57,925,901
COOPERATIVE FORESTRY RESEARCH PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH	10.202					\$257,181	\$257,181	RESEARCH AND DEVELOPMENT	\$57,925,901
ACT	10.203					\$1,785,254	\$1,785,254	RESEARCH AND DEVELOPMENT	\$57,925,901
ANIMAL HEALTH AND DISEASE RESEARCH	10.207					\$37,589	\$37,589	RESEARCH AND DEVELOPMENT	\$57,925,901
SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION	10.215		UTAH STATE UNIVERSITY	200592-486 150893-00001-226 150893-00001-231 200592-00001-359 200592-441 201207-513 201207-544 201207-594		\$204,360	\$569,502	N/A	50
SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION	10.215		UTAH STATE UNIVERSITY	150893-665		\$334,765	\$569,502	RESEARCH AND DEVELOPMENT	\$57,925,901
SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION	10.215 10.215		MONTANA STATE UNIVERSITY MONTANA STATE UNIVERSITY	G243-19-W7502 G179-19-W7506		\$19,144 \$11,233	\$569,502 \$569,502	RESEARCH AND DEVELOPMENT N/A	\$57,925,901 \$0
SECONDARY AND TWO-YEAR POSTSECONDARY AGRICULTURE EDUCATION	10.215		MONTAINA STATE DIVIVENSITY	G175-15-W7300		211,233	\$305,502	NyA	\$0
CHALLENGE GRANTS	10.226				\$3,425	\$4,839	\$4,839	N/A	\$0
INTEGRATED PROGRAMS	10.303		COLORADO STATE UNIVERSITY	G-13521-2		\$26,707	\$26,707	RESEARCH AND DEVELOPMENT	\$57,925,901
HOMELAND SECURITY_AGRICULTURAL	10.304		VANISAS STATE LINIU/EDSITY	C170E1		\$210,656	\$215,878	RESEARCH AND DEVELOPMENT	\$57,925,901
HOMELAND SECURITY_AGRICULTURAL ORGANIC AGRICULTURE RESEARCH AND EXTENSION INITIATIVE	10.304 10.307		KANSAS STATE UNIVERSITY	S17051		\$5,222 \$6,681	<i>\$215,878</i> \$13,602	N/A RESEARCH AND DEVELOPMENT	\$0 \$57,925,901
ORGANIC AGRICULTURE RESEARCH AND EXTENSION INITIATIVE	10.507					90,001	713,002		<i>\$31,323,30</i> 1
INITIATIVE	10.307		UTAH STATE UNIVERSITY	202524-664		\$6,921	\$13,602	RESEARCH AND DEVELOPMENT	\$57,925,901
AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI)	10.310		COLORADO CTATA COMO TRACTO	C 01600 1	\$83,902	\$291,377	\$369,421	RESEARCH AND DEVELOPMENT	\$57,925,901
AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI)	10.310		COLORADO STATE UNIVERSITY COLORADO STATE UNIVERSITY UNIVERSITY OF TENNESSEE,	G-91600-1 136673		\$61,189	\$369,421	RESEARCH AND DEVELOPMENT	\$57,925,901
AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI)	10.310		KNOXVILLE	09252017REV001		\$1,000	\$369,421	RESEARCH AND DEVELOPMENT	\$57,925,901

		Additional		Identifying Number					
	Federal	Award	Name of Funder	Assigned By Funder	Total Amount		Federal		
	CFDA	Identification	Pass-Through	Pass-Through	Provided to	Federal	Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI)	10.310		CHAPMAN UNIVERSITY	500547-SUB 01		\$15,855	\$369,421	RESEARCH AND DEVELOPMENT	\$57,925,901
CROP PROTECTION AND PEST MANAGEMENT COMPETITIVE GRANTS									
PROGRAM CROP PROTECTION AND PEST MANAGEMENT COMPETITIVE	10.329				\$10,293	\$182,685	\$185,045	RESEARCH AND DEVELOPMENT	\$57,925,901
GRANTS PROGRAM	10.329		MONTANA STATE UNIVERSITY	G205-17-W6336		\$2,360	\$185.045	RESEARCH AND DEVELOPMENT	\$57.925.901
ALFALFA AND FORAGE RESEARCH PROGRAM	10.330		MONTHUM STATE ON VENSAM	0203 17 110330	\$33,981	\$41,866	\$68,160	RESEARCH AND DEVELOPMENT	\$57,925,901
ALFALFA AND FORAGE RESEARCH PROGRAM	10.330		MONTANA STATE UNIVERSITY	G251-19-W7430	+++,	\$4,485	\$68,160	RESEARCH AND DEVELOPMENT	\$57,925,901
ALFALFA AND FORAGE RESEARCH PROGRAM	10.330		UTAH STATE UNIVERSITY	202552-670		\$21,809	\$68,160	RESEARCH AND DEVELOPMENT	\$57,925,901
CROP INSURANCE EDUCATION IN TARGETED STATES	10.458				\$132,073	\$171,257	\$171,257	N/A	\$0
COOPERATIVE EXTENSION SERVICE	10.500					\$1,454,465	\$1,552,411	RESEARCH AND DEVELOPMENT	\$57,925,901
COOPERATIVE EXTENSION SERVICE	10.500					\$91,254	\$1,552,411	N/A	\$0
COOPERATIVE EXTENSION SERVICE	10.500		UTAH STATE UNIVERSITY	130677011		-\$13	\$1,552,411	RESEARCH AND DEVELOPMENT	\$57,925,901
COOPERATIVE EXTENSION SERVICE	10.500		KANSAS STATE UNIVERSITY	S19107		\$6,705	\$1,552,411	RESEARCH AND DEVELOPMENT	\$57,925,901
EXPANDED FOOD AND NUTRITION EDUCATION PROGRAM	10.514					\$32,833	\$32,833	RESEARCH AND DEVELOPMENT	\$57,925,901
RENEWABLE RESOURCES EXTENSION ACT AND NATIONAL FOCUS FUND PROJECTS	10.515					\$1,543	\$1.543	RESEARCH AND DEVELOPMENT	ć57.025.004
PROJECTS	10.515		WY DEPARTMENT OF FAMILY			\$1,543	\$1,543	RESEARCH AND DEVELOPMENT	\$57,925,901
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551		SERVICES	10072017JJ01		-\$7,395	-\$7,395	SNAP CLUSTER	-\$7,395
STATE ADMINISTRATIVE MATCHING GRANTS FOR THE	10.551		WY DEPARTMENT OF FAMILY	100/201/3301		-,555	-,,,,,,,	SIVAI CEOSTER	ددد,،۰٫
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.561		SERVICES	09122018REV003		\$1,522,040	\$1,522,040	RESEARCH AND DEVELOPMENT	\$57,925,901
COOPERATIVE FORESTRY ASSISTANCE	10.664		WY STATE FORESTRY DIVISION	04252018REV002		\$5,596	\$5,596	N/A	\$0
FOREST HEALTH PROTECTION	10.680					\$15,184	\$15,184	RESEARCH AND DEVELOPMENT	\$57,925,901
PARTNERSHIP AGREEMENTS	10.699					\$13,799	\$82,256	N/A	\$0
PARTNERSHIP AGREEMENTS	10.699					\$68,457	\$82,256	RESEARCH AND DEVELOPMENT	\$57,925,901
FOREST SERVICE	10.707					\$30,047	\$30,047	RESEARCH AND DEVELOPMENT	\$57,925,901
NORMAN E. BORLAUG INTERNATIONAL AGRICULTURAL SCIENCE AND									
TECHNOLOGY FELLOWSHIP	10.777					\$18,459	\$18,459	RESEARCH AND DEVELOPMENT	\$57,925,901
RURAL ENERGY FOR AMERICA PROGRAM	10.868					\$9,238	\$9,238	N/A	\$0
SOIL AND WATER CONSERVATION SOIL AND WATER CONSERVATION	10.902					\$2,813 \$158,489	\$164,391 \$164,391	N/A RESEARCH AND DEVELOPMENT	\$0 \$57,925,901
SOIL AND WATER CONSERVATION SOIL AND WATER CONSERVATION	10.902		UNIVERSITY OF ARIZONA	554522		\$158,489	\$164,391 \$164,391	RESEARCH AND DEVELOPMENT	\$57,925,901
SOIL SURVEY	10.902		UNIVERSITY OF ANIZONA	334322		\$27,899	\$74,576	N/A	\$37,923,901
SOIL SURVEY	10.903					\$46,677	\$74,576	RESEARCH AND DEVELOPMENT	\$57,925,901
CONSERVATION STEWARDSHIP PROGRAM	10.924					\$49,841	\$49.841	N/A	\$0
SUSTAINABLE RANGELANDS ROUNDTABLE 2015	10.RD	15-CS-11132421-157				\$230,825	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
FEN INVENTORY PROJECT	10.RD	15-CS-11021400-011				\$18,632	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
COLUMBIAN SHARP-TAILED GROUSE LIFE HISTORY AND HABITAT USE									
INVESTIGATIONS	10.RD	17-CS-11020600-013				\$1,895	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
ARCHAEOLOGICAL COLLECTION CURATION AT THE UNIVERSITY OF WYOMING									
ARCHAEOLOGICAL REPOSITORY FOR THE BRIDGER-TETON NATIONAL FOREST	10.RD	17-CS-11040300-016				\$8,752	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
FIRE EFFECTS ON HERBACEOUS REGENERATION ACROSS AN INVASION GRADIENT OF GRASSLANDS AND SHRUBLANDS	10.RD	47 11/ 44224522 457				Å55.435	\$354.315	DECEMBELLAND DEVELOPMENT	ć=7.025.004
QUANTIFYING THE ECONOMIC IMPACT OF EXCESSIVELY FAT LAMBS IN THE	10.KD	17-JV-11221632-167				\$56,125	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
U.S. LAMB PROCESSING SECTOR	10.RD	08072018REV001				\$20,158	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
SURVEYS FOR GLACIER STONEFLY ON THE SHOSHONE AND BIGHORN	10.110	000720101124001				J20,130	Ş334,313	RESEARCH AND DEVELOT MENT	331,323,301
NATIONAL FORESTS	10.RD	18-CS-11020000-025				\$10,684	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
TRACE MINERAL CONCENTRATIONS IN COMMON WYOMING PLANTS ON						, ,,	,,.		1. 7
SHEEP WINTER RANGE	10.RD	06242019ACS001				\$7,244	\$354,315	RESEARCH AND DEVELOPMENT	\$57,925,901
PRIORITY SPECIES INVENTORY PROJECT	10.U01	15-CS-11020200-021				\$347	\$347	N/A	\$0
DENSITY AND DISTURBANCE CALCULATION TOOL	10.U02	16-CS-11046000-038				\$40,168	\$40,168	N/A	\$0
LOW COST PATHOGEN DETECTION FOR FOOD SAFETY, PHASE									
2	10.U03	12012016REV002	ACCESS SENSOR TECHNOLOGIES LLC	12012016REV002		\$134,416	\$134,416	N/A	\$0
FEN RESOURCES INVENTORIES	10.U04	17-CS-11041563-034				\$19,316	\$19,316	N/A	\$0
CHARACTERIZATION OF MORTHERN LONG FARER RAT CHARACTER RAY ROOST									
CHARACTERIZATION OF NORTHERN LONG-EARED BAT SUMMER DAY ROOST USE ON THE BLACK HILLS NATIONAL FOREST, SUNDANCE RANGER DISTRICT	10.U05	17-CS-11020301-025				\$6,989	\$6,989	N/A	do.
NATURAL HERITAGE PROGRAM DATABASE EXPORTS	10.U05 10.U06	AG-84NB-P-17-0038				\$24,000	\$6,989	N/A N/A	\$0 \$0
SHOSHONE NATIONAL FOREST: MIDDLE FORK STOCK BYPASS NEW TRAIL	10.000	AG-04IND-F-17-0030				\$24,000	324,000	N/A	,50 ,
CONSTRUCTION PART II	10.U07	18-PA-11021400-004				\$1,250	\$1,250	N/A	\$0
BIGHORN CANYON EDELMAN TRAIL 025 REROUTE PROJECT	10.U08	18-PA-11020200-012				\$9,535	\$9,535	N/A	\$0
THE RUCKELSHAUS INSTITUTE: FACILITATION TRAINING SESSIONS TO BUILD						7-,	+5,000		, ,
COLLABORATIVE CAPACITY	10.U09	17-CS-11020000-060				\$25,954	\$25,954	N/A	\$0
INTEGRATED MONITORING IN BIRD CONSERVATION REGIONS IN WYOMING	10.U10	18-CS-11020000-035				\$20,830	\$20,830	N/A	\$0
RARE PLANT SPECIES ACCOUNT VIEWER	10.U11	18-CS-11020000-026				\$12,000	\$12,000	N/A	\$0
CONTENT AND PRINTING OF WYOMING THISTLE FIELD GUIDE	10.U12	18-CS-11020000-027				\$2,507	\$2,507	N/A	\$0
BRIDGER TETON NATIONAL FOREST CAMPGROUND FENCING	10.U13	18-PA-11040303-071				-\$785	-\$785	N/A	\$0
MEDICINE BOW NATIONAL FOREST TRAIL MAINTENANCE AND REPOUTES	10 1114	18-PA-11020600-045				ć22.0CC	éan occ	N/A	ćo
SCIENCE SYNTHESIS OF DISEASE TRANSMISSION AND ITS IMPACT ON	10.U14	16-PA-11020600-045				\$22,066	\$22,066	N/A	\$0
BIGHORN SHEEP POPULATIONS	10.U15	18-JV-11221635-181				\$25,692	\$25,692	N/A	\$0
SO AGR CURATORIAL SERVICES AND DATABASE UPGRADE	10.U15	18-CS-11020300-034				\$25,692	\$25,692	N/A N/A	\$0 \$0
22	10.010	10 03 11020300-034				Ş 111 3	+3		Ų

	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
TOTAL DEPARTMENT OF AGRICULTURE					\$334,709	\$8,541,349			
DEPARTMENT OF COMMERCE									
FORMALIS DELICIONATIVE TECHNICAL ACCUSTANCE	44 202					44.663	ć11.5C2	21/2	40
ECONOMIC DEVELOPMENT_TECHNICAL ASSISTANCE APPLIED METEOROLOGICAL RESEARCH	11.303 11.468					-\$14,662 \$121,496	-\$14,662 \$121,496	N/A RESEARCH AND DEVELOPMENT	\$0 \$57,925,901
CENTER FOR SPONSORED COASTAL OCEAN RESEARCH_COASTAL OCEAN	11.408					\$121,490	\$121,490	RESEARCH AND DEVELOPMENT	\$57,925,901
PROGRAM	11.478				\$99,194	\$147,380	\$147.380	RESEARCH AND DEVELOPMENT	\$57,925,901
MEASUREMENT AND ENGINEERING RESEARCH AND						, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, , , , , , , , , , , , , , , , , , , ,		1. ,,.
STANDARDS	11.609		PURDUE UNIVERSITY	18100020-026		\$22,412	\$22,412	RESEARCH AND DEVELOPMENT	\$57,925,901
MANUFACTURING EXTENSION PARTNERSHIP	11.611					\$483,735	\$483,735	N/A	\$0
TEAMING AGREEMENT BETWEEN SYNOPTIC DATA CORPORATION AND THE WATER RESOURCES DATA SYSTEM AT THE UNIVERSITY OF WYOMING FOR THE NATIONAL									
MESONET PROGRAM	11.RD	S2018-0301	SYNOPTIC DATA CORP	S2018-0301		\$77,874	\$77,874	RESEARCH AND DEVELOPMENT	\$57,925,901
TOTAL DEPARTMENT OF COMMERCE					\$99,194	\$838,235			
DEPARTMENT OF DEFENSE					, , , , , , , , , , , , , , , , , , ,				
PROCUREMENT TECHNICAL ASSISTANCE FOR BUSINESS FIRMS	12.002					\$188,181	\$188,181	N/A	\$0
BASIC AND APPLIED SCIENTIFIC RESEARCH	12.300					\$330,520	\$330,520	RESEARCH AND DEVELOPMENT	\$57,925,901
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12.420					\$66,468	\$66,468	RESEARCH AND DEVELOPMENT	\$57,925,901
BASIC, APPLIED, AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12.630					\$41,000	\$41,750	RESEARCH AND DEVELOPMENT	\$57,925,901
BASIC, APPLIED, AND ADVANCED RESEARCH IN SCIENCE AND	12.050		NATIONAL SCIENCE TEACHERS			Ç-1,000	\$42,750	NEGET WEST PROPERTY.	<i>\$31,323,301</i>
ENGINEERING	12.630		ASSOCIATION	NONE		\$750	\$41,750	RESEARCH AND DEVELOPMENT	\$57,925,901
LANGUAGE GRANT PROGRAM	12.900					\$59,502	\$59,502	N/A	\$0
GENCYBER GRANTS PROGRAM	12.903					\$75,739	\$75,739	RESEARCH AND DEVELOPMENT	\$57,925,901
RESEARCH AND TECHNOLOGY DEVELOPMENT	12.910		UNIVERSITY OF NORTH CAROLINA AT		\$231,866	\$246,136	\$1,381,845	RESEARCH AND DEVELOPMENT	\$57,925,901
RESEARCH AND TECHNOLOGY DEVELOPMENT	12.910		CHAPEL HILL	5114535		\$1,135,709	\$1,381,845	RESEARCH AND DEVELOPMENT	\$57,925,901
SEED DISPERSAL NETWORKS AND NOVEL ECOSYSTEM	12.510		CHAILETHEE	3114333		\$1,133,703	\$1,301,043	RESEARCH AND DEVELOTIMENT	\$37,323,301
FUNCTION IN HAWAII	12.RD	15-043	UNIVERSITY OF NEW HAMPSHIRE	15-043		-\$5,534	-\$2,383	RESEARCH AND DEVELOPMENT	\$57,925,901
A ROBUST AND COST-EFFECTIVE PRESSURE MEASUREMENT									
SYSTEM FOR ADVANCED UNSTEADY AERODYNAMIC									
APPLICATIONS	12.RD	02102020ACS002	RESONO PRESSURE SYSTEMS LLC	02102020ACS002		\$3,070	-\$2,383	RESEARCH AND DEVELOPMENT	\$57,925,901
TESTING CAPABILITIES FOR EVALUATING PASSIVE COATINGS FOR AIRCRAFT DRAG REDUCTION	12.RD	02122020ACS001	WYOMING INSTRUMENTATION DEVELOPMENT	02122020ACS001		\$81	-\$2.383	RESEARCH AND DEVELOPMENT	\$57,925,901
TOTAL DEPARTMENT OF DEFENSE	12.110	02122020AC3001	DEVELOT WEIGH	02122020AC3001		901	-52,303	RESEARCH AND DEVELOTMENT	\$37,323,301
					\$231,866	\$2,141,622			
DEPARTMENT OF THE INTERIOR									
AGRICULTURE ON INDIAN LANDS	15.034					\$523	\$523	RESEARCH AND DEVELOPMENT	\$57,925,901
CULTURAL RESOURCE MANAGEMENT	15.224					\$7,985	\$7,985	N/A	\$0
WILD HORSE AND BURRO RESOURCE MANAGEMENT	15.229					\$14,832	\$14,832	RESEARCH AND DEVELOPMENT	\$57,925,901
FISH, WILDLIFE AND PLANT CONSERVATION RESOURCE MANAGEMENT	15.231					\$2,957	\$846,059	N/A	\$0
								·	
FISH, WILDLIFE AND PLANT CONSERVATION RESOURCE MANAGEMENT	15.231					\$789,393	\$846,059	RESEARCH AND DEVELOPMENT	\$57,925,901
FISH, WILDLIFE AND PLANT CONSERVATION RESOURCE			WESTERN ASSOCIATION OF FISH AND						4
MANAGEMENT WILDLAND FIRE RESEARCH AND STUDIES PROGRAM	15.231 15.232		WILDLIFE AGENCIES COLORADO STATE UNIVERSITY	SBSI-C-18-01 G-09352-2		\$53,709 \$1,200	\$846,059 \$1,200	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	\$57,925,901 \$57,925,901
WIEDEAND FIRE RESEARCH AND STODIES PROGRAM	13.232		COLORADO STATE DIVIVERSITI	0-03332-2		\$1,200	31,200	RESEARCH AND DEVELOPMENT	337,323,301
ENVIRONMENTAL QUALITY AND PROTECTION RESOURCE MANAGEMENT	15.236					\$69,792	\$69,792	N/A	\$0
RANGELAND RESOURCE MANAGEMENT	15.237					\$124,266	\$124,266	RESEARCH AND DEVELOPMENT	\$57,925,901
			WYOMING STATE PARKS AND						
FISHERIES AND AQUATIC RESOURCES MANAGEMENT PLANT CONSERVATION AND RESTORATION MANAGEMENT	15.244 15.245		CULTURAL RESOURCES	01132017JJ01		<i>\$64,873</i> \$940	<i>\$64,873</i> \$940	N/A RESEARCH AND DEVELOPMENT	\$0
THREATENED AND ENDGAME SPECIES	15.245					\$940 \$7,554	\$940 \$7,554	RESEARCH AND DEVELOPMENT	\$57,925,901 \$57,925,901
WILDLIFE RESOURCE MANAGEMENT	15.246					\$163,109	\$163,109	RESEARCH AND DEVELOPMENT	\$57,925,901
			WY DEPARTMENT OF ENVIRONMENTAL QUALITY WY DEPARTMENT OF ENVIRONMENTAL QUALITY WY DEPARTMENT OF	092211SA1 61416DP001					
ABANDONED MINE LAND RECLAMATION (AMLR) PROGRAM	15.252		ENVIRONMENTAL QUALITY	07122019JJ01		\$793,960	\$891,822	N/A	\$0
			WY DEPARTMENT OF	1.1111111111111111111111111111111111111		,,,J,J,	, , , , , , , , , , , , , , , , , , ,		90
ABANDONED MINE LAND RECLAMATION (AMLR) PROGRAM	15.252		ENVIRONMENTAL QUALITY	061712SA7		\$97,862	\$891,822	RESEARCH AND DEVELOPMENT	\$57,925,901
WATER DESALINATION RESEARCH AND DEVELOPMENT PROGRAM	15.506					\$92,645	\$92,645	RESEARCH AND DEVELOPMENT	\$57,925,901
CULTURAL RESOURCES MANAGEMENT	15.511					\$22,515	\$22,515	RESEARCH AND DEVELOPMENT	\$57,925,901

			Additional		Identifying Number					
		Federal	Award	Name of Funder	Assigned By Funder	Total Amount		Federal		
	Federal Awarding Agency/Program Title	CFDA Number	Identification (Optional)	Pass-Through Entity	Pass-Through Entity	Provided to Sub-Recipients	Federal Expenditures	Program Total	Cluster Name	Cluster Total
		, amber	(optional)	WYOMING GAME AND FISH DEPARTMENT WYOMING GAME AND FISH DEPARTMENT	002633	Sub receptions	Experiments	70.0.		70101
	SPORT FISH RESTORATION PROGRAM	15.605		WYOMING GAME AND FISH DEPARTMENT	003103/195488 002823		\$61,854	\$61,854	RESEARCH AND DEVELOPMENT	\$57,925,901
FISH AND W	/ILDLIFE MANAGEMENT ASSISTANCE	15.608		DET, MINIEW	002023		\$38,730	\$38,730	RESEARCH AND DEVELOPMENT	\$57,925,901
				WYOMING GAME AND FISH DEPARTMENT	001609 002364 002581 002595 002641 002830 002854 002865					
	WILDLIFE RESTORATION AND BASIC HUNTER EDUCATION	15.611		DEPARTMENT	002915		\$880,364	\$1,179,665	RESEARCH AND DEVELOPMENT	\$57,925,901
	WILDLIFE RESTORATION AND BASIC HUNTER EDUCATION	15.611		IDAHO DEPARTMENT OF FISH AND GAME	FY19-467/IDFG-FY20-053		\$54,411	\$1,179,665	RESEARCH AND DEVELOPMENT	\$57,925,901
				WYOMING GAME AND FISH DEPARTMENT	003050 003087 003047 003086 003135 / 196112 003147 / 196113 003162 / 197425 003147 / 197872 003418 / 197093					
	WILDLIFE RESTORATION AND BASIC HUNTER EDUCATION	15.611		DEPARTMENT WYOMING GAME AND FISH	003149 / 197092		\$219,860	\$1,179,665	RESEARCH AND DEVELOPMENT	\$57,925,901
	WILDLIFE RESTORATION AND BASIC HUNTER EDUCATION	15.611		DEPARTMENT	002972		\$25,030	\$1,179,665	RESEARCH AND DEVELOPMENT	\$57,925,901
	WILDLIFE CONSERVATION AND RESTORATION	15.625		WYOMING GAME AND FISH DEPARTMENT	002525		\$9,758	\$9,758	N/A	\$0
	PRIZER E CONSERVATION AND RESTORATION	13.023		WYOMING GAME AND FISH DEPARTMENT WYOMING GAME AND FISH	002479 002445 002629 002630 002759 002826			33/36	N/A	30
	STATE WILDLIFE GRANTS	15.634		DEPARTMENT	002827		\$267,764	\$481,434	RESEARCH AND DEVELOPMENT	\$57,925,901
	STATE WILDLIFE GRANTS	15.634		WYOMING GAME AND FISH DEPARTMENT NEW MEXICO DEPARTMENT OF GAME	002905		\$18,836	\$481,434	N/A	\$0
	STATE WILDLIFE GRANTS	15.634		NEW MEXICO DEPARTMENT OF GAME AND FISH	51600-0000063733		\$39,268	\$481,434	RESEARCH AND DEVELOPMENT	\$57,925,901

	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
STATE WILDLIFE GRANTS	15.634		WYOMING GAME AND FISH DEPARTMENT WYOMING GAME AND FISH	1004106 002899 075C0406674 003023 003022 002934-1/195445		\$155,566	\$481,434	RESEARCH AND DEVELOPMENT	45300000
MIGRATORY BIRD MONITORING, ASSESSMENT AND CONSERVATION	15.655		DEPARTMENT	003164		\$12,573	\$12,573	N/A	<i>\$57,925,901</i> \$0
ENDANGERED SPECIES CONSERVATION D RECOVERY IMPLEMENTATION	13.033					Ų12,373	Ų12,373	.4/.	Ţ.
FUNDS	15.657					\$272	\$272	RESEARCH AND DEVELOPMENT	\$57,925,901
			WESTERN ASSOCIATION OF FISH AND						
ADAPTIVE SCIENCE ADAPTIVE SCIENCE	15.670 15.670		WILDLIFE AGENCIES	SBSI-C-17-06		\$1,762 \$158,414	\$160,176 \$160,176	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	\$57,925,901 \$57,925,901
COOPERATIVE ECOSYSTEM STUDIES UNITS	15.678					\$53,038	\$53,038	RESEARCH AND DEVELOPMENT	\$57,925,901
ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTES	15.805					\$53,754	\$53,754	RESEARCH AND DEVELOPMENT	\$57,925,901
U.S. GEOLOGICAL SURVEY_ RESEARCH AND DATA COLLECTION	15.808					\$56,390	\$56,390	RESEARCH AND DEVELOPMENT	\$57,925,901
NATIONAL COOPERATIVE GEOLOGIC MAPPING PROGRAM	15.810					\$16,305	\$16,305	RESEARCH AND DEVELOPMENT	\$57,925,901
COOPERATIVE RESEARCH UNITS PROGRAM	15.812				\$73,782	\$641,656	\$641,656	RESEARCH AND DEVELOPMENT	\$57,925,901
NATIONAL LAND REMOTE SENSING_EDUCATION OUTREACH AND RESEARCH	15.815		AMERICA VIEW, INC.	AV18-WY-01		\$31,679	\$31,679	N/A	\$0
HISTORIC PRESERVATION FUND GRANTS-IN-AID	15.904		AWERICA VIEW, INC.	AV10-W1-01		\$10,662	\$10,662	N/A	\$0
COOPERATIVE RESEARCH AND TRAINING PROGRAMS & RESOURCES OF THE						7-1,11-	7-0,		•
NATIONAL PARK SYSTEM	15.945				\$35,262	\$273,877	\$273,877	RESEARCH AND DEVELOPMENT	\$57,925,901
POTENTIAL POPULATION SIZE OF SIERRA NEVADA BIGHORN			CALIFORNIA DEPARTMENT OF FISH						4
SHEEP	15.RD	P1560014	AND WILDLIFE	P1560014		\$5,976	\$121,364	RESEARCH AND DEVELOPMENT	\$57,925,901
DEVELOPING AN INVENTORY AND MONITORING FRAMEWORK OF HERBACEOUS RIPARIAN WETLANDS IN THE NATIONAL TRAILS MANAGEMENT CORRIDOR OF THE UPPER SWEETWATER RIVER BASIN, WYOMING	15.RD	L16PX01385				-\$2,277	\$121,364	RESEARCH AND DEVELOPMENT	\$57,925,901
MOUNTAIN LION GENETICS RESEARCH	15.RD	P1880001	CALIFORNIA DEPARTMENT OF FISH AND WILDLIFE	P1880001		\$117,665	\$121,364	RESEARCH AND DEVELOPMENT	\$57,925,901
NCTC DATA WRANGLING COURSE TAUGHT BY SHANNON ALBEKE 2020	15.U01	01032020ACS001				\$4,588	\$4,588	N/A	\$0
TOTAL DEPARTMENT OF THE INTERIOR					\$109,044	\$5,515,890	, , , , , ,	·	, .
					3103,044	\$3,313,630			
DEPARTMENT OF JUSTICE									
STATE JUSTICE STATISTICS PROGRAM FOR STATISTICAL ANALYSIS CENTERS	16.550		HICTICE DECEADED AND CTATICTICS			\$92,772	\$92,772	N/A	\$0
CRIME VICTIM ASSISTANCE/DISCRETIONARY GRANTS	16.582		JUSTICE RESEARCH AND STATISTICS ASSOCIATION	2016-XV-GX-K006		\$6,241	\$6,241	RESEARCH AND DEVELOPMENT	\$57,925,901
Calling Victoria Sassania Callina Callina	10.502		CLACKAMAS COUNTY CHILDREN, FAMILY, AND COMMUNITY	1010 AV 0A 1000		90)2-12	<i>\$0,2.41</i>	NESC INCIVING BEVELOVIMENT	<i>\$31,323,301</i>
OPIOID AFFECTED YOUTH INITIATIVE TOTAL DEPARTMENT OF JUSTICE	16.842		CONNECTIONS	02052020ACS002		\$12,708	\$12,708	N/A	\$0
TOTAL DEPARTMENT OF JOSTICE						\$111,721			
DEPARTMENT OF STATE									
			AMERICA-MIDEAST EDUCATIONAL						
ACADEMIC EXCHANGE PROGRAMS - GRADUATE STUDENTS	19.400		AND TRAINING SERVICES, INC.	20320-19		\$72,837	\$72,837	N/A	\$0
U.S. FUTURE LEADERS TOPICAL SEMINAR: ENERGY AND		GIL1801_UWYOMING_5.15.1	INSTITUTE OF INTERNATIONAL						
NATURAL RESOURCES TOTAL DEPARTMENT OF STATE	19.U01	9	EDUCATION, INC.	GIL1801_UWYOMING_5.15.19		\$56,903	\$56,903	N/A	\$0
TOTAL DEPARTMENT OF STATE					_	\$129,740			
DEPARTMENT OF TRANSPORTATION									
HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	20.200		WY DEPARTMENT OF TRANSPORTATION WY DEPARTMENT OF TRANSPORTATION WY DEPARTMENT OF TRANSPORTATION	091916JJ03 091916JJ02 8199091/195642		\$120,767	\$120,767	RESEARCH AND DEVELOPMENT	\$57,925,901

	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity WY DEPARTMENT OF	Entity	Sub-Recipients	Expenditures	Total	Name	Total
			TRANSPORTATION						
			WY DEPARTMENT OF						
			TRANSPORTATION						
			WY DEPARTMENT OF						
			TRANSPORTATION						
			WY DEPARTMENT OF TRANSPORTATION						
			WY DEPARTMENT OF						
			TRANSPORTATION						
			WY DEPARTMENT OF	RS02217					
			TRANSPORTATION	RS03217					
			WY DEPARTMENT OF TRANSPORTATION	RS06217 RS09217					
			WY DEPARTMENT OF	RS08217					
			TRANSPORTATION	RS02218					
			WY DEPARTMENT OF	RS06218					
			TRANSPORTATION	RS03218					
HIGHWAY PLANNING AND CONSTRUCTION	20.205		WY DEPARTMENT OF TRANSPORTATION	RS08218 RS007219	\$5,000	\$422,589	\$1 121 561	RESEARCH AND DEVELOPMENT	\$57,925,901
THOTWATT EARNING AND CONSTRUCTION	20.203		WY DEPARTMENT OF	113007213	\$5,000	Ş422,303	<i>Ş1,121,301</i>	RESEARCH AND DEVELOT WENT	\$37,323,301
			TRANSPORTATION						
			WY DEPARTMENT OF	HRRR019				HIGHWAY PLANNING AND	
HIGHWAY PLANNING AND CONSTRUCTION	20.205		TRANSPORTATION	HRRR020		\$163,000	\$1,121,561	CONSTRUCTION CLUSTER	\$163,000
			WY DEPARTMENT OF						
			TRANSPORTATION WY DEPARTMENT OF						
			TRANSPORTATION						
			WY DEPARTMENT OF						
			TRANSPORTATION						
			WY DEPARTMENT OF						
			TRANSPORTATION WY DEPARTMENT OF						
			TRANSPORTATION	RS02219					
			WY DEPARTMENT OF	RS03219					
			TRANSPORTATION	LTAP0219					
			WY DEPARTMENT OF	RS01219					
			TRANSPORTATION	RS05219					
			WY DEPARTMENT OF TRANSPORTATION	RS03220 / 197666 RS04220 / 197667					
			WY DEPARTMENT OF	LTAP0220 / 197953					
HIGHWAY PLANNING AND CONSTRUCTION	20.205		TRANSPORTATION	RS01220 / 197939		\$432,350	\$1,121,561	RESEARCH AND DEVELOPMENT	\$57,925,901
			WY DEPARTMENT OF						
			TRANSPORTATION						
HIGHWAY PLANNING AND CONSTRUCTION	20.205		WY DEPARTMENT OF TRANSPORTATION	RS04218 RS09218		\$103,622	\$1,121,561	RESEARCH AND DEVELOPMENT	\$57,925,901
HIGHWAT FLANNING AND CONSTRUCTION	20.203		WY DEPARTMENT OF	N3U3218		3103,022	\$1,121,301	RESEARCH AND DEVELOPMENT	\$37,323,301
			TRANSPORTATION						
FORMULA GRANTS FOR RURAL AREAS AND TRIBAL TRANSIT			WY DEPARTMENT OF	5311-19-FTA-02					
PROGRAM	20.509		TRANSPORTATION	5311-20-FTA-02/FT20002		\$924,310	\$924,310	N/A	\$0
			WY DEPARTMENT OF						
			TRANSPORTATION WY DEPARTMENT OF						
			TRANSPORTATION	5339-18-FTA-52					
BUSES AND BUS FACILITIES FORMULA, COMPETITIVE, AND			WY DEPARTMENT OF	5339-18-FTA-53					
LOW OR NO EMISSIONS PROGRAMS	20.526		TRANSPORTATION	5339-19-FTA-66		\$969,583	\$1,782,704	FEDERAL TRANSIT CLUSTER	\$1,782,704
BUSES AND BUS FACILITIES FORMULA, COMPETITIVE, AND	20.525	CAREC	WY DEPARTMENT OF	5344 30 574 63/5730003 44454045		6042 424	64 702 704	FEDERAL TRANSIT SUISTER	44 702 704
LOW OR NO EMISSIONS PROGRAMS	20.526	CARES	TRANSPORTATION	5311-20-FTA-02/FT20002 AMENDME		\$813,121	\$1,782,704	FEDERAL TRANSIT CLUSTER	\$1,782,704
			NORTH DAKOTA STATE UNIVERSITY	FAR0023136					
UNIVERSITY TRANSPORTATION CENTERS PROGRAM	20.701		NORTH DAKOTA STATE UNIVERSITY	69A3551747108		\$229,082	\$229,082	RESEARCH AND DEVELOPMENT	\$57,925,901
INTERAGENCY HAZARDOUS MATERIALS PUBLIC SECTOR	20.702		MAN OFFICE OF HOME! AND SECURITY	UNA UNAD 0563 16 01 06		62.454	62.55	DECEMBELL AND DEVELOPMENT	Ć57.035.004
TRAINING AND PLANNING GRANTS TOTAL DEPARTMENT OF TRANSPORTATION	20.703		WY OFFICE OF HOMELAND SECURITY	HM-HMP-0562-16-01-00		\$3,154	\$3,154	RESEARCH AND DEVELOPMENT	\$57,925,901
TOTAL SELECTION TRANSPORTATION					\$5,000	\$4,181,578			
				_					
FEDERAL COMMUNICATIONS COMMISSION									
WYOMING DEAF-BLIND EQUIPMENT DISTRIBUTION PROGRAM	32.U01	DA16-686				\$22,829	\$22,829	N/A	\$0
TOTAL FEDERAL COMMUNICATIONS COMMISSION							, ,		
					_	\$22,829			

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	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
NATIONAL AERONAUTICS & SPACE ADMINISTRATION									
SCIENCE	43.001				\$30,353	\$228,222	\$336,258	RESEARCH AND DEVELOPMENT	\$57,925,901
SCIENCE	43.001		PLANTETARY SCIENCE INSTITUTE	1443		\$1,974	\$336,258	RESEARCH AND DEVELOPMENT	\$57,925,901
						4			4
SCIENCE SCIENCE	43.001 43.001		UNIVERSITY OF COLORADO, BOULDER JET PROPULSION LABORATORY	1554876 1607989		\$22,454 \$13,684	\$336,258 \$336,258	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	\$57,925,901 \$57,925,901
SCIENCE	45.001		PACIFIC SCIENCE CENTER	1007383		\$13,004	\$330,230	RESEARCH AND DEVELOT WENT	\$37,323,301
SCIENCE	43.001		FOUNDATION	2018-1048E		-\$98	\$336,258	RESEARCH AND DEVELOPMENT	\$57,925,901
			SMITHSONIAN ASTROPHYSICAL			44			4
SCIENCE SCIENCE	43.001 43.001		OBSERVATORY SPACE SCIENCE INSTITUTE	GO9-20107X CON 00982		\$65,146 \$4,876	\$336,258 \$336,258	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	\$57,925,901 \$57,925,901
AERONAUTICS	43.002		STACE SCIENCE INSTITUTE	CON 00302	\$98,269	\$222,420	\$309,694	RESEARCH AND DEVELOPMENT	\$57,925,901
			INSTITUTE OF MUSEUM AND LIBRARY						
AERONAUTICS	43.002		SERVICES	A18-0139-S003		\$87,274	\$309,694	RESEARCH AND DEVELOPMENT	\$57,925,901
SPACE OPERATIONS EDUCATION	43.007 43.008					\$8,285 \$505,076	\$8,285 \$1,135,288	RESEARCH AND DEVELOPMENT N/A	\$57,925,901 \$0
EDUCATION	43.008					\$630,212	\$1,135,288	RESEARCH AND DEVELOPMENT	\$57,925,901
HARNESSING THE POWER OF THE WFIRST CORONAGRAPH: A						7000,	7-,,		41.,022,022
COORDINATED PLAN FOR EXOPLANET AND DISK SCIENCE DWARFS AND GIANTS: MASSIVE STARS IN LITTLE DWARF	43.RD	SC 3229	SETI INSTITUTE	SC 3229		\$71,811	\$121,968	RESEARCH AND DEVELOPMENT	\$57,925,901
GALAXIES	43.RD	HST-GO-15093.004A	SPACE TELESCOPE SCIENCE INSTITUTE	HST-G0-15093.004-A		\$19,230	\$121,968	RESEARCH AND DEVELOPMENT	\$57,925,901
PHANGS-HST: LINKING STARS AND GAS THROUGHOUT THE									
SCALES OF STAR FORMATION	43.RD	HST-GO-15654.007A	SPACE TELESCOPE SCIENCE INSTITUTE	HST-G0-15654.007-A		\$30,927	\$121,968	RESEARCH AND DEVELOPMENT	\$57,925,901
TOTAL NATIONAL AERONAUTICS & SPACE ADMINISTRATION					\$128.622	\$1,911,493			
INSTITUTE OF MUSEUM AND LIBRARY SERVICES OR NATIONAL					,,,	1-//			
ENDOWMENT FOR THE ARTS OR NATIONAL ENDOWMENT FOR THE HUMANITIES									
			WY ARTS COUNCIL	10102017REV001					
			WY ARTS COUNCIL	12042018ACS001					
			WY ARTS COUNCIL	01022019ACS001					
			WY ARTS COUNCIL WY ARTS COUNCIL	53600289/194469 53012263/193995					
			WY ARTS COUNCIL	53606795/194466					
PROMOTION OF THE ARTS_PARTNERSHIP AGREEMENTS	45.025		WY ARTS COUNCIL	53573903/194467		\$43,220	\$43,220	N/A	\$0
PROMOTION OF THE HUMANITIES_FEDERAL/STATE PARTNERSHIP	45.129		WY HUMANITIES COUNCIL	02282020MW001		47.000	\$8.180	N/A	\$0
PARTNERSHIP PROMOTION OF THE HUMANITIES_FEDERAL/STATE	45.129		WY HUMANITIES COUNCIL	02282020MW001		\$7,000	\$8,180	N/A	\$0
PARTNERSHIP	45.129		WY HUMANITIES COUNCIL	02142020ACS001		\$1,180	\$8,180	RESEARCH AND DEVELOPMENT	\$57,925,901
PROMOTION OF THE HUMANITIES DIVISION OF PRESERVATION AND ACCESS	45.149					\$37,758	\$37,758	N/A	\$0
MUSEUMS FOR AMERICA	45.149					\$68,111	\$68,111	RESEARCH AND DEVELOPMENT	\$57,925,901
TOTAL INSTITUTE OF MUSEUM AND LIBRARY SERVICES OR NATIONAL ENDOWMENT FOR THE ARTS OR NATIONAL ENDOWMENT FOR THE	10.002					****	700,222		70.7020,000
HUMANITIES					_	\$157,269			
NATIONAL SCIENCE FOUNDATION									
ENGINEERING	47.041				\$43,800	\$612,412	\$655,820	RESEARCH AND DEVELOPMENT	\$57,925,901
ENGINEERING	47.041		UNIVERSITY OF UTAH	10040381-UW	Ç-13,000	\$38,646	\$655,820	RESEARCH AND DEVELOPMENT	\$57,925,901
ENGINEERING	47.041		UNIVERSITY OF COLORADO	PO 1001022908		\$4,762	\$655,820	RESEARCH AND DEVELOPMENT	\$57,925,901
MATHEMATICAL AND PHYSICAL SCIENCES	47.049					\$1,059,665	\$1,059,665	RESEARCH AND DEVELOPMENT	\$57,925,901
GEOSCIENCES GEOSCIENCES	47.050 47.050		COLUMBIA UNIVERSITY	25(GG009393)	\$44,111	\$8,342,327 \$6,984	\$8,484,800 \$8,484,800	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	\$57,925,901 \$57,925,901
GEOSCIENCES GEOSCIENCES	47.050		JOHN HOPKINS UNIVERSITY	25(GG009393) 2003371899		\$6,984 \$300	\$8,484,800	RESEARCH AND DEVELOPMENT	\$57,925,901
			INCORPORATED RESEARCH			,,,,,	75,103,000		, ,,
GEOSCIENCES	47.050		INSTITUTIONS FOR SEISMOLOGY (IRIS) UNIVERSITY OF ILLINOIS AT URBANA-	SU-19-1001-00-UWY		\$16,646	\$8,484,800	RESEARCH AND DEVELOPMENT	\$57,925,901
GEOSCIENCES	47.050		CHAMPAIGN	091955-17276		-\$115	\$8,484,800	RESEARCH AND DEVELOPMENT	\$57,925,901
			UNIVERSITY CORPORATION FOR ATMOSPHERIC RESEARCH						
CEOCCIENCES	47.050		UNIVERSITY CORPORATION FOR	SUBAWD001303		dea 05=	\$8.484.800	DECEMBELL AND DELICION SELECT	éc7 035 03.
GEOSCIENCES GEOSCIENCES	47.050 47.050		ATMOSPHERIC RESEARCH IDAHO STATE UNIVERSITY	SUBAWD001301 13-221C-RBIO2E		\$62,957 \$55,701	\$8,484,800 \$8,484,800	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	\$57,925,901 \$57,925,901
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.050		IDANO STATE UNIVERSITY	13-221C NDIOZE		\$447,277	\$447,277	RESEARCH AND DEVELOPMENT	\$57,925,901
BIOLOGICAL SCIENCES	47.074				\$924,934	\$2,176,540	\$2,176,612	RESEARCH AND DEVELOPMENT	\$57,925,901
BIOLOGICAL SCIENCES	47.074		TIBBETTS, TERESA	082905UW1		\$72	\$2,176,612	RESEARCH AND DEVELOPMENT	\$57,925,901
SOCIAL, BEHAVIORAL, AND ECONOMIC SCIENCES	47.075					\$228,900	\$228,900	RESEARCH AND DEVELOPMENT	\$57,925,901

	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
EDUCATION AND HUMAN RESOURCES	47.076				\$142,738	\$1,294,300	\$1,511,026	RESEARCH AND DEVELOPMENT	\$57,925,901
EDUCATION AND HUMAN RESOURCES	47.076		COLORADO STATE UNIVERSITY	G-46373-13		\$17,537	\$1,511,026	RESEARCH AND DEVELOPMENT	\$57,925,901
EDUCATION AND HUMAN RESOURCES	47.076		TEMPLE UNIVERSITY	259858-UWY		\$167,660	\$1,511,026	RESEARCH AND DEVELOPMENT	\$57,925,901
EDUCATION AND HUMAN RESOURCES	47.076		SAN FRANCISCO STATE UNIVERSITY	S18-0005		\$31,529	\$1,511,026	RESEARCH AND DEVELOPMENT	\$57,925,901
INTEGRATIVE ACTIVITIES	47.083				\$181,960	\$3,706,082	\$5,409,446	RESEARCH AND DEVELOPMENT	\$57,925,901
INTEGRATIVE ACTIVITIES	47.083		JACKSON STATE UNIVERSITY	2016-633196UM		\$128,299	\$5,409,446	RESEARCH AND DEVELOPMENT	\$57,925,901
INTEGRATIVE ACTIVITIES	47.083		MONTANA STATE UNIVERSITY	G138-17-W6274		\$438,430	\$5,409,446	RESEARCH AND DEVELOPMENT	\$57,925,901
INTEGRATIVE ACTIVITIES	47.083		NORTH DAKOTA STATE UNIVERSITY	FAR0030276		\$955,228	\$5,409,446	RESEARCH AND DEVELOPMENT	\$57,925,901
INTEGRATIVE ACTIVITIES	47.083		BOISE STATE UNIVERSITY	8220-PO126529		\$181,407	\$5,409,446	RESEARCH AND DEVELOPMENT	\$57,925,901
TOTAL NATIONAL SCIENCE FOUNDATION					\$1,337,543	\$19,973,546			
SMALL BUSINESS ADMINISTRATION					71,557,545	\$13,373,340			
SMALL BUSINESS DEVELOPMENT CENTERS	59.037					\$739,803	\$756,528	N/A	\$0
SMALL BUSINESS DEVELOPMENT CENTERS	59.037	CARES				\$16,725	\$756,528	N/A	\$0
FEDERAL AND STATE TECHNOLOGY PARTNERSHIP PROGRAM	59.058					\$130,903	\$130,903	N/A	\$0
TOTAL SMALL BUSINESS ADMINISTRATION						\$887,431			
					_	,,,,,,			
DEPARTMENT OF VETERANS AFFAIRS									
VA ASSISTANCE TO UNITED STATES PARALYMPIC									
INTEGRATED ADAPTIVE SPORTS PROGRAM TOTAL DEPARTMENT OF VETERANS AFFAIRS	64.034		ALBANY COUNTY	11132018ABW001	\$83,859	\$92,059	\$92,059	N/A	\$0
					\$83,859	\$92,059			
ENVIRONMENTAL PROTECTION AGENCY									
REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS	66.461				\$8,000	\$24,999	\$56,674	N/A	\$0
REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS	66.461					\$31,675	\$56,674	RESEARCH AND DEVELOPMENT	\$57,925,901
SCIENCE TO ACHIEVE RESULTS (STAR) RESEARCH PROGRAM	66.509					\$37,780	\$37,780	RESEARCH AND DEVELOPMENT	\$57,925,901
			WY DEPARTMENT OF AGRICULTURE	10222018REV001					
PERFORMANCE PARTNERSHIP GRANTS	66.605		WY DEPARTMENT OF AGRICULTURE	11112018ABW001		\$6,564	\$6,564	RESEARCH AND DEVELOPMENT	\$57,925,901
RESEARCH, DEVELOPMENT, MONITORING, PUBLIC									
EDUCATION, TRAINING, DEMONSTRATIONS, AND STUDIES	66.716		EXTENSION FOUNDATION	SA-2019-28		\$7,475	\$7,475	N/A	\$0
TOTAL ENVIRONMENTAL PROTECTION AGENCY					\$8,000	\$108,493			
					-	Ç100,433			
DEPARTMENT OF ENERGY									
STATE ENERGY PROGRAM	81.041		WY BUSINESS COUNCIL	188245		\$116,716	\$116,716	N/A	\$0
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049				\$228,660	\$1,336,654	\$1,896,930	RESEARCH AND DEVELOPMENT	\$57,925,901
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049		STATE UNIVERSITY OF NEW YORK	16-22		\$13,299	\$1,896,930	RESEARCH AND DEVELOPMENT	\$57,925,901
			UNIVERSITY OF WASHINGTON,						
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049		SEATTLE	UWSC10123		\$3,149	\$1,896,930	RESEARCH AND DEVELOPMENT	\$57,925,901
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049		UNIVERSITY OF UTAH	10049491-WY		\$177,337	\$1,896,930	RESEARCH AND DEVELOPMENT	\$57,925,901
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049		STANFORD UNIVERSITY	61975355-135443		\$353,431	\$1,896,930	RESEARCH AND DEVELOPMENT	\$57,925,901
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049		KANSAS STATE UNIVERSITY	S19174		\$10,351	\$1,896,930	RESEARCH AND DEVELOPMENT	\$57,925,901
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049		ALPENGLOW INSTRUMENTS LLC	04242019ACS002		\$2,709	\$1,896,930	RESEARCH AND DEVELOPMENT	\$57,925,901
CONSERVATION RESEARCH AND DEVELOPMENT	81.086		WESTERN RESEARCH INSTITUTE	UW17-10G663		\$92,530	\$92,530	RESEARCH AND DEVELOPMENT	\$57,925,901
RENEWABLE ENERGY RESEARCH AND DEVELOPMENT	81.087		WEST VIRGINIA UNIVERSITY	10-733-UW-2		\$579,875	\$579,875	RESEARCH AND DEVELOPMENT	\$57,925,901
FOSSIL ENERGY RESEARCH AND DEVELOPMENT	81.089		WEST VIRGINIA UNIVERSITY	10-733-UW-2	\$1,800,088		\$4,442,496	RESEARCH AND DEVELOPMENT	
POSSIL ENERGY RESEARCH AND DEVELOPINENT	81.089				\$1,000,000	\$4,374,127	\$4,442,490	RESEARCH AND DEVELOPMENT	\$57,925,901
FOSSIL ENERGY RESEARCH AND DEVELOPMENT	81.089		SOUTHERN STATES ENERGY BOARD	SSEB-ECO2S-940-UWY-2017-001		\$4,480	\$4,442,496	RESEARCH AND DEVELOPMENT	\$57,925,901
FOSSIL ENERGY RESEARCH AND DEVELOPMENT	81.089		PENNSYLVANIA STATE UNIVERSITY	S000091-USDOE		\$25,227	\$4,442,496	RESEARCH AND DEVELOPMENT	\$57,925,901
FOSSIL ENERGY RESEARCH AND DEVELOPMENT	81.089		UNITED STATES ENERGY ASSOCIATION	NONE		\$38,662	\$4,442,496	RESEARCH AND DEVELOPMENT	\$57,925,901
WILDFIRES AND REGIONAL CLIMATE VARIABILITY,	31.005		BATTELLE MEMORIAL INSTITUTE -	NONE		\$30,002	Ş4,442,450	NESEARCH AND DEVELOPINENT	237,325,901
MECHANISMS, MODELING AND PREDICTION	81.RD	219528	PACIFIC NORTHWEST DIVISOIN	21952B		-\$15,987	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
JOINT APPOINTMENT WITH DR. AMY BANIC AT UWYO TO INCREASE THE RELEVANCE OF THE CYE IN THE CENTER FOR ADVANCED ENERGY STUDIOS AT IDAHO NATIONAL LABORATORY, EVALUATE AND ENHANCE THE USABILITY AND EFFECTIVENESS OF IMMERSIVE APPLICATIONS AND									
HARDWARE	81.RD	0161642	BATTELLE ENERGY ALLIANCE	0161642		\$31,107	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
FAST MULTIGRID SOLVERS FOR SPARC HYPERSONIC FLOW SIMULATIONS	81.RD	1852733	SANDIA NATIONAL LABRATORIES	1852733		\$286,939	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901

	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
LOW-TEMPERATURE ELECTROCHEMICAL ACTIVATION OF ETHANE FOR CO-PRODUCTION OF CHEMICALS/FUELS AND									
HYDROGEN	81.RD	202612	BETTELLE ENERGY ALLIANCE	202612		\$141,770	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
WILDFIRES AND REGIONAL CLIMATE VARIABILITY,									
MECHANISMS, MODELING AND PREDICTION - PURCHASE OF			BETTELLE MEMORIAL INSTITUTE -						
SERVERS	81.RD	430557	PACIFIC NORTHWEST DIVISOIN	430557		\$15,987	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
CO2 STORAGE MODELING AT THE KEVIN DOME PILOT INJECTION SITE, MONTANA - 2019	81.RD	515372	LOS ALAMOS NATIONAL LABORATORY	515372		\$45,977	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
EDDE 2 U OF WYOMING AIDHY	81.RD	4000165991	UT-BATTELLE LLC	4000165991		\$70,581	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
JOINT APPOINTMENT WITH CAES ASSOCIATE DIRECTOR AT	01.715	4000103331	07 57 77 2222 2220	4000103331		<i>\$70,501</i>	Ç710,203	NEGETINET THE BEVELOTIMENT	<i>\$37,323,30</i> 2
UNIVERSITY OF WYOMING	81.RD	161642	BATTELLE ENERGY ALLIANCE	161642		\$21,624	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
			THE REGENTS OF THE UNIVERSITY OF						
DESI TARGET SELECTION FOR SURVEY VALIDATION	81.RD	7486126	CALIFORNIA	7486126		\$27,500	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
GEOPHYSICAL LOGGING OF LBNL EAST RIVER BOREHOLES	04.00	7504225	THE REGENTS OF THE UNIVERSITY OF	7504336		440.272	6746 200	DESCRIPCIO AND DENELODIATIVE	ć57.035.004
NEAR CRESTED BUTTE, CO NOVEL CATALYST/ELECTROCATALYST DEVELOPMENT FOR	81.RD	7501226	CALIFORNIA	7501226		\$10,373	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
ELECTROCHEMICAL PROCESSING AT ELEVATED	81.RD	227772	BATTELLE ENERGY ALLIANCE	227772		\$36,619	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
GRAPHITE AND CARBON MATERIALS RESEARCH	81.RD	230500	BATTELLE ENERGY ALLIANCE	230500		\$4,610	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
INVESTIGATION OF FLOWS RELEVANT TO WIND TURBINE			WYOMING INSTRUMENTATION						
WAKES	81.RD	02072020ACS001	DEVELOPMENT	02072020ACS001		\$7,294	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
FAST MULTIGRID SOLVERS FOR SPARC HYPERSONIC FLOW									4
SIMULATIONS (PO 2137887)	81.RD	2137887	SANDIA NATIONAL LABRATORIES	2137887		\$31,815	\$716,209	RESEARCH AND DEVELOPMENT	\$57,925,901
UNIVERSITY OF WYOMING SUPPORT OF CAES NUCLEAR	01 1101	224420	DATTELLE ENERGY ALLIANCE	224429		ć0.053	60.053	81/4	\$0
SAFEGUARD AND SECURITY CERTIFICATE INL-CAES NUCLEAR SECURITY CERTIFICATE - CYBERSECURITY	81.U01	224429	BATTELLE ENERGY ALLIANCE	224429		\$9,852	\$9,852	N/A	\$0
COURSE DEVELOPMENT	81.U02	231760	BATTELLE ENERGY ALLIANCE	231760		\$3,410	\$3,410	N/A	\$0
INL-CAES NUCLEAR SECURITY CERTIFICATE - WORKING	01.002	231700	BATA PELLE ENERGY PILED INCE	232700		<i>\$5,410</i>	<i>\$3,410</i>		γo
GROUP 2020	81.U03	231761	BATTELLE ENERGY ALLIANCE	231761		\$10,838	\$10,838	N/A	\$0
TOTAL DEPARTMENT OF ENERGY									
					\$2,028,748	\$7,868,856			
DEPARTMENT OF EDUCATION									
FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS	84.007					\$380,014	\$380.014	STUDENT FINANCIAL ASSISTANCE	\$52,800,296
FEDERAL WORK-STUDY PROGRAM	84.033					\$448,805	\$448,805	STUDENT FINANCIAL ASSISTANCE	\$52,800,296
FEDERAL PERKINS LOAN PROGRAM	84.038					\$4,232,822	\$4,232,822	STUDENT FINANCIAL ASSISTANCE	\$52,800,296
TRIO_STUDENT SUPPORT SERVICES	84.042	A				\$371,331	\$371,331	TRIO CLUSTER	\$2,263,277
TRIO_UPWARD BOUND	84.047	A				\$578,159	\$878,156	TRIO CLUSTER	\$2,263,277
TRIO_UPWARD BOUND	84.047	M				\$299,997	\$878,156	TRIO CLUSTER	\$2,263,277
FEDERAL PELL GRANT PROGRAM	84.063					\$9,781,388	\$9,781,388	STUDENT FINANCIAL ASSISTANCE	\$52,800,296
TRIO_EDUCATIONAL OPPORTUNITY CENTERS TRIO_MCNAIR POST-BACCALAUREATE ACHIEVEMENT	84.066 84.217	A A				\$776,890 \$236,900	\$776,890 \$236,900	TRIO CLUSTER TRIO CLUSTER	\$2,263,277 \$2,263,277
FEDERAL DIRECT STUDENT LOANS	84.268	A				\$37,945,052	\$37,945,052	STUDENT FINANCIAL ASSISTANCE	\$52,800,296
RESEARCH IN SPECIAL EDUCATION	84.324		UNIVERSITY OF KANSAS	FY2019-006		\$86,475	\$86,475	RESEARCH AND DEVELOPMENT	\$57,925,901
GAINING EARLY AWARENESS AND READINESS FOR UNDERGRADUATE									
PROGRAMS	84.334	S			\$2,899,338	\$3,783,750	\$3,783,750	N/A	\$0
TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION									
GRANTS (TEACH GRANTS)	84.379					\$12,215	\$12,215	STUDENT FINANCIAL ASSISTANCE	\$52,800,296
EDUCATION STABILIZATION FUND TOTAL DEPARTMENT OF EDUCATION	84.425	E, CARES				\$2,628,700	\$2,628,700	N/A	\$0
TOTAL DEPARTMENT OF EDUCATION					\$2,899,338	\$61,562,498			
NATIONAL ARCHIVES & RECORDS ADMINISTRATION					+2,222,333	402,002,000			
							4		
	89.003		CULTURAL RESOURCES	194053		\$575	\$575	N/A	<i>\$0</i>
TOTAL NATIONAL ARCHIVES & RECORDS ADMINISTRATION						¢E7E			
DEDARTMENT OF HEALTH AND HUMAN SERVICES					_	3373			
NATIONAL HISTORICAL PUBLICATIONS AND RECORDS GRANTS TOTAL NATIONAL ARCHIVES & RECORDS ADMINISTRATION DEPARTMENT OF HEALTH AND HUMAN SERVICES	89.003		WYOMING STATE PARKS AND CULTURAL RESOURCES	194053	_	\$575 \$575	\$575	N/A	
SPECIAL PROGRAMS FOR THE AGING_TITLE III, PART			WYOMING DEPARTMENT OF HEALTH	12032018ACS001					
D_DISEASE PREVENTION AND HEALTH PROMOTION SERVICES	93.043		WYOMING DEPARTMENT OF HEALTH	195911		\$56,607	\$56,607	N/A	\$0
AFFORDABLE CARE ACT (ACA) PERSONAL RESPONSIBILITY									
EDUCATION PROGRAM	93.092		WYOMING DEPARTMENT OF HEALTH	00131895		\$64	\$64	N/A	\$0
AREA HEALTH EDUCATION CENTERS POINT OF SERVICE			UNIVERSITY OF WASHINGTON,						
MAINTENANCE AND ENHANCEMENT AWARDS	93.107		SEATTLE	755331		\$686	\$686	N/A	\$0
ORAL DISEASES AND DISORDERS RESEARCH	93.121		UNIVERSITY OF UTAH	10044863-13		\$1,620	\$1,620	RESEARCH AND DEVELOPMENT	\$57,925,901
CONSOLIDATED HEALTH CENTERS (COMMUNITY HEALTH CENTERS, MIGRANT HEALTH CENTERS, HEALTH CARE FOR THE HOMELESS, AND PUBLIC HOUSING									
PRIMARY CARE)	93.224					\$1,037,813	\$1.037.813	HEALTH CENTER PROGRAM CLUSTER	\$1,037,813
	33.22					Q2,007,010	91,007,013		Ŷ1,007,013

	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES_PROJECTS OF REGIONAL									
AND NATIONAL SIGNIFICANCE	93.243					\$98,278	\$229,936	RESEARCH AND DEVELOPMENT	\$57,925,901
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES_PROJECTS OF REGIONAL AND NATIONAL									
SIGNIFICANCE	93.243		STATE OF OREGON	132917	\$45,596	\$91,077	\$229,936	RESEARCH AND DEVELOPMENT	\$57,925,901
SUBSTANCE ABUSE AND MENTAL HEALTH	33.243		STATE OF ONE CON	132317	Ç43,330	<i>\$31,077</i>	Ģ223,330	NESE/ INC/// IND DEVELOR INC/	<i>\$37,323,301</i>
SERVICES_PROJECTS OF REGIONAL AND NATIONAL			UNIVERSITY OF ARKANSAS AT LITTLE						
SIGNIFICANCE	93.243		ROCK	RA20145310		\$40,581	\$229,936	RESEARCH AND DEVELOPMENT	\$57,925,901
			COLORADO STATE UNIVERSITY	G-61102-01					
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262		COLORADO STATE UNIVERSITY	G-61104-01		\$10,546	\$10,546	RESEARCH AND DEVELOPMENT	\$57,925,901
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279		WASHINGTON STATE UNIVERSITY	1R01DA040965		\$8,513	\$206,366	RESEARCH AND DEVELOPMENT	\$57,925,901
			REGENTS OF THE UNIVERSITY OF						
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279		MICHIGAN	SUBK00007305		\$81,754	\$206,366	RESEARCH AND DEVELOPMENT	\$57,925,901
			LEGACY EMANUEL HOSPITAL &						
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279		HEALTH CENTER	09272019ACS001		\$116,099	\$206,366	RESEARCH AND DEVELOPMENT	\$57,925,901
PUBLIC HEALTH EMERGENCY RESPONSE: COOPERATIVE AGREEMENT FOR EMERGENCY RESPONSE: PUBLIC HEALTH									
CRISIS RESPONSE	93.354		WYOMING DEPARTMENT OF HEALTH	195224		\$31,092	\$31,092	RESEARCH AND DEVELOPMENT	\$57,925,901
STATE ACTIONS TO IMPROVE ORAL HEALTH OUTCOMES AND	93.354		NORTH DAKOTA DEPARTMENT OF	195224		\$31,092	\$31,092	RESEARCH AND DEVELOPMENT	\$57,925,901
PARTNER ACTIONS TO IMPROVE ORAL HEALTH OUTCOMES	93.366		HEALTH	G19.288/4531 HLH 3410 02		\$16,732	\$16,732	N/A	\$0
STRENGTHENING PUBLIC HEALTH SYSTEMS AND SERVICES	55.500		HEALIII	013.200/433171217341002		Ş10,732	Ş10,73 <u>2</u>	19/0	ÇÜ
THROUGH NATIONAL PARTNERSHIPS TO IMPROVE AND			ASSOCIATION OF UNIVERITY CENTERS						
PROTECT THE NATIONS HEALTH	93.421		ON DISABILITIES	1042019ACS001		\$448	\$448	N/A	\$0
IMPROVING THE HEALTH OF AMERICANS THROUGH								.4	***
PREVENTION AND MANAGEMENT OF DIABETES AND HEART			NORTH DAKOTA DEPARTMENT OF						
DISEASE AND STROKE	93.426		HEALTH	4531 HLH 3969		-\$1,686	\$125,779	N/A	\$0
IMPROVING THE HEALTH OF AMERICANS THROUGH									, .
PREVENTION AND MANAGEMENT OF DIABETES AND HEART			NORTH DAKOTA DEPARTMENT OF						
DISEASE AND STROKE	93.426		HEALTH	4531 HLH 3960		\$39,925	\$125,779	RESEARCH AND DEVELOPMENT	\$57,925,901
IMPROVING THE HEALTH OF AMERICANS THROUGH									
PREVENTION AND MANAGEMENT OF DIABETES AND HEART			NEW MEXICO DEPARTMENT OF						
DISEASE AND STROKE	93.426		HEALTH	MOA22435		\$87,540	\$125,779	RESEARCH AND DEVELOPMENT	\$57,925,901
EVERY STUDENT SUCCEEDS ACT/PRESCHOOL DEVELOPMENT									
GRANTS	93.434		ALIGN	NONE		\$16,072	\$16,072	RESEARCH AND DEVELOPMENT	\$57,925,901
ACL ASSISTIVE TECHNOLOGY	93.464					\$335,606	\$335,606	N/A	\$0
ALZHEIMER'S DISEASE PROGRAM INITIATIVE	93.470					\$365,369	\$365,369	N/A	\$0
FAMILY TO FAMILY HEALTH INFORMATION CENTERS	93.504		LANCOLANIC DEPARTMENT OF FALAVIA		\$7,000	\$120,483	\$120,483	N/A	\$0
CHILD SUPPORT ENFORCEMENT RESEARCH	93.564		WYOMING DEPARTMENT OF FAMILY	191661		\$65,352	\$65,352	DECEARCH AND DEVELOPMENT	Ć57.035.001
CHILD SUPPORT ENFORCEMENT RESEARCH	93.564		SERVICES WY GOVERNOR'S COUNCIL ON	191661		\$65,352	\$65,352	RESEARCH AND DEVELOPMENT	\$57,925,901
			DEVELOPMENTAL DISABILITIES						
DEVELOPMENTAL DISABILITIES BASIC SUPPORT AND			WY GOVERNOR'S COUNCIL ON	186375					
ADVOCACY GRANTS	93.630		DEVELOPMENTAL DISABILITIES	195501		\$23,382	\$23,382	N/A	\$0
UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES	33.030		DEVELOT MENTAL DISTIBLE TIES	133301		Ģ23,302	<i>\$23,302</i>	.,,,	Ç
EDUCATION, RESEARCH, AND SERVICE	93.632					\$684,467	\$684,467	N/A	\$0
EMPOWERING OLDER ADULTS AND ADULTS WITH						¥	-	.,,	***
DISABILITIES THROUGH CHRONIC DISEASE SELF-									
MANAGEMENT EDUCATION PROGRAMS & FINANCED BY			WYOMING DEPARTMENT OF HEALTH	189436					
PREVENTION AND PUBLIC HEALTH FUNDS (PPHF)	93.734		WYOMING DEPARTMENT OF HEALTH	195360		\$217,496	\$217,496	N/A	\$0
STATE PUBLIC HEALTH ACTIONS TO PREVENT AND CONTROL									
DIABETES, HEART DISEASE, OBESITY AND ASSOCIATED RISK									
FACTORS AND PROMOTE SCHOOL HEALTH FINANCED IN PART									
BY PREVENTION AND PUBLIC HEALTH FUNDING (PPHF)	93.757		WYOMING DEPARTMENT OF HEALTH	194321		\$43,789	\$43,789	RESEARCH AND DEVELOPMENT	\$57,925,901
MEDICAL ASSISTANCE PROGRAM	93.778		WYOMING DEPARTMENT OF HEALTH	06262018REV001		\$298,500	\$298,350	MEDICAID CLUSTER	\$298,500
MEDICAL ASSISTANCE PROGRAM	93.778		WYOMING DEPARTMENT OF HEALTH	031516JJ01		-\$150	\$298,350	RESEARCH AND DEVELOPMENT	\$57,925,901
ONION CTD	02.700		MANAGEMENT OF MEATHER	400003		620.464	676 505	21/2	do.
OPIOID STR	93.788		WYOMING DEPARTMENT OF HEALTH	189093		\$38,164	\$76,505	N/A	\$0
OPIOID STR	93.788		UNIVERSITY OF ARKANSAS AT LITTLE ROCK	RA20145311		\$38,341	\$76,505	RECEARCH AND DEVELOPMENT	\$57,925,901
CARDIOVASCULAR DISEASES RESEARCH	93.788		NUCK	NA2U143311	\$147,758	\$38,341 \$522,012	\$522,012	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	\$57,925,901
ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES	33.037				3147,738	2322,012	2322,012	NESEARCH AND DEVELOPINENT	227,225,901
RESEARCH	93.846		UNIVERSITY OF COLORADO DENVER	0		\$9,342	\$9,342	RESEARCH AND DEVELOPMENT	\$57,925,901
DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL	55.070		THIRDING OF COLUMNO DELIVER			73,342	73,342	DEVELOTIVENT	737,323,301
RESEARCH	93.847		AUGUSTA UNIVERSITY	32307-30		\$69,076	\$110,107	RESEARCH AND DEVELOPMENT	\$57,925,901
DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL						200,070	Ç110,107		<i>437,323,301</i>
RESEARCH	93.847		ION CHANNEL PHARMACOLOGY	02142019ACS001		\$41,031	\$110,107	RESEARCH AND DEVELOPMENT	\$57,925,901
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND						F :-/	+,,		,,,-01
NEUROLOGICAL DISORDERS	93.853					\$280,555	\$294,666	RESEARCH AND DEVELOPMENT	\$57,925,901
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES			JOHNS HOPKINS UNIVERSITY	2004456873					
AND NEUROLOGICAL DISORDERS	93.853		JOHNS HOPKINS UNIVERSITY	2004456876		\$14,111	\$294,666	RESEARCH AND DEVELOPMENT	\$57,925,901
ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	93.855				\$277,584	\$680,828	\$680,828	RESEARCH AND DEVELOPMENT	\$57,925,901

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University of Wyoming
(A Component Unit of the State of Wyoming)
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2020

	Federal CFDA	Additional Award Identification	Name of Funder Pass-Through	Identifying Number Assigned By Funder Pass-Through	Total Amount Provided to	Federal	Federal Program	Cluster	Cluster
Federal Awarding Agency/Program Title	Number	(Optional)	Entity	Entity	Sub-Recipients	Expenditures	Total	Name	Total
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.859				\$525,860	\$6,805,405	\$6,910,809	RESEARCH AND DEVELOPMENT	\$57,925,901
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.859		UNIVERSITY OF NEW MEXICO	3REV9		\$1,413	\$6,910,809	N/A	\$0
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.859		UNIVERSITY OF NEW MEXICO	3RN79		-\$395	\$6,910,809	RESEARCH AND DEVELOPMENT	\$57,925,901
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.859		UNIVERSITY OF NEVADA, LAS VEGAS UNIVERSITY OF NEVADA, LAS VEGAS	GR:07334 GR09465		\$104,386	\$6,910,809	RESEARCH AND DEVELOPMENT	\$57,925,901
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.865					\$114,921	\$121,800	RESEARCH AND DEVELOPMENT	\$57,925,901
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.865		UNIVERSITY OF DENVER	SC37630-02		\$6,879	\$121,800	RESEARCH AND DEVELOPMENT	\$57,925,901
AGING RESEARCH	93.866				\$1,342,879	\$2,682,672	\$2,682,672	RESEARCH AND DEVELOPMENT	\$57,925,901
MEDICAL LIBRARY ASSISTANCE CANCER PREVENTION AND CONTROL PROGRAMS FOR STATE,	93.879		UNIVERSITY OF UTAH	10039576-06	+-,,	\$40,779	\$40,779	RESEARCH AND DEVELOPMENT	\$57,925,901
TERRITORIAL AND TRIBAL ORGANIZATIONS	93.898		WYOMING DEPARTMENT OF HEALTH	191307		\$599	\$599	RESEARCH AND DEVELOPMENT	\$57,925,901
ASSISTANCE PROGRAMS FOR CHRONIC DISEASE PREVENTION AND CONTROL	93.945		WYOMING DEPARTMENT OF HEALTH	07102019ACS001		\$4,620	\$4,620	RESEARCH AND DEVELOPMENT	\$57,925,901
BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES	93.958		WYOMING DEPARTMENT OF HEALTH	013202018REV001		\$9,051	\$9,051	N/A	\$0
BLOCK GRANTS FOR PREVENTION AND TREATMENT OF								·	
SUBSTANCE ABUSE BLOCK GRANTS FOR PREVENTION AND TREATMENT OF	93.959		WYOMING DEPARTMENT OF HEALTH	08312018REV002		-\$2,915	\$88,546	N/A	\$0
SUBSTANCE ABUSE	93.959		WYOMING DEPARTMENT OF HEALTH	192766		\$91,461	\$88,546	RESEARCH AND DEVELOPMENT	\$57,925,901
PPHF GERIATRIC EDUCATION CENTERS MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO	93.969				\$56,281	\$536,959	\$536,959	N/A	\$0
THE STATES A COLLABORATIVE TRAINING INITIATIVE ADDRESSING	93.994		WYOMING DEPARTMENT OF HEALTH	PHSS-2018-373/188697		\$4,076	\$4,076	N/A	\$0
A CUCLABURATIVE TRAINING INITIATIVE ADDRESSING NEONATAL ABSTINENCE SYNDROME: ADAPTING ECHO TO SUPPORT YOUNG CHILDREN IMPACTED BY THE OPIOID CRISIS ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES	93.RD	39-19-7844	ASSOCIATION OF UNIVERSITY CENTERS OF DISABILITIES	39-19-7844	\$48,490	\$164,818	\$680,728	RESEARCH AND DEVELOPMENT	\$57,925,901
TOBACCO PREVENTION AND CONTROL PROGRAM					Ş40,43U				
EVALUATION 2019-2020 MEDICARE COST REPORT PAYMENTS FOR CASPER RESIDENCY PROGRAMS TO	93.RD	11202018ABW001	WYOMING DEPARTMENT OF HEALTH	11202018ABW001		\$515,910	\$680,728	RESEARCH AND DEVELOPMENT	\$57,925,901
ENCOUNTER RATE OF SERVICES PROVIDED ON THEIR ANNUAL CLINIC COSTS REPORTS	93.U01	06106JJ02				\$410,148	\$410,148	N/A	\$0
TOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES					\$2,451,448	\$17,072,302			
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE					32,431,446	317,072,302			
CONT ONATION FOR NATIONAL AND COMMONT FERVICE									
			SERVE WYOMING SERVE WYOMING	18AC200617 14AFHWY0010005		****	****		4-
AMERICORPS TOTAL CORPORATION FOR NATIONAL AND COMMUNITY SERVICE	94.006		SERVE WYOMING	19AC215210		\$183,516	\$183,516	N/A	\$0
TOTAL CORPORATION FOR NATIONAL AND COMMONTT SERVICE					_	\$183,516			
DEPARTMENT OF HOMELAND SECURITY									
			WYOMING OFFICE OF HOMELAND SECURITY WYOMING OFFICE OF HOMELAND						
			SECURITY WYOMING OFFICE OF HOMELAND SECURITY WYOMING OFFICE OF HOMELAND	16-GDP-UWY-LP-SLE16 17-GDP-UWY-LP-SLE17 18-SHSP-UWY-LP-SSS18					
HOMELAND SECURITY GRANT PROGRAM TOTAL DEPARTMENT OF HOMELAND SECURITY	97.067		SECURITY	19-SHSP-UWY-LP-SEM18		\$237,047	\$237,047	N/A	\$0
						\$237,047			
TOTAL EXPENDITURE OF FEDERAL AWARDS					\$9,717,371	\$131,538,049			

Please Note:

Italicized award lines indicate pass-through funding

University of Wyoming (A Component Unit of the State of Wyoming)

Notes to the Schedule of Expenditures of Federal Awards

Year Ended June 30, 2020

1. Basis of Presentation

The accompanying schedule of expenditures of federal awards (the Schedule) includes the federal award activity of the University of Wyoming (the University) under programs of the federal government for the year ended June 30, 2020. The information in this schedule is presented in accordance with the requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of the University, it is not intended to and does not present the financial position, changes in net position or cash flows of the University.

2. Summary of Significant Accounting Policies

Expenditures reported on the Schedule are reported on the cash basis of accounting. Such expenditures are recognized following, as applicable, either the cost principles in the U.S. Office of Management and Budget Circular A-21, *Cost Principles for Educational Institutions*, or the cost principles contained in Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Negative amounts shown on the Schedule represent adjustments or credits made in the normal course of business to amounts reported as expenditures in prior years. The University has elected not to use the 10 percent de minimis indirect cost rate allowed under the Uniform Guidance as the University either uses its negotiated indirect cost rate or the indirect cost rate agreed upon through the grant agreement.

3. Federal Loan Funds – Not Subject to Compliance

The University has certain federal student loan funds not subject to continuing compliance requirements, such as the Federal Direct Student Loans. Since the University does not administer the program, the outstanding loan balances have not been included in the Schedule. New loans made during the year under this program are included in the Schedule.

4. Federal Loan Funds – Subject to Further Compliance

The federal loan programs listed subsequently are administered directly by the University, and balances and transactions relating to these programs are included in the University's basic financial statements. Loans outstanding at the beginning of the year and loans made during the year are included in the federal expenditures presented in the Schedule. The balance of loans outstanding at June 30, 2020, are as follows:

CFDA Number	Program Name	Outstanding Balance at June 30, 2020
84.038	Federal Perkins Loan program	\$3,439,892

Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards

Independent Auditor's Report

Board of Trustees University of Wyoming Laramie, Wyoming

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the business-type activities and the discretely presented component unit of the University of Wyoming (the University), a component unit of the State of Wyoming, as of and for the year ended June 30, 2020, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, and have issued our report thereon dated November 12, 2020, which contained a reference to the report of other auditors. The financial statements of the University of Wyoming Foundation (the Foundation), the discretely presented component unit, were not audited in accordance with *Government Auditing Standards*, and accordingly, this report does not include reporting on internal control over financial reporting or instances of reportable noncompliance associated with the Foundation.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Board of Trustees University of Wyoming

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Denver, Colorado November 12, 2020

Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

Independent Auditor's Report

Board of Trustees University of Wyoming Laramie, Wyoming

Report on Compliance for Each Major Federal Program

We have audited the University of Wyoming's (the University) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2020. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Board of Trustees University of Wyoming

Opinion on Each Major Federal Program

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2020.

Other Matters

The results of our auditing procedures disclosed an instance of noncompliance that is required to be reported in accordance with the Uniform Guidance and which is described in the accompanying schedule of findings and questioned costs as item 2020-002. Our opinion on each major federal program is not modified with respect to this matter.

The University's response to the noncompliance findings identified in our audit is described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the auditing procedures applied in the audit of compliance, and accordingly, we express no opinion on the response.

Report on Internal Control Over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies, and therefore, material weaknesses or significant deficiencies may exist that have not been identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we did identify deficiencies in internal control over compliance, described in the accompanying schedule of findings and questioned costs as items 2020-001 and 2020-002, that we consider to be a significant deficiencies.

Board of Trustees University of Wyoming

The University's response to the internal control over compliance findings identified in our audit is described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the auditing procedures applied in the audit of compliance, and accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of the business-type activities and the discretely presented component unit of the University as of and for the year ended June 30, 2020 and the related notes to the financial statements, which collectively comprise the University's basic financial statements. We issued our report thereon dated November 12, 2020, which contained unmodified opinions on those financial statements and a reference to the reports of other auditors. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

Denver, Colorado February 17, 2021

University of Wyoming (A Component Unit of the State of Wyoming) Schedule of Findings and Questioned Costs Year Ended June 30, 2020

Section I - Summary of Auditor's Results

Financial Statements

1.	Type of report the auditor issued on whether the financial statements audited were prepared in accordance with accounting principles generally accepted in the United States of America (GAAP):								
	☐ Unmodified ☐ Qualified ☐ Adverse ☐	Disclaimer							
2.	Internal control over financial reporting:								
	Material weakness(es) identified?	☐ Yes	⊠ No						
	Significant deficiency(ies) identified?	☐ Yes	None reported						
3.	Noncompliance material to the financial statements noted?	☐ Yes	⊠ No						
7ede	ral Awards								
4.	Internal control over major federal programs:								
	Material weakness(es) identified?	Yes	⊠ No						
	Significant deficiency(ies) identified?	⊠ Yes	☐ None reported						
4.	Type of auditor's report issued on compliance for major federa	al programs:							
	☐ Unmodified ☐ Qualified ☐ Adverse ☐	Disclaimer							
6.	Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)?	n 🖂 Yes	□ No						

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University of Wyoming (A Component Unit of the State of Wyoming)

Schedule of Findings and Questioned Costs (continued)

Year Ended June 30, 2020

7. Identification of major federal programs:

	CFDA Number(s)	Name of Federal Program or Cluste	r							
	84.425E - CARES	Student Financial Aid Cluster Education Stabilization Fund								
8.	Dollar threshold used to disting	Dollar threshold used to distinguish between Type A and Type B programs: \$3,000,000.								
9.	Auditee qualified as a low-risk	auditee?	⊠ No							

University of Wyoming

(A Component Unit of the State of Wyoming)

Schedule of Findings and Questioned Costs (continued)

Year Ended June 30, 2020

Section II – Financial Statement Findings

Reference Number

Finding

No matters are reportable

University of Wyoming

(A Component Unit of the State of Wyoming)

Schedule of Findings and Questioned Costs (continued)

Year Ended June 30, 2020

Section III - Federal Award Findings and Questioned Costs

Reference Number

Finding

2020-001 Finding: Special Tests and Provisions - Verification

Programs: Student Financial Assistance Cluster

CFDA Number: (a) 84.007 Federal Supplemental Educational Opportunity Grants

(b) 84.033 Federal Work-Study Program(c) 84.038 Federal Perkins Loan Program(d) 84.063 Federal Pell Grant Program(e) 84.268 Federal Direct Student Loans

(f) 84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)

Sponsoring Agency: Department of Education (ED)

Sponsor Award Number: G5 Award Number P007A194577 (Federal Supplemental Educational Opportunity Grants)

G5 Award Number P033A194577 (Federal Work Study)

Entity ID 10876626 (Federal Pell Grant Program and Federal Direct Student Loans)

Award Period: 2019-2020

Criteria: An institution is required to establish written policies and procedures that incorporate the provisions of 34 CFR 668.51 through 668.61 for verifying applicant information. The institution shall require each applicant whose application is selected by ED to verify the information required for the Verification Tracking Group which the applicant is assigned.

Condition: The University did not maintain the supporting documentation provided by an applicant and thus could not initially prove acceptable documentation was received to verify the information required for the Verification Tracking Group to which the applicant was assigned and that verification procedures were completed prior to disbursing aid to the applicant. Subsequent to year-end, the University was able to re-obtain the required documentation from the applicant to support the verification as documented in its records and to support that the funds provided to the applicant was proper.

Questioned Costs: None

Context: 2,882 students from the University were selected for verification by ED. We tested a sample of 40 students selected for verification and noted the University did not maintain the required documentation submitted for verification by one applicant. A non-statistical sampling methodology was used to select the samples.

Effect: The University did not maintain the documentation received from the applicant to support it performed the required verification procedures. The student may not have qualified for the level of funding they received.

Cause: The University did not scan the supporting documentation into its document management system.

Identification as a repeat finding: Not applicable.

Recommendation: We recommend the University implement policies and procedures to ensure all required documentation received for verification is scanned into its document management system before it is disposed.

Views of responsible officials: The University agrees with the finding. See separate report for planned corrective actions.

University of Wyoming (A Component Unit of the State of Wyoming)

Schedule of Findings and Questioned Costs (continued)

Year Ended June 30, 2020

Reference Number

Finding

2020-002 **Finding:** Special Tests and Provisions - Enrollment Reporting

Programs: Student Financial Assistance Cluster

CFDA Number: (a) 84.063 Federal Pell Grant Program

(b) 84.268 Federal Direct Student Loans

Sponsoring Agency: Department of Education (ED)

Sponsor Award Number: Entity ID 10876626

Award Period: 2019-2020

Criteria: Institutions are required to report enrollment information under the Pell Grant (34 CFR 690.83(b)) and the Direct Loan program (34 CFR 685.309) via the National Student Loan Data System (NSLDS). The administration of the Title IV programs depends heavily on the accuracy and timeliness of the enrollment information reported by institutions. Institutions must review, update, and verify student enrollment statuses, program information and effective dates that appear in NSLDS records.

Condition: There were inaccuracies between the information in NSLDS and the University records for five students as follows:

- a. Two students who had graduated from the University were reported as withdrawn,
- b. One student was reported as withdrawn in the summer term. The student returned in Fall 2020 and thus should not have been reported as withdrawn per the Enrollment Reporting Guide section 4.4.5, and
- c. The student's major was mis-reported for two students.

Questioned Costs: None

Context: There were 5,012 students who received federal aid and had a change in enrollment status in FY20 (*i.e.* reduction in attendance levels, graduated, withdrew, dropped out or enrolled but never attended the University). We selected a sample of 25 students for testing and noted the above conditions. A non-statistical sampling methodology was used to select the samples.

Effect: Inaccurate reporting of information impacts the federal administration of the Title IV programs.

Cause: The University uses the National Student Clearinghouse (NSC) to transmit data to the NSLDS. If the information provided by the University is not properly tracked or classified and/or reported in a proper manner to the NSC it is not accurately reported to NSLDS.

Identification as a repeat finding: Not applicable.

Recommendation: We recommend the University contact the NSC to determine the underlying cause in the reporting of inaccurate data. We also recommend the University institute a review process to ensure the information reported by NSLDS is accurate.

Views of responsible officials: The University agrees with the finding. See separate report for planned corrective actions.

University of Wyoming

Vice President, Division of Administration 1000 E. University Avenue, Department 3982 • Room 202H, Old Main • Laramie, WY 82071 (307) 766-5766 • fax (307) 766-3436 • www.uwyo.edu/administration

Summary Schedule of Prior Audit Findings Year Ended June 30, 2020

Reference Number	Summary of Finding	Status
2019-001	We recommend that the University strengthens controls over the application of accounting standards to ensure transactions are recorded in compliance with both existing and new guidance. We also recommend that the University implement a process to reconcile CIP on a quarterly basis and continue to strengthen its existing review policies and procedures to ensure the timely and accurate presentation of financial information necessary for external reporting.	Implemented
2019-002	We recommend that the University continue to strengthen its existing review policies and procedures to ensure the timely and accurate presentation of financial information necessary for external reporting. We also recommend the year-end checklist be updated to reflect all journal entries required to be made as part of the closing process, including all financial statement presentation entries.	Implemented
2019-003	We recommend that the University complete a review of federal grants administered by the University to help ensure that the required information is accurately included in the University's systems used to compile the SEFA. Additionally, we recommend that a process be developed to capture all required information during the set-up of new federal grant awards, including a process to obtain any missing information necessary for SEFA preparation and a verification process to ensure the information is input correctly into the systems. This information should be maintained in the University's InfoEd system.	Implemented
2019-004	While the University revised its policies and procedures to conform to the requirements of Uniform Guidance as of June 26, 2019, all employees should be trained on these new policies and procedures and periodic reviews should be performed by the procurement office to ensure that policies are being followed.	Implemented

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Single Audit Corrective Action Plan Year Ended June 30, 2020

Finding 2020- 001:

The University did not maintain the supporting documentation provided by an applicant and thus could not initially prove acceptable documentation was received to verify the information required for the Verification Tracking Group to which the applicant was assigned and that verification procedures were completed prior to disbursing aid to the applicant. Subsequent to year-end, the University was able to reobtain the required documentation from the applicant to support the verification as documented in its records and to support that the funds provided to the applicant was proper.

Department's Responses: We Concur

View of Responsible Officials and Corrective Action:

The Office of Scholarships & Financial Aid (OSFA) has responded to needs for digital processes and encouraged students to submit required documents through a secure upload created in spring 2021. Documents received in an electronic format are moved to Perceptive Content (document management system) immediately.

During this period of transition, the office of Scholarships and Financial Aid continued to receive paper documents which require scanning of the physical document and then indexing of the image into the appropriate students file. These documents are then placed in an area for confirmation that imaging and indexing have successfully occurred. These processes were interrupted in March during the abrupt shift to remote work, resulting in the disruption of processes and steps to verify completion/accuracy.

The OSFA has been able to resume a consistent process for capturing paper documents, moving them to Perceptive Content and reviewing documents that have been stored for future reference. This process has been adapted to allow for continuous operation in the event further or additional remote work scenarios arise.

<u>Name of Responsible Person:</u> Debra Hintz, Director of Scholarships & Financial Aid <u>Name of Department Contact</u>: Debra Hintz, Director of Scholarships & Financial Aid

Projected Completion Date: Completed – January 2021

Single Audit Corrective Action Plan Year Ended June 30, 2020

Finding 2020- 002:

There were inaccuracies between the information in NSLDS and the University records for five students as follows:

- a. Two students who had graduated from the University were reported as withdrawn.
- b. One student was reported as withdrawn in the summer term. The student returned in Fall 2020 and thus should not have been reported as withdrawn per the Enrollment Reporting Guide section 4.4.5.
- c. The student's major was misreported for two students.

Department's Responses: We Concur

<u>View of Responsible Officials and Corrective Action</u>:

The Office of the Registrar (OTR) had a conference call with representatives at the National Student Clearinghouse (NSC) on January 18, 2021. NSC representatives are reviewing the students identified in this audit and will provide a report to the OTR regarding findings and recommendations for corrections (if needed).

The areas identified are as follows:

- 1. Timing of enrollment reports file submissions to NSC.
 - a. NSC has recommended an adjustment to the frequency of file submission by OTR to NSC, recommending a once monthly file submission before the 1st of each month. Currently a bi-monthly file submission protocol is used.
- 2. Notations of graduates/degree completions.
 - a. NSC has recommended OTR submit a separate file to account for degree completions and graduates. Currently, graduates and degree completions are noted in a multi-value master file submission.
- 3. Summer term reporting is not a required term for students.
 - a. Currently, summer terms have been included as part of bi-monthly reporting. OTR will remove summer enrollments from the reporting schedule.
- 4. Students major discrepancies
 - a. NSC is currently using 2010 CIP codes for review of enrollment report files. OTR is currently reporting current student majors based on 2020 CIP codes.

Corrective actions:

- 1. OTR file submission will be updated to occur on a monthly basis versus every two weeks;
- 2. OTR will submit graduates/degree completions in a separate file beginning Spring 2021
- 3. OTR will remove summer enrollments from the monthly reporting and will continue to work together with OSFA to resolve the sited discrepancies, and
- 4. OTR will continue to work with NSC to resolve discrepancies with majors. UW has requested NSC update their use of outdated CIP codes. This practice creates a nationwide impact to institutions, and not exclusively UW.

<u>Name of Responsible Person:</u> Kwanna King, Registrar <u>Name of Department Contact:</u> Kwanna King, Registrar

Projected Completion Date: June, 2021

Board of Trustees, Finance, and Legal Affairs Committee and Management University of Wyoming Laramie, Wyoming

As part of our audits of the compliance of the University of Wyoming (the University) as of and for the year ended June 30, 2020, we wish to communicate the following to you.

AUDIT SCOPE AND RESULTS

Auditor's Responsibility Under Auditing Standards Generally Accepted in the United States of America and the Standards Applicable to Financial Audits Contained in Government Auditing Standards Issued by the Comptroller General of the United States and U.S. Office of Management and Budget (OMB) Title 2 U.S. Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance)

An audit performed in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States and U.S. Office of Management and Budget (OMB) Uniform Guidance is designed to obtain reasonable, rather than absolute, assurance about whether noncompliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on a major federal program occurred. A compliance audit includes designing procedures to detect both intentional and unintentional material noncompliance. The auditor can obtain reasonable, but not absolute, assurance about the University's compliance because of factors such as the need for judgment, the use of sampling, the inherent limitations of internal control over compliance with applicable compliance requirements and the fact that much of the evidence available to the auditor is persuasive rather than conclusive in nature. Our engagement does not include a detailed audit of every transaction. Our engagement letter more specifically describes our responsibilities. Refer to letter dated November 12, 2020 for communications as the result of the financial statement audit.

These standards require communication of significant matters related to a compliance audit that are relevant to the responsibilities of those charged with governance in overseeing the compliance process. Such matters are communicated in the remainder of this letter or have previously been communicated during other phases of the audit. The standards do not require the auditor to design procedures for the purpose of identifying other matters to be communicated with those charged with governance.

Board of Trustees, Finance, and Legal Affairs Committee and Management University of Wyoming Page 2

An audit of compliance does not relieve management or those charged with governance of their responsibilities. Our engagement letter more specifically describes your responsibilities.

Disagreements with Management

The following matters involved disagreements which if not satisfactorily resolved would have caused a modified auditor's opinion on compliance:

• No matters are reportable

Consultation with Other Accountants

During our audit we became aware that management had consulted with other accountants about the following:

• No matters are reportable

Significant Issues Discussed with Management

Prior to Retention

During our discussion with management prior to our engagement, the following issues regarding application of regulations or auditing standards were discussed:

No matters are reportable

During the Audit Process

During the audit process, the following issues were discussed or were the subject of correspondence with management:

- CARES funding
- Schedule of Expenditures of Federal Awards (SEFA) reporting requirements

Board of Trustees, Finance, and Legal Affairs Committee and Management University of Wyoming Page 3

Difficulties Encountered in Performing the Audit

Our audit requires cooperative effort between management and the audit team. During our audit, we found significant difficulties in working effectively on the following matters:

• No matters are reportable

Other Material Communications

Listed below are other material communications between management and us related to the audit:

- Management representation letter (attached)
- We orally communicated to management other deficiencies in internal control over compliance identified during our audit that are not considered material weaknesses or significant deficiencies

INTERNAL CONTROL OVER COMPLIANCE

In planning and performing our audit, we considered the University of Wyoming's (the University) internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over compliance.

Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses and, therefore, there can be no assurance that all deficiencies, significant deficiencies or material weaknesses have been identified. However, as discussed below, we identified a deficiency in internal control over compliance that we consider to be a significant deficiency.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis.

Board of Trustees, Finance, and Legal Affairs Committee and Management University of Wyoming Page 4

A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected and corrected on a timely basis.

A significant deficiency in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

We observed the following matter that we consider to be significant deficiencies.

Significant Deficiencies

Refer to the Independent Auditor's Report on Compliance for Each Major Program and on Internal Control Over Compliance.

* * * * *

This communication is intended solely for the information and use of Management, the Board of Trustees, Finance, and Legal Affairs Committee, others within the University and federal awarding agencies and is not intended to be and should not be used by anyone other than these specified parties.

February 17, 2021

UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021

Page 47

The University of Wyoming

Summary - Performance Audit of EPSCoR Incurred Costs

7/1/2012 - 7/31/2019

Finding Title	Finding Summary	Finding Total	Corrective Action	Min Disallow Costs	′	Max Disallow Costs
# 1 - Unsupported Cost Share Transfer	A transfer of cost sharing expenses in legacy system was keyed incorrectly and not corrected.	\$ 90,000	These are internal cost shared expenses. Other expenses have been identified to meet the cost sharing commitment.	\$ -	Ç	-
# 2 - Unsupported and unallowable promotional costs	Documentation of expenses and supplier invoices in legacy system did not detail the items purchased or how they benefitted the award objectives.	\$ 7,908	UW concurs with the finding. Current procurement policies/procedures address this.	\$ 7,9	08 \$	7,908
# 3 - Unallowable Summer Research Apprentice Program Activity Expenses	Activities planned for high school students are considered entertainment costs which are not allowed on federal awards.	\$ 15,207	UW does not concur with the direct costs in question but agrees indirect costs should not be included. UW will dispute this finding since this is an on-campus, residency program so activities are needed for student safety and activities provide educational opportunities.	\$ 4,1	50 \$	5 15,207
# 4 - Unallowable Indirect Costs Charged	Participant support costs should not be assessed indirect costs. UW assessed indirect on participant costs in error.	\$ 24,773	UW concurs with the finding. Current system configuration and award setup procedure addresses this.	\$ 24,7	73 \$	24,773
# 5 - Unallowable meal charges	Meals intended for participants included non-participants which are not allowed on federal awards.	\$ 864	UW concurs with the finding. Current procurement policies/procedures address this.	\$ 8	64 \$	864
# 6 - Unsupported Subrecipient Expenses	WRNAC, subrecipient under UW award, was not able to support billed expenses and UW provided an advance of funds inconsistent with the terms of the subaward.	\$ 117,599	UW concurs with finding. Amount updated to include \$11,125 for the F&A paid on the first \$25K of subaward.	\$ 117,5	99 \$	5 117,599
		\$ 256,351	Totals	\$ 155,2	94 \$	166,351
			Direct			
			Indirect		67 \$	
			Total NSF Costs Audited (excludes cost sharing) % of Disallowed Costs		65 \$ 6%	23,567,565 0.71%

The University requests the Trustee's approve the use of up to \$260,000 of the University's Legal Reserve to settle the Performance Audit of EPSCoR Incurred Costs.

Page 33 of 36

AGENDA ITEM TITLE: Request for Use of Legal Reserve, Office of Inspector General's Audit of UW's EPSCoRE Grant, Moore/Jewell/Hulme

SESSION TYPE:	APPLIES TO STRATEGIC PLAN:
☐ Work Session	☐ Yes (select below):
☐ Education Session	☐ Driving Excellence
☐ Information Item	☐ Inspiring Students
☑ Other:	☐ Impacting Communities
[Committee of the Whole – Items for Approval]	☐ High-Performing University
☑ Attachments are provided with the narrative.	

EXECUTIVE SUMMARY:

The National Science Foundation Office of Inspector General (NSFOIG) conducted a performance audit of incurred costs on two Established Program to Stimulate Competitive Research (EPSCoR) awards to the University of Wyoming (UW). The objective of this audit was to determine if costs claimed by UW were allowable, allocable, reasonable, and in conformity with NSF award terms and conditions and applicable Federal requirements.

The NSFOIG identified instances of noncompliance with certain Federal regulations, NSF policies, and award terms and conditions in UW's administration of both EPSCoR awards. Specifically, we questioned \$256,351 in direct and indirect costs claimed by UW, including:

- \$90,000 in an unsupported cost transfer;
- \$7,908 in unsupported and unallowable promotional expenses;
- \$15,207 in unallowable activity expenses;
- \$24,773 in unallowable indirect cost charges;
- \$864 for unallowable meal expenses; and
- \$117,599 in unsupported subrecipient expenses.

The Administration is requesting use of up to \$260,000 to address the NSFOIG audit findings.

PRIOR RELATED BOARD DISCUSSIONS/ACTIONS:

N/A

WHY THIS ITEM IS BEFORE THE BOARD:

Use of funding from the Trustees' Legal Reserve requires board approval.

ACTION REQUIRED AT THIS BOARD MEETING:

Approval to use up to \$260,000 of funding from the Trustees' Legal Reserve to address the NSFOIG audit findings.

PROPOSED MOTION:

I move to recommend to the use of up to \$260,000 from the University Legal Reserve fund to settle the Performance Audit of EPSCoR Incurred Costs audit findings.

PRESIDENT'S RECOMMENDATION:

The President recommends approval.

UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021

UNIVERSITY OF WYOMING

Vice President for Research & Economic Development

1000 E. University Avenue, Department 3355 • Room 305/308, Old Main • Laramie, WY 82071 (307) 766-5353 • (307) 766-5320 • fax (307) 766-2608 • www.uwyo.edu/research

January 5, 2021

Ms. Kelly Stefanko Audit Manager National Science Foundation (NSF) Office of Inspector General – Office of Audits kstefank@nsf.gov

Re: Draft audit report "Performance Audit of EPSCoR Incurred Costs – University of Wyoming" dated December 10, 2020

Dear Ms. Stefanko:

The University of Wyoming (UW) has received and reviewed the draft audit report "Performance Audit of EPSCoR Incurred Costs – University of Wyoming" dated December 10, 2020. The following is our response to each audit finding in the report.

Finding 1: Unsupported Cost Share

The University of Wyoming (UW) concurs with this finding. A transfer of \$19,040 in allowable expenses for cost sharing was erroneously entered as \$109,040. The difference of \$90,000 has since been resolved. UW has identified an additional \$90,000 in allowable expenses to meet the mandatory cost sharing requirement and to support the cost sharing reports submitted to NSF for this award.

UW implemented a new cost transfer policy "Expenditure Correction / Cost Transfer Policy" on 7/1/2019. College Business Officer training was completed in Fall 2019 and updated materials and guidance was sent to the campus. Office of Sponsored Program Coordinators were trained Fall 2020.

Finding 2: Unsupported and Unallowable Promotional Expenses

The University of Wyoming (UW) concurs with this finding and will repay NSF these costs. UW's current "Procurement Card Policies and Procedures" (http://www.uwyo.edu/administration/financial-affairs/policies/) requires itemized receipts.

Finding 3: Unallowable Entertainment Expenses

The University of Wyoming (UW) believes these are necessary expenses to support UW's "Summer Research Apprentice Program" (SRAP). SRAP is a 2-month residential program for high school students that provides hands-on experience in science, mathematics, statistics, and/or engineering research in support of NSF's broader impacts requirement for this award. The program also provides educational and research activities outside of the lab to keep students engaged and safe. The expenses in question were primarily for activities that may be considered entertainment for a research project, but these activities are vital to the success of this residential program that includes minors living on a college campus. Further information about this program can be found at: http://www.uwyo.edu/epscor/broader-impacts/srap/.

UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021 Page $50\,$

January 5, 2021

Ms. Kelly Stefanko

Re: Draft audit report "Performance Audit of EPSCoR Incurred Costs – University of Wyoming"

Finding 3: Unallowable Entertainment Expenses (continued)

UW agrees that additional support can be provided to the program officer in support of these activities in question and prior to the start of each year's program. The SRAP program coordinator develops a calendar of activities each year and tracks attendance. UW will document the programmatic purpose and participants on the related expenses documentation for SRAP activities.

UW agrees that indirect costs should not have been assessed to these participant support expenses and will repay NSF \$4,150.

Finding 4: Unallowable Indirect Costs Charged

The University of Wyoming (UW) concurs with this finding and will repay NSF these costs. UW implemented a new practice to create distinct projects for participant support costs with a budget that matches the awarded participant support funding. This was a practice that existed before the conversion to the new financial system in July 2017.

Finding 5: Unallowable Meal Charges

The University of Wyoming (UW) concurs with this finding and will repay NSF these costs. UW's current Direct Charging policy (http://www.uwyo.edu/administration/financial-affairs/sponsored-programs/) makes the distinction between allowable meals and meals for entertainment. Training was provided to College Business Officers in Fall 2019. UW plans to train department grant administrators in spring/summer 2021 as part of a comprehensive campus outreach and training program.

Finding 6: Unsupported Subrecipient Expenses

The University of Wyoming (UW) agrees to repay NSF these costs as the subrecipient did not meet the terms of the subaward agreement prior to UW issuing payment. Based on the subrecipient's inability to meet the terms of the subaward agreement, UW will not provide any additional funding to the subrecipient. UW is not aware of fraud related to this subaward.

UW's current Sub-recipient Monitoring Procedure (http://www.uwyo.edu/administration/financial-affairs/sponsored-programs/) includes the requirement for the award's Principal Investigator to approve invoices for payment consistent with the subaward terms and conditions. UW plans to train department grant administrators in spring/summer 2021 as part of a comprehensive campus outreach and training program.

UW requests information to assess the risk of a potential subrecipient consistent with the industry standards as prescribed by the Federal Demonstration Partnership (FDP). FDP is an association of federal agencies, academic research institutions with administrative, faculty and technical representation, and research policy organizations that work to streamline the administration of federally sponsored research. FDP subaward forms including a risk assessment template can be found at:

https://thefdp.org/default/subaward-forms/. The UW template is intended to provide certification that an organization has the necessary controls to accept and manage a federal award.

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January 5, 2021

Ms. Kelly Stefanko

Re: Draft audit report "Performance Audit of EPSCoR Incurred Costs – University of Wyoming"

We appreciate your review and consideration of our responses. Please contact Comfort Brownell, Senior Director, Office of Sponsored Programs at cbrowne4@uwyo.edu if you have any questions or need additional information regarding this audit.

Respectfully,

Dains of blute

Diana Hulme

Associate Vice President for Research

xc: Comfort Brownell, Senior Director, Office of Sponsored Programs, University of Wyoming Brent Ewers, Director of EPSCoR, University of Wyoming David Jewell, Associate Vice-President, Financial Affairs, University of Wyoming Farrell Rapp, Director, Research Services, University of Wyoming

AGENDA ITEM TITLE: <u>Approval of modifications to UW Regulation 1-1 (Organization of the University)</u>, Brown/Evans

SESSION TYPE:	APPLIES TO STRATEGIC PLAN:
☐ Work Session	
☐ Education Session	☐ Driving Excellence
☐ Information Item	☐ Inspiring Students
☑ Other:	☐ Impacting Communities
[Committee of the Whole – Items for Approval]	
	☐ No [Regular Business]
\boxtimes Attachments are provided with the narrative.	

EXECUTIVE SUMMARY:

Attached is UW Regulation 1-1 (Organization of the University) with proposed modifications to allow flexibility for the President to modify the titles of his principal administrative officers (for example, senior vice president; vice president; deputy vice president, etc.). Vice presidents and deans must still be appointed by the Trustees upon the recommendation of the President following consultation with the appropriate University Officers and faculty. Housekeeping and streamlining edits were also made.

Per the routing process for UW Regulations, the proposed modifications were provided to the President's Cabinet, Deans and Directors, Faculty Senate, Staff Senate, ASUW, and the Internal Auditor. The Faculty Senate Executive Committee provided suggested edits, which were incorporated. The Trustees Regulation Committee will discuss this item at the February 2021 Board of Trustees conference call and recommend full Board action, if appropriate.

PRIOR RELATED BOARD DISCUSSIONS/ACTIONS:

None.

WHY THIS ITEM IS BEFORE THE BOARD:

UW Regulation 1-101 requires that the Board approve modifications to UW Regulations.

ACTION REQUIRED AT THIS BOARD MEETING:

Board approval, modification, or disapproval of the recommended modifications to the Regulations.

PROPOSED MOTION:

"I move to authorize modifications to UW Regulation 1-1, as presented to the Board"

PRESIDENT'S RECOMMENDATION:

The President recommends approval.

UNIVERSITY OF WYOMING REGULATIONS

Subject: Organization of the University

Number: UW Regulation 1-1



I. OFFICERS OF THE UNIVERSITY

A. Designation

Officers of the University are:

- 1. The President,
- 2. Administrative Officers, to include the Vice Presidents, heads of the Chief Diversity Officer, the General Counsel, the Director of Government Relations and the Director of Intercollegiate Athletics divisions as set forth in section II of this regulation, (Academic Affairs, Diversity, Equity and Inclusion, Finance and the Vice Provost, Associate Vice Provosts Administration, General Counsel, Information Technology, Institutional Advancement, Research and Associate Vice Presidents; Economic Development, Student Affairs, Intercollegiate Athletics, and Government and Community Affairs),
- 3. Vice provosts, associate vice provosts, deputy vice presidents, and associate vice presidents, if any;
- **1.4.** Academic Officers, to include Deans, Directors, Associate and Assistant Deans and Department deans, directors, associate and Division Heads assistant deans and department and division heads, of the organized education units specified in UW Regulation 2-411 (Academic Organization).

B. Appointment

The President of the University shall be appointed by the Trustees as provided in the Bylaws of the Trustees of the University of Wyoming. Nine affirmative votes of the Trustees shall be required for appointment. The following Officers shall be appointed by the Trustees upon the recommendation of the President following consultation with the appropriate University Officers and faculty: Vice Presidents, the Chief Diversity Officer, General Counsel, the Director of Government Relations, the Director of Intercollegiate Athletics, Deansthe heads of the divisions as set forth in section II of the regulation, deans, and the Executive Director of the School of Energy Resources. All appointments under this paragraph shall be on such terms with respect to salary, terms of employment and like matters as the Trustees may determine.

C. Search Committees

Whenever the appropriate appointing authority appoints a Search Committee with regard to the selection of an administrative officer Administrative or an Academic Officer (as defined below), the following shall apply:

- 1. The President of the Board of Trustees shall appoint a committee of three (3) members of the Board to serve as the Board of Trustees Vice President and Dean Search Committee to allow the Board of Trustees to be kept fully informed without interfering with the process.
- 2. The Chair of the University's Search Committee shall provide the Vice President and Dean Search Committee with a copy of the statement of qualifications the Search Committee will use during its recruitment process.
- **3.** The Chair of the Search Committee shall regularly inform the Vice President and Dean Search Committee of the progress of the search, recognizing that the Vice President and Dean Search Committee can provide nonbinding feedback.
- 4. After the Search Committee has identified the final candidates, the Chair of the Search Committee will recommend the final candidates to the appropriate appointing authority and the Vice President and Dean Search Committee. The Chair of the Search Committee, and such other members of the Search Committee deemed advisable, shall confer with the appointing authority and the Vice President and Dean Search Committee, solely for the purpose of the Vice President and Dean Search Committee receiving information.
- 5. The Vice President and Dean Search Committee may communicate with the full membership of the Board of Trustees as it determines. All communications to and from the Vice President and Dean Search Committee under this regulation shall be treated in a confidential manner.
- 6. When the President of the University has determined the top 2-3 candidates for a position to which this Paragraph P applies, prior to the University commencing negotiations with the person to whom the offer will be made, the President of the University shall advise the Board of Trustees of the intention to make an offer of employment to the top candidate or the other candidates, if applicable, should negotiations with the top candidate be unsuccessful. The President shall do so in an executive session of a meeting of the Board of Trustees and shall provide such information to the Board as the Board may require. Negotiations with the candidate shall not commence prior to the Board's executive session. If the negotiations are successful with any of the top candidates, the President of the University shall recommend the Board approve

- appointment of the candidate but all matters shall remain confidential pending the Board's final approval.
- 7. In establishing their time frames applicable to the search process, the President, working with the Search Committee, shall take into consideration the Trustees' regularly scheduled monthly meetings in an effort to coordinate the approval/hiring process with such Trustee meeting schedule so as to reduce the number of special meetings which would otherwise have to be held to assure compliance with this Regulation.

This process applies to the selection of the <u>following administrative officersheads</u> of the divisions as set forth in section II of the regulation, deans, and the Executive <u>Director of the School of Energy Resources</u> (as well as any additional vice president or dean positions that may be established in the future):).

- a. Provost and Vice President for Academic Affairs
- b. Vice President for Finance and Administration
- c. Vice President for Information Technology
- d. Vice President for Institutional Advancement
- e. Vice President for Research and Economic Development
- f. Vice President for Student Affairs
- g. Chief Diversity Officer
- h. Director of Government Relations
- i. Director of Intercollegiate Athletics

D.A. General Counsel

- j. Dean of College of Agriculture and Natural Resources
- k. Dean of College of Arts and Sciences
- I. Dean of College of Business
- m. Dean of College of Education
- n. Dean of College of Engineering and Applied Science
- o. Dean of College of Health Sciences
- p. Dean of College of Law
- q. Dean of Haub School of Environment and Natural Resources
- r. Dean of Honors College
- s. Dean of Libraries

Executive Director of SER

E.D. Removal

Any person appointed to an office or position pursuant to this section may be removed by the Trustees whenever in their judgment the best interests of the University will be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the person so removed. Likewise such removal shall be without prejudice to the rights, if any, of such person as a tenured member of the faculty.

II. THE ADMINISTRATIVE ORGANIZATION OF THE UNIVERSITY

A. President of the University

Subject to control of the Board of Trustees, the President of the University is the chief executive officer of the University and is vested with powers and duties as provided by laws of this State and the Bylaws of the Trustees of the University of Wyoming. In addition to such duties, the President shall enforce UW Regulations as adopted hereof, and the President is hereby clothed with all authority requisite to these ends. Any authority or responsibility of the President may be delegated by him/her to any other member of academic personnel (faculty or academic professional) or staff of the University, but delegation of major areas of authority or responsibility shall have the prior consent of the Trustees. The President shall establish standing administrative committees of the University. All administrative committees established under the authority of the President shall be responsible and advisory to the President. The President is authorized to settle claims against the University up to \$100,000.

In the event of the termination, resignation, death or incapacity of the President, the Trustees may appoint an acting President who shall perform the duties and have the powers of the President during such time as the Board of Trustees may direct. If no acting President has been appointed by the Board of Trustees, the duties of the President shall be performed by the Provost and Vice President for head of Academic Affairs.

The President shall serve as the ordinary channel of communication between the Trustees and academic personnel and between the Trustees and all subordinate administrative officers and staff of the internal organization. This regulation shall not be interpreted to limit the right of communication between academic personnel or other officers of the University and the Trustees or to limit the manner in which the Trustees may gain information as to the work and operation of the University.

The President shall have as principal administrative officers a Provost and Vice President for Academic Affairs, a Vice President for Student Affairs, a Vice President for Finance and Administration, a Vice President for Research and Economic Development, a Vice President for Institutional Advancement, a Vice President for Information Technology, a General Counsel, a Director of Government Relations, a Director of Intercollegiate Athletics and a Chief Diversity Officer. The Chief Diversity Officer shall, at the direction of the President and in collaboration with the other principal administrative officers of the University, lead the development and implementation of the University diversity plan. head of each unit as set forth in section II of the regulation. The President also may have other assistants as are authorized from time to time and may also authorize the appointment of a Vice Provost, Associate Vice Prevosts and Associate Vice Presidents vice provost, associate vice provosts,

<u>senior vice presidents</u>, <u>deputy vice presidents</u>, <u>and associate vice presidents</u> for each of the principal administrative officers, who shall perform such duties as specified.

B. Provost and Vice President for Academic Affairs

As the principal administrative officer for academic affairs, the Provost and Vice President supervises Academic Affairs oversees the academic colleges and schools; academic personnel; academic centers and institutes; the Art Museum; the University of Wyoming at Casper; enrollment management; international programs; the University Libraries; and undergraduate and graduate education.

The Provost Academic Affairs shall initiate, organize, or direct such actions as are necessary and appropriate to assure that academic program needs and standards are established and implemented by appropriate units and officers of the University, including accreditation. The Provost Academic Affairs shall consult with and advise the President on the recommendations of the heads of the various academic units concerning organization, development of programs, appointment of academic personnel, promotions, leaves of absence, salaries, and the commission of special studies relating to curriculum, instruction, academic personnel and other areas as needed.

Additional administrative units may be assigned to the ProvostAcademic Affairs by the President. The ProvostAcademic Affairs may be supervised by a provost, a senior vice president, or a vice president and may be assisted by a Vice Provost, one or more Associate Vice Provostsvice provost, associate vice provosts, senior vice presidents, deputy vice presidents, associate vice presidents, and such other assistants as are authorized by the President from time to time.

C. Vice President for Diversity, Equity, and Inclusion

The Office of Diversity, Equity, and Inclusion advises the President on organizational and transformational change related to diversity, equity, and inclusion, and in collaboration with the other principal Administrative Officers of the University, leads the development and implementation of the University diversity plan.

C.D. Finance and Administration

The Vice President for Finance and Administration is the principal financial officer of the University and shall be responsible to the President for the administration of all the business and financial affairs of the University and UW Operations, including business and capital planning, preparation and administration of the University budget and development of long-term financing strategies to support implementation of the Capital Facilities Plan. The Vice President for Finance and Administration serves as the principal advisor toadvises the President on the status of the University budget,

and shall performoversees such duties as are required by statute or by UW Regulations.

The Vice President for Finance and Administration, in consultation with General Counsel, shall prepare such agreements, leases, and other instruments relating to the transfer of real property, as may be appropriate to the management, control, acquisition, or disposition of property of the University in accordance with UW Regulations or other directions of the Board of Trustees. The Vice President for Finance and Administration shall have administrative supervision over the following units: Campus Police, Financial Affairs, Human Resources, University Operations and such other units and personnel as may be designated by the President.

In accordance with the Bylaws, the Vice President for head of Finance and Administration shall serve as the Deputy Treasurer of the Trustees of the University of Wyoming, and shall exercise all duties and responsibilities incident to this position, including the receipt, custody and recording of all monies or funds payable to the Trustees, the Treasurer, the University, or any of its colleges, divisions, or departments and the disbursement or investment of such funds and monies as authorized by the Trustees.

E. General Counsel

D. General Counsel

The

General Counsel provides legal <u>and risk</u> advice to the University, <u>supervises the General Counsel's Office</u>, and coordinates communications with the state's Attorney General. <u>The General Counsel</u> is responsible for administration of UW Regulations, procedures related to risk management, and review of discrimination, harassment, and workplace violence complaints. <u>The General Counsel has administrative responsibility for the Equal Opportunity Report and Response Unit and the Risk Management Office.</u>

E.F. Vice President for Information Technology

The Vice President for Information Technology shall beis responsible to the President for the general information technology functions of the University. As the chief information officer of the University, the Vice President shall oversee and manage the elements of Information Technology, including administrative and academic computing, networking, telecommunications, computing laboratories and customer support services.

The Vice President Information Technology shall advise the President and the University community on issues involving Information Technology and data privacy; develop and manage computing standards, network architecture and security;

determine information integration methodologies; and work with internal and external constituents to support diverse technology needs and build consensus_on information technology issues. The Vice PresidentInformation Technology shall be an advocate for the development and use of technology in instruction, academic support, research, social media, and institutional support.

The Vice President shall; evaluate and analyze beneficial emerging and advanced technologies and provide a stable, reliable technology infrastructure for the University.

F.G. Vice President for Institutional Advancement

The Vice President for Institutional Advancement shall beis responsible to the President and the Board of Trustees for private fundraising and relations with donors, and shall maintain administrative supervision of the Office of. Institutional Advancement. The Vice President's Advancement's primary functions shall include seeking private financial support for the University, coordinating private development and fundraising activities, and communicating with the University of Wyoming Foundation.

The Vice President Institutional Advancement shall initiate, organize, or direct such actions as are necessary and appropriate to ensure that development and donor relations are properly implemented and coordinated, and shall consult with, engage and advise the President and the Board of Trustees on all development and donor relations.

G.H. Vice President for Research and Economic Development

The Vice President for Research and Economic Development shall, which can be one combined unit or two separate units, is responsible to the President for the general administrative supervision and coordination of research programs conducted by units of the University. The Vice President shall be Research and Economic Development is responsible for reviewing and evaluating proposed research and economic development programs; continuing review of existing contracts and grants; and maintaining research compliance, including oversight of the Institutional Review Board (IRB), the Institutional Animal Care and Use Committee (IACUC), the Institutional Biosafety Committee (IBC), the Radiation Safety Committee, and conflict of interest in research. Research and export control. The Vice President shall Economic Development is also be responsible for responding to allegations of research misconduct and the head or designee shall serve as the Research Integrity Officer for the University.

The Vice President shall be Research and Economic Development is responsible for maintaining an assessment of the available research capabilities of the University and

shall interact, interacting with governmental agencies or other entities sponsoring or seeking research or investigatory studies, and overseeing economic development and innovation initiatives.

The Vice President shall have administrative supervision over the following research units: Research Services, the Science Initiative, the Advanced Research Computing Center (ARCC), the Wyoming IDeA Networks for Biomedical Excellence (INBRE) Program, the Stable Isotope Facility, the Wyoming Survey and Analysis Center (WYSAC), the University of Wyoming National Park Service Research Center, the Neuroscience Center, the Water Research Program/Office of Water Programs, Wyoming EPSCoR/IDeA, the Wyoming Natural Diversity Database (WYNDD), the High Plains American Indian Institute (HPAIRI), the offices of Undergraduate Research, UW/Community College Research Network, Research Resources, Research Coordination and Stimulus, the Humanities Institute, and any such other units as may be designated by the President.

The Vice President shall have administrative supervision over the following economic development units: the Institute for Innovation and Entrepreneurship, the Wyoming Procurement Technical Assistance Center (PTAC), the Research Products Center and Technology Transfer Office, Manufacturing Works, the Market Research center, the Wyoming SBIR/STTR Initiative (WSSI), the Wyoming Small Business Development Center (SBDC), the Wyoming Technology Business Center (WTBC), Wyoming Public Media, and any such other units as may be designated by the President.

H.I. Vice President for Student Affairs

The Vice President for Student Affairs shall beis responsible to the President for the general administrative leadership and coordination of programs and services designed to support the learning and development of UW students.

The Vice President Student Affairs shall develop and deliver services, programs and facilities that promote the intellectual, personal, cultural and civic development of students; coordinate efforts to create a caring community in which individuals are respected, encouraged to pursue excellence, and assisted in achieving their potential; and foster the celebration of diversity of individuals and cultures.

The Vice President for Student Affairs shall have administrative supervision over the following units: Alumni Relations; the Associated Students of the University of Wyoming (ASUW); Cowboy Parents; Campus Recreation; Dean of Students; Multicultural Affairs; Residence Life and Dining Services; the University Disability Support Services; Student Health Service; University Counseling Center, which includes the AWARE program; Wyoming Union and the Center for Student Involvement and Leadership; UW Catering and Events; the Wyoming Conservation Corp; and any other such units or responsibilities as may be

designated by the President. Each unit shall be headed by a director who shall be responsible for all matters relating to the administrative affairs of that unit.

L.J. Director of Intercollegiate Athletics

The Director of Intercollegiate Athletics shall beis responsible to the President for the planning, direction and management of the Athletics Division.

Athletic coaches shall not be considered Officers of the University but shall be contractual employees. The President shall have the authority to appoint any athletic coach with a one-year contract and shall consult with the Executive Committee of the Trustees prior to appointing any coach with a multi-year contract.

J.K. Director of Government Relations and Community Affairs

The Director of Government Relations and Community Affairs is responsible to the President for coordinating the efforts to engage policy makers at the federal and state level to promote the University and enhance the University's ability to meet is mission and goals. The Director for Government Relations and Community Affairs represents the University in its communications with elected officials at all levels, including all federal and state legislation. The Director Government and Community Affairs provides advice, assistance, and information to the Board of Trustees, the President, and other University units with respect to government relations.

Responsible Division/Unit: Office of the President

Source: None

Links: http://www.uwyo.edu/regs-policies

Associated Regulations, Policies, and Forms: Bylaws of the Trustees of the University of

Wyoming, Article IX

History:

Trustee Regulations I, II, III, and IX.B; adopted 1/22/2010 Board of Trustees minutes

Revisions adopted 11/18/2010 Board of Trustees meeting

Revisions adopted 3/23/2012 Board of Trustees meeting

Revisions adopted 1/17/2014 Board of Trustees meeting

Revisions adopted 6/16/2014 Board of Trustees meeting

Revisions adopted 7/17/2014 Board of Trustees meeting

Revisions adopted 7/17/2014 Board of Trustees meeting

Revisions adopted 1/20/2016 Board of Trustees meeting

Revisions adopted 11/18/2016 Board of Trustees meeting

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Draft 2-8-21

Revisions adopted 3/23/2017 Board of Trustees meeting Revisions adopted 5/11/2017 Board of Trustees meeting Revisions adopted 7/20/2017 Board of Trustees meeting Revisions adopted 9/15/2017 Board of Trustees meeting Reformatted 7/1/2018 Revisions adopted 11/15/2018 Board of Trustees meeting (effective 7/1/2019)

UW Regulation 1-102(I)(A) adopted Minutes of the Trustees, March 3-4, 2000, Budget Committee Moved to UW Regulation 1-1 on 11/15/2018 Board of Trustees meeting (effective 7/1/2019)

UW Regulation 1-102(I)(P) adopted Minutes of the Trustees, January 16, 2015, Work Session Revisions adopted and moved to UW Regulation 1-1 on 11/15/2018 Board of Trustees meeting (effective 7/1/2019)

AGENDA ITEM TITLE: <u>Approval of modifications to UW Regulation 2-8 (Appointment, Evaluation and Removal of Academic Administrators)</u>, Brown/Evans

SESSION TYPE:	APPLIES TO STRATEGIC PLAN:
☐ Work Session	
☐ Education Session	☐ Driving Excellence
☐ Information Item	☐ Inspiring Students
☑ Other:	☐ Impacting Communities
[Committee of the Whole – Items for Approval]	
	☐ No [Regular Business]
☑ Attachments are provided with the narrative.	

EXECUTIVE SUMMARY:

At the September 2016 Board of Trustees meeting, the Board approved review by UW Administration of UW's current regulatory structure, to include the following:

- 1) Phasing out presidential directives;
- 2) Defining regulation versus policy/procedure;
- 3) Creating a new "look" and format for the regulations; and
- 4) Updating the substance of the regulations, policies, and procedures as needed, including determining whether there are any substantive gaps.

At the January 2017 Board of Trustees meeting, the University proposed 12 sections for the new *Governing Regulations and Standard Administrative Policies and Procedures Manual*.

Section 1: Governance and Structure

Section 2: Academic Affairs

Section 3: Athletics

Section 4: Diversity and Equal Opportunity

Section 5: Employment and Ethics

Section 6: Facilities

Section 7: Finance and Business **Section 8:** Information Technology

Section 9: Research and Economic Development

Section 10: Safety and Security Section 11: Student Affairs Section 12: University Relations

The new structure will involve three levels of policies:

- 1) Governing Regulations (Level A)
- 2) Standard Administrative Policies and Procedures (Level B)
- 3) Department/Unit Administrative Policies and Procedures (Level C)

The Section 2 Academic Personnel Regulations (2-1 through 2-16) include such matters as:

Describing academic designations, ranks and terms of appointment applicable to members
of the faculty and other academic personnel, and general provisions regarding academic
personnel;

- 2) Outlining University policies on academic freedom, faculty workload, sabbatical and professional development leave, and post-tenure review;
- 3) Defining University-level standards and outlining procedures for reappointment, tenure and promotion, post-tenure review, and compensation;
- 4) Establishing procedures for the resolution of academic personnel disputes and for the dismissal of academic personnel; and
- 5) Providing definitions of academic administrative structures and positions and describing terms of appointments and general provisions regarding academic administrators.

Per the routing process for UW Regulations, the proposed modifications to UW Regulation 2-8 (Appointment, Evaluation and Removal of Academic Officers) were provided to the President's Cabinet, Deans and Directors, Faculty Senate, Staff Senate, ASUW, and the Internal Auditor in Spring 2019. Faculty Senate provided suggested edits April 15, 2020, and Academic Affairs provided additional suggested edits July 22, 2020. Faculty Senate Executive Committee provided an additional edit, which was incorporated January 19, 2021.

The Trustees Regulation Committee will discuss this item at the February 2021 Board of Trustees Conference Call and recommend full Board action, if appropriate.

PRIOR RELATED BOARD DISCUSSIONS/ACTIONS:

None.

WHY THIS ITEM IS BEFORE THE BOARD:

UW Regulation 1-101 requires that the Board approve modifications to UW Regulations.

ACTION REQUIRED AT THIS BOARD MEETING:

Board approval, modification, or disapproval of the recommended modifications to the Regulations.

PROPOSED MOTION:

"I move to authorize modifications to UW Regulation 2-8, as presented to the Board"

PRESIDENT'S RECOMMENDATION:

The President recommends approval.

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UW Regulaton 2-8 CLEAN COPY UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021

Page 66

Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19

Trustees Regulation Committee endorsed 2-27-19

Faculty Senate endorsed the redline changes 4-10-20

GC/AA Comments added 5-6-20

Changes incorporated 7-22-20

FS Executive Committee changes incorporated 1-19-21

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UNIVERSITY OF WYOMING REGULATIONS

Subject: Appointment, Evaluation and Removal of Academic Officers

Number: UW Regulation 2-8

I. PURPOSE

To provide consistent definitions of academic administrative structures and positions, and to describe terms of appointments and general provisions regarding Academic Officers.

II. DEFINITIONS

Academic Officer: Pursuant to UW Regulation 1-1, academic officer includes Executive Directors/Directors, Deans, Associate and Assistant Deans, and Unit/Division Heads.

Academic Unit: A department, center, institute, school, college or other academic division to which University faculty are assigned under the aegis of the Provost and Vice President for Academic Affairs.

At-Will: An at-will appointment allows the employee or the University to terminate the employment relationship at any time for any reason except an unlawful one.

III. POLICY

The Trustees of the University of Wyoming observe a policy of administrative accountability, through the President, for the effective operation and distinctive quality of the University. The President is responsible for recommending the appointment or removal of the Provost and Vice President of Academic Affairs, Deans, and the Executive Director of the School of Energy Resources; and assuring regular evaluation of their performance.

College and unit level administrators serve several roles as managers of the university, leaders of their academic units, and representatives of the views and interests of their academic colleagues. Therefore, the appointment, evaluation or removal of Academic Officers normally shall involve significant faculty participation.

Academic Officers Page 1 of 3

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Page 67
Draft 3-11-19
Trustees AA/SA Committee endorsed 2-21-19
Trustees Regulation Committee endorsed 2-27-19
Faculty Senate endorsed the redline changes 4-10-20
GC/AA Comments added 5-6-20
Changes incorporated 7-22-20
FS Executive Committee changes incorporated 1-19-21
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IV. TERMS OF APPOINTMENT

The at-will appointment of Academic Officers shall be for a defined term (usually four years) to be specified at the time of appointment. Terms may be renewed, contingent upon University or college need, adequate funding for the position, and satisfactory job performance. Academic Officers who hold an academic appointment retain their rights to tenure or term appointment upon return to the faculty.

Appointments, reappointments, review and dismissal of all Academic Officers shall be consistent with UW Regulations and Standard Administrative Policies and Procedures.

V. PERFORMANCE ASSESSMENT

The University is committed to fostering success of its Academic Officers and enhancing their effectiveness in a constructive way. The review and evaluation of Academic Officers includes both an evaluative and developmental component. The President, Provost and Vice President of Academic Affairs, or Dean, as appropriate, shall conduct annual performance evaluations for each Academic Officer prior to the approval of the University's annual budget. Additionally, the Provost and Vice President of Academic Affairs may conduct a comprehensive performance review of any Academic Officer specified in this Regulation at any time.

At the end of the second year of the Provost, a Dean or the Executive Director of the School of Energy Resources' initial appointment, a performance review will be completed to determine the effectiveness of the Dean or Executive Director's leadership and management. Input from internal and external stakeholders shall be included in this performance review, and may be included in other reviews as determined by the direct supervisor of the Academic Officer.

Reappointment of any Academic Officer is contingent upon a satisfactory annual performance evaluation and needs of the academic unit, college and University. Procedures for all performance reviews shall be consistent with University Regulations and Standard Administrative Policies and Procedures.

VI. REMOVAL

Reviewing officers may recommend the removal of an Academic Officer at any time for any reason except an unlawful one. However, no removal of a Dean or Executive Director of the School of Energy Resources shall be final until acted upon by the Trustees. All other Academic Officers may be removed by the Provost and Vice President for Academic Affairs, in consultation with the Dean.

Academic Officers Page 2 of 3

UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021 Page 68 Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19
Trustees Regulation Committee endorsed 2-27-19
Faculty Senate endorsed the redline changes 4-10-20
GC/AA Comments added 5-6-20
Changes incorporated 7-22-20
FS Executive Committee changes incorporated 1-19-21
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Responsible Division/Unit: Office of the Provost and Vice President for Academic Affairs

Source: None

Links: http://www.uwyo.edu/regs-policies

Associated Regulations, Policies, and Forms: None

History:

University Regulation 804, Revision 2; adopted 7/17/2008 Board of Trustees meeting Revisions adopted 1/17/2014 Board of Trustees meeting Revisions adopted 11/18/2016 Board of Trustees meeting Reformatted 7/1/2018: previously UW Regulation 5-804, now UW Regulation 2-8

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UW Regulation 2-8 Full Red Line UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021

Page 70

Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19

Trustees Regulation Committee endorsed 2-27-19

Faculty Senate endorsed the redline changes 4-10-20

GC/AA Comments added 5-6-20

Changes incorporated 7-22-20

FS Executive Committee changes incorporated 1-19-21



UNIVERSITY OF WYOMING REGULATIONS

Subject: Appointment, Evaluation and Removal of Academic Administrators Officers

Number: UW Regulation 2-8

I. PURPOSE

To provide consistent definitions of academic administrative structures and positions, and to describe terms of appointments and general provisions regarding Academic Officers.

II. DEFINITIONS

I. STATEMENT OF Academic Officer: Pursuant to UW Regulation 1-1, academic officer includes Executive Directors/Directors, Deans, Associate and Assistant Deans, and Unit/Division Heads.

Academic Unit: A department, center, institute, school, college or other academic division to which University faculty are assigned under the aegis of the Provost and Vice President for Academic Affairs.

At-Will: An at-will appointment allows the employee or the University to terminate the employment relationship at any time for any reason except an unlawful one.

I.III. POLICY

The Trustees of the University of Wyoming observe a policy of administrative accountability, through the President, for the effective operation and distinctive quality of the University. The President is responsible for recommending the appointment or removal of all administrative the Provost and academic officers Vice President of the University Academic Affairs, Deans, and the Executive Director of the School of Energy Resources; and assuring regular evaluation of their performance. All such University officers serve at the pleasure of the Trustees and may be removed, upon recommendation of the President, at any time and for any reason that is not illegal.

Academic College and unit level administrators serve several roles as managers of the university, leaders of their academic units, and representatives of the views and interests of their academic colleagues. Therefore, the appointment, evaluation or removal of academic administrators by the President Academic Officers normally shall involve significant faculty participation. This Regulation sets forth the process for personnel

Academic Officers Page 1 of 6

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Page 71
Draft 3-11-19
Trustees AA/SA Committee endorsed 2-21-19
Trustees Regulation Committee endorsed 2-27-19
Faculty Senate endorsed the redline changes 4-10-20
GC/AA Comments added 5-6-20
Changes incorporated 7-22-20
FS Executive Committee changes incorporated 1-19-21

treatment of academic administrators and establishes the manner in which faculty participation is anticipated in the process on a timely and consistent basis.

IV. H. TERMS OF APPOINTMENT H.I. The at-will DEFINITIONS

As used in this regulation:

Academic Administrators: Those University Officers designated in UW Regulation 1-1 as academic officers to whom academic units report.

Academic Unit: means a department, center, institute, school, college or other academic division to which University faculty are assigned under the aegis of the Vice President for Academic Affairs.

Advisory Committee: A committee normally composed of a majority of faculty in the affected academic unit, appointed by a reviewing officer, formed to advise on the selection or review of an academic administrator, and including such non-faculty members (e.g., academic professionals, staff, students, or external advisors) deemed appropriate by the reviewing officer. The reviewing officer may serve on any such Advisory Committee or may designate any representative to serve as a non-voting ex-officio member.

Annual Review: The performance assessment of each academic administrator carried out each year by his or her reviewing officer prior to the assignment of any merit increment, with a written summary prepared for both parties.

Five Year Review: The comprehensive review of performance and overall effectiveness of an academic administrator conducted five years following appointment by a reviewing officer from the point of view of the University and of the unit, with advice on the latter by an advisory committee.

Reviewing Officer: The President, a vice president or a dean with authority to evaluate an academic administrator and recommend his or her appointment or removal.

Special Review: The review of the performance and overall effectiveness of an academic administrator which may be conducted at any time by a reviewing officer and, in the case of unit heads, upon request of a majority of the unit faculty accepted by the reviewing officer.

Unit Head: The academic administrator, whether designated as head, chairman, director or similar title, with responsibility for the leadership and management of an academic unit other than a college of the University.

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Page 72
Draft 3-11-19
Trustees AA/SA Committee endorsed 2-21-19
Trustees Regulation Committee endorsed 2-27-19
Faculty Senate endorsed the redline changes 4-10-20
GC/AA Comments added 5-6-20
Changes incorporated 7-22-20

FS Executive Committee changes incorporated 1-19-21

University Faculty: Voting members of the University Faculty as defined by <u>UW</u> Regulation 1-1.

Vice President: The Vice President for Academic Affairs, Vice President for Research or other principal officer of the university to whom academic units report and to whom the provisions of this regulation apply.

HI. APPOINTMENT

Appointment of academic administrators Officers shall be approved by and incumbents shall serve at the pleasure of the Trustees upon recommendation of the President.

- A. The at pleasure appointment of Unit Heads shall be for a defined term (usually three to five four years) to be specified at the time of appointment.
- **B.** The appropriate dean or vice president may provide a nomination for the appointment of a department head; however personal review and individual recommendations of such appointments by the President shall be required.

IV. SELECTION

Unless otherwise approved by the President or the Trustees, selection of academic administrators normally shall be pursuant to a national or, as appropriate, an international, search by the appropriate reviewing officer in accordance with University equal employment opportunity principles and with the significant participation of an advisory committee. Any advisory committee for the selection of a dean shall include at least one member Terms may be renewed, contingent upon University or college need, adequate funding for the position, and satisfactory job performance. Academic Officers who is not employed by any university and who has knowledge, training, and experience in a discipline taught within that college. The recommendations of any advisory committee or the direct consultation with unit faculty required in this section IV shall not limit the authority of the Provost/Vice President for Academic Affairs, the President, or the Board of Trustees with regard to the selection of a dean or any other academic administrator.

- A. The selection process must include direct consultation with unit faculty.
- **B.** A selection process may be limited to internal candidates with prior authorization by the President.
- C. Consultation on the internal appointment or reappointment of a unit head may be limited to direct consultation with unit faculty.

Academic Officers Page 3 of 6

UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021
Page 73

Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19

Trustees Regulation Committee endorsed 2-27-19

Faculty Senate endorsed the redline changes 4-10-20
GC/AA Comments added 5-6-20
Changes incorporated 7-22-20

FS Executive Committee changes incorporated 1-19-21

D. No individual shall be appointed as an academic administrator unless he or she qualifies for hold an academic appointment retain their rights to tenure and, on or term appointment, has been recommended for tenure by upon return to the appropriate faculty.

V. EVALUATION

The President, vice president or dean, as appropriate, shall evaluate each academic administrator on an annual basis and shall, each five years following appointment, conduct an assessment of the effectiveness of the academic administrator's leadership of the academic unit. The reviewing officer shall seek input from the unit faculty as part of the assessment.

A. Annual Review

1. Annual Appointments, reappointments, review and dismissal of all Academic Officers shall be conducted by the consistent with UW Regulations and Standard Administrative Policies and Procedures.

V. PERFORMANCE ASSESSMENT

The University is committed to fostering success of its Academic Officers and enhancing their effectiveness in a constructive way. The review and evaluation of Academic Officers includes both an evaluative and developmental component. The President, Provost and Vice President of Academic Affairs, or Dean, as appropriate reviewing officer, shall conduct annual performance evaluations for each yearAcademic Officer prior to the approval of the University's annual budget with a written summary prepared for both parties. Additionally, the Provost and Vice President of Academic Affairs may conduct a comprehensive performance review of any Academic Officer specified in this Regulation at any time.

- 2. The reviewing officer shall maintain a performance file containing comments and suggestions received in the previous year related to the performance of the academic administrator under review.
- 3. The At the end of the second year of the Provost, a Dean or the Executive Director of the School of Energy Resources' initial appointment, a performance review will be completed to determine the effectiveness of the Dean or Executive Director's leadership and management. Input from internal and external stakeholders shall be included in this performance review, and may be included in other reviews as determined by the direct supervisor of the Academic Officer.

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UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021
Page 74

Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19

Trustees Regulation Committee endorsed 2-27-19

Faculty Senate endorsed the redline changes 4-10-20
GC/AA Comments added 5-6-20
Changes incorporated 7-22-20
FS Executive Committee changes incorporated 1-19-21

Reappointment of any Academic Officer is contingent upon a satisfactory annual review shall include an assessment of the following factors:

- a. effectiveness in meeting the goalsperformance evaluation and needs of the academic unit, college and the University
- b. leadership, professional competence and working relationships
- c. review of the performance file and other materials that have been brought to the academic administrator's attention prior to the annual review by the reviewing officer.
- **4.** The reviewing officer may consult with individual faculty members, an ad hoc committee, or any other persons familiar with the administrator's. Procedures for all performance-
- **5.** Any merit increment awarded to an academic administrator shall be linked to the annual review.

B. Five Year Review

- 1. Every five years, or more often if circumstances warrant, and prior to the reappointment of any unit head, the appropriate reviewing officer shall conduct a comprehensive review of the performance and overall effectiveness of each academic administrator.
- 2. The review shall reflect the views of both the reviews shall be consistent with University Regulations and Standard Administrative Policies and the academic unit; and the reviewing officer shall be advised on the views of the academic unit by an advisory committee which shall: Procedures.
 - **a.** Consider the annual reviews of the academic administrator; solicit the opinions of faculty, students, staff and administrators; and examine objective data regarding the progress of the academic unit.
 - **b.** Submit a confidential, reasoned report, a draft of which shall be submitted to the academic administrator for comment, assessing the strengths and weaknesses of the academic administrator, and providing suggestions for improvement, as appropriate.
 - **c.** Develop a summary of their report in cooperation with the reviewing officer, who shall distribute the summary to the academic unit.

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Page 75

Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19

Trustees Regulation Committee endorsed 2-27-19

Faculty Senate endorsed the redline changes 4-10-20

GC/AA Comments added 5-6-20

Changes incorporated 7-22-20

FS Executive Committee changes incorporated 1-19-21

C. Special Reviews

- 1. A reviewing officer may conduct a special review of an academic administrator at any time, which shall follow the procedures established for a five year review.
- 2. A majority of an academic unit may request a special review of its unit head at any time.
- 3. In the event a reviewing officer, after consultation with a unit faculty, does not support a request for a special review and the faculty do not recede from the request, the matter shall be referred to the vice president for a decision.
- 4. At the discretion of the Vice President for Academic Affairs, a special review, pursuant to this Regulation, may be conducted for any academic support unit as such is designated by the Vice President.

VI. VI. REMOVAL

Reviewing officers may recommend the removal of an academic administrator Academic Officer at any time for any reason that is not illegal except an unlawful one. However, no removal of an academic administrator Dean or Executive Director of the School of Energy Resources shall be final until acted upon by the Trustees—upon recommendation of the President. All other Academic Officers may be removed by the Provost and Vice President for Academic Affairs, in consultation with the Dean.

Responsible Division/Unit: Office of the Provost and Vice President for Academic Affairs

Source: None

Links: http://www.uwyo.edu/regs-policies

Associated Regulations, Policies, and Forms: None

History:

University Regulation 804, Revision 2; adopted 7/17/2008 Board of Trustees meeting

Revisions adopted 1/17/2014 Board of Trustees meeting

Revisions adopted 11/18/2016 Board of Trustees meeting

Reformatted 7/1/2018: previously UW Regulation 5-804, now UW Regulation 2-8

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UW Regulation 2-8 Faculty Senate Proposed Edits

Draft 3-11-19 Trustees AA/SA Committee endorsed 2-21-19 Trustees Regulation Committee endorsed 2-27-19 Faculty Senate endorsed

UNIVERSITY OF WYOMING REGULATIONS

Subject: Appointment, Evaluation and Removal of Academic

<u>Administrators</u>

 Number: UW Regulation 2-8



PURPOSE

To provide consistent definitions of academic administrative structures and positions, and to describe terms of appointments and general provisions regarding academic officers administrators.

15 II. <u>DEFINITIONS</u>

Academic Administrator Officer: An academic administrator encompasses those who serves in the Office of Academic Affairs, including the Those Officers designated in UW Regulation 1.1 as University or Academic officers who are under the authority of and who reports directly to the PProvost and Vice President of Academic Affairs, Academic Officers have a variety of titles at the University, including—Vice Provost, and Associate Vice Provosts, as well as those academic officers, Dean, Executive Director, and Director.

Academic Administrator: The academic administrators encompass administrative officers and academic officers as defined herein, including Academic Administrative Officers, Executive Directors/Directors, Deans, Associate and Assistant Deans, and Units Heads.

Academic Unit: A department, center, institute, school, college or other academic division to which University faculty are assigned under the aegis of the Provost and Vice President for Academic Affairs.

Associate or Assistant Dean: An academic officer-administrator who reports directly to the Dean. The scope of duties may vary depending on the organizational structure and complexity of the college. An Associate Dean shall be a faculty member and may have the authority to act for the Dean in a broad range of areas. The Assistant Dean title may be held by a faculty member, senior staff member or administrative professional, and generally has a narrower scope of duties than the Associate Dean.

At-Will: An at-will appointment allows either the employee or the University to terminate , or the employer to end the employment relationship at any time for any reason or for no reason except an unlawful one.

Commented [TBB1]: For clarity and ease of use, the term "Academic Officer" is used in this regulation more broadly than in UW 1-1 (i.e., include any officer who reports directly to the Provost).

Academic Officers Page 1 of 7

Draft 3-11-19 Trustees AA/SA Committee endorsed 2-21-19 Trustees Regulation Committee endorsed 2-27-19 Faculty Senate endorsed 40 **Dean:** The chief academic administrator for the College, School, Branch Campus or UW 41 Libraries and who is under the authority of and reports directly to the Provost and Vice 42 President of Academic Affairs. 43 Executive Director/Director: The academic administrator who is under the authority of 44 and who reports directly to the Provost and Vice President of Academic Affairs 45 Unit Head: An academic administratro, whether designated as head, chairperson, division 46 director, dean or director of a school within a college, or similar title, with responsibility 47 for the leadership and management of an academic unit other than a college of the 48 University or the Haub School of Environment and Natural Resources. 49 50 Unit Head: An academic officer, whether designated as head, chairperson, division 51 director, dean or director of a school within a college, or similar title, with responsibility 52 for the leadership and management of an academic unit other than a college of the 53 University or the Haub School of Environment and Natural Resources. 5**4**111. POLICY Commented [TBB2]: Procedures for including faculty participation will be incorporated into SAPP on Appointment and Evaluation of Academic Administrators. The Trustees of the University of Wyoming observe a policy of administrative 55 56 accountability, through the President, for the effective operation and distinctive quality of 57 the University. The President is responsible for recommending the appointment or removal of all administrative and Academic Oofficers of the University, including of the Provost 58 59 and Vice President of Academic Affairs, Deans, and the Executive Director of the School 60 of Energy Resources; Vice Provost, Associate Vice Provosts and Deans, and assuring 61 regular evaluation of their performance. All such University officers serve at the pleasure 62 of the Trustees and may be removed, upon recommendation of the President, at any time 63 and for any reason that is not illegal. Commented [TBB3]: Moved to last section 64 Academic administrators College and unit level officers administrators -serve several roles as managers of the university, leaders of their academic units, and representatives of the 65 66 views and interests of their academic colleagues. Therefore, the appointment, evaluation 67 or removal of aAacademic administrators Officers administrators by the President 68 normally shall involve significant faculty participation. This Regulation sets forth the 69 process for personnel treatment of academic administrators and establishes the manner in 70 which faculty participation is anticipated in the process on a timely and consistent basis. Commented [TBB4]: Process/procedures will be incorporated in a SAPP 71 IV. TERMS OF APPOINTMENT The at-will **DEFINITIONS** 72**H.I.** 73 As used in this regulation: 74 Academic Administrators: Those University Officers designated in UW Regulation 1-1 75 as academic officers to whom academic units report. Academic Officers Page 2 of 7

Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19 Trustees Regulation Committee endorsed 2-27-19 Faculty Senate endorsed 76 Academic Unit: means a department, center, institute, school, college or other academic 77 division to which University faculty are assigned under the aegis of the Vice President for 78 Academic Affairs. 79 Advisory Committee: A committee normally composed of a majority of faculty in the 80 affected academic unit, appointed by a reviewing officer, formed to advise on the selection 81 or review of an academic administrator, and including such non-faculty members (e.g., 82 academic professionals, staff, students, or external advisors) deemed appropriate by the 83 reviewing officer. The reviewing officer may serve on any such Advisory Committee or 84 may designate any representative to serve as a non-voting ex-officio member. 85 Annual Review: The performance assessment of each academic administrator carried out each year by his or her reviewing officer prior to the assignment of any merit increment, 86 87 with a written summary prepared for both parties. 88 Five Year Review: The comprehensive review of performance and overall effectiveness 89 of an academic administrator conducted five years following appointment by a reviewing 90 officer from the point of academic administrators view of the University and of the unit, 91 with advice on the latter by an advisory committee. 92 Reviewing Officer: The President, a vice president or a dean with authority to evaluate an academic administrator and recommend his or her appointment or removal. 93 94 Special Review: The review of the performance and overall effectiveness of an academic 95 administrator which may be conducted at any time by a reviewing officer and, in the case 96 of unit heads, upon request of a majority of the unit faculty accepted by the reviewing 97 officer. 98 Unit Head: The academic administrator, whether designated as head, chairman, director 99 or similar title, with responsibility for the leadership and management of an academic unit 100 other than a college of the University. 101 University Faculty: Voting members of the University Faculty as defined by UW 102 Regulation 1-1. 103 Vice President: The Vice President for Academic Affairs, Vice President for Research or 104 other principal officer of the university to whom academic units report and to whom the 105 provisions of this regulation apply. 106 III. APPOINTMENT 107 Appointment of academic administrators shall be approved by and incumbents shall serve 108 at the pleasure of the Trustees upon recommendation of the President. 109 The at pleasure appointment of Unit HeadsOfficer shall be for a defined term 110 (usually three to five four years) to be specified at the time of appointment.

Academic Officers Page 3 of 7

Draft 3-11-19
Trustees AA/SA Committee endorsed 2-21-19
Trustees Regulation Committee endorsed 2-27-19
Faculty Senate endorsed

B. The appropriate dean or v

B. The appropriate dean or vice president may provide a nomination for the appointment of a department head; however personal review and individual recommendations of such appointments by the President shall be required.

IV. SELECTION

Unless otherwise approved by the President or the Trustees, selection of academic administrators normally shall be pursuant to a national or, as appropriate, an international, search by the appropriate reviewing officer in accordance with University equal employment opportunity principles and with the significant participation of an advisory committee. Any advisory committee for the selection of a dean shall include at least one member. Terms may be renewed, contingent upon University or college need, adequate funding for the position, and satisfactory job performance. Academic Aadministrators who is not employed by any university and who has knowledge, training, and experience in a discipline taught within that college. The recommendations of any advisory committee or the direct consultation with unit faculty required in this section IV shall not limit the authority of the Provost/Vice President for Academic Affairs, the President, or the Board of Trustees with regard to the selection of a dean or any other academic administrator. hold an academic appointment retain their rights to tenure or term appointment upon return to the faculty.

- A. The selection process must include direct consultation with unit faculty.
- B. A selection process may be limited to internal candidates with prior authorization by the President.
 - C. Consultation on the internal appointment or reappointment of a unit head may be limited to direct consultation with unit faculty.
 - D. No individual shall be appointed as an academic administrator unless he or she qualifies for tenure and, on appointment, has been recommended for tenure by the appropriate faculty.

V. The at will appointment of an Associate or Assistant Dean and Academic Unit Head shall be for a defined term (usually three years) to be specified at the time of appointment. Terms may be renewed, contingent upon University, college and academic unit need, adequate funding for the position, and satisfactory job performance. Rights associated with holding a tenured faculty appointment are retained.

Appointments, reappointments, review and dismissal of Academic Administrators, College Administrators, and Academic Unit Headsall Academic Officers-administrators shall be consistent with UW Regulations and Standard Administrative Policies and Procedures.

V. EVALUATION PERFORMANCE ASSESSMENT

Commented [TBB5]: Deleted information will be moved to a SAPP, as appropriate.

Commented [TBB6]: Deleted information will be moved to a SAPP on Selection and Evaluation of Academic Administrators, as appropriate.

Academic Officers Page 4 of 7

Draft 3-11-19

Trustees AA/SA Committee endorsed 2-21-19 Trustees Regulation Committee endorsed 2-27-19

Faculty Senate endorsed

The University is committed to fostering success of its Aacademic Officers-administrators and enhancing their effectiveness in a constructive way. The review and evaluation of Aacademic Officers—administrators includes both an evaluative and developmental component. The President, vice president Provost and Vice President of Academic Affairs, or deanDean, as appropriate, shall evaluate conduct annual performance evaluations for each academic Aacademic Aadministrator, College Administrator, and Academic Unit Head annually prior to the approval of the University's annual budget. Additionally, the Provost and Vice President of Academic Affairs may conduct a comprehensive performance review of any aAcademic administrator on an annual basis and shall, each five years following appointment, conduct an assessment of specified in this Regulation at any time.

Reappointment of any Academic Administrator is contingent upon a satisfactory performance evaluation and needs of the academic unit, college and University. Procedures for review shall be consistent with University Regulations and Standard Administrative Policies and Procedures.

Review of Deans

At the end of the second year of an academic administrator's — Dean's—initial termappointment, and prior to reappointment to a new term, the Provost and Vice President of Academic Affairs shall conduct a mid term-a performance review will be completed to determine the effectiveness of the academic administrator's Dean's—academic administrator's leadership and management—of the academic unit. The reviewing officer shall seek input Input from internal and external stakeholders, such as staff, faculty, unit heads, Associate or Assistant Deans, or Deans shall be included in this performance a review—for reappointment to a new term, and may be included in other reviews as determined by the direct supervisor of the academic administrator. Provost and Vice President of Academic Affairs.

Input from the unit faculty internal and external stakeholders shall be included in the review for reappointment, and may be included in other reviews as part of the assessment determined by the Provost and Vice President of Academic Affairs. Reappointment of any aAAcademic OfficerAdministrator administrator is contingent upon a satisfactory annual performance evaluation and needs of the academic unit, college and University.

<u>Procedures for all performance reviews shall be consistent with University Regulations and Standard Administrative Policies and Procedures.</u>

A. Annual Review

Academic Officers Page 5 of 7

Draft 3-11-19 Trustees AA/SA Committee endorsed 2-21-19 **Trustees Regulation Committee endorsed 2-27-19 Faculty Senate endorsed** 183 1. Annual review shall be conducted by the appropriate reviewing officer each 184 year prior to the approval of the University's annual budget with a written 185 summary prepared for both parties. 2. The reviewing officer shall maintain a performance file containing comments 186 187 and suggestions received in the previous year related to the performance of the 188 academic administrator under review. 189 3. The annual review shall include an assessment of the following factors: 190 a. effectiveness in meeting the goals of the academic unit and the University 191 leadership, professional competence and working relationships 192 review of the performance file and other materials that have been brought 193 to the academic administrator's attention prior to the annual review by the 194 reviewing officer. 195 The reviewing officer may consult with individual faculty members, an ad hoc 196 committee, or any other persons familiar with the administrator's performance. 197 Any merit increment awarded to an academic administrator shall be linked to 198 the annual review. 199 Five Year Review 200 1. Every five years, or more often if circumstances warrant, and prior to the 201 reappointment of any unit head, the appropriate reviewing officer shall conduct 202 a comprehensive review of the performance and overall effectiveness of each 203 academic administrator. 204 2. The review shall reflect the views of both the University and the academic unit; 205 and the reviewing officer shall be advised on the views of the academic unit by 206 an advisory committee which shall: 207 Consider the annual reviews of the academic administrator; solicit the 208 opinions of faculty, students, staff and administrators; and examine 209 objective data regarding the progress of the academic unit. 210 b. Submit a confidential, reasoned report, a draft of which shall be submitted 211 to the academic administrator for comment, assessing the strengths and 212 weaknesses of the academic administrator, and providing suggestions for 213 improvement, as appropriate. 214 c. Develop a summary of their report in cooperation with the reviewing 215 officer, who shall distribute the summary to the academic unit.

Academic Officers Page 6 of 7

Draft 3-11-19 Trustees AA/SA Committee endorsed 2-21-19 **Trustees Regulation Committee endorsed 2-27-19 Faculty Senate endorsed** 216 **Special Reviews** 217 1. A reviewing officer may conduct a special review of an academic administrator 218 at any time, which shall follow the procedures established for a five year review. 219 A majority of an academic unit may request a special review of its unit head at 220 any time. 221 3. In the event a reviewing officer, after consultation with a unit faculty, does not 222 support a request for a special review and the faculty do not recede from the 223 request, the matter shall be referred to the vice president for a decision. 224 4. At the discretion of the Vice President for Academic Affairs, a special review, 225 pursuant to this Regulation, may be conducted for any academic support unit as 226 such is designated by the Vice President. 227 **VI** REMOVAL 228 229 230 231 232 233 Reviewing officers may recommend the removal of an aaAAcademic Officer administrator Aadministrator at any time for any reason except an unlawful one. that is not illegal. However, no removal of an academic administratora Vice President or a Dean or Executive <u>Director of the School of Energy Resources</u> shall be final until acted upon by the Trustees. 234 All other Academic AdministratorsOfficer administrators may be removed by the Provost 235 and Vice President for Academic Affairs, upon recommendation of approval of the 236 President. 237

Responsible Division/Unit: Office of the Provost and Vice President for Academic Affairs

Source: None

Links: http://www.uwyo.edu/regs-policies

Associated Regulations, Policies, and Forms: None

History:

University Regulation 804, Revision 2; adopted 7/17/2008 Board of Trustees meeting Revisions adopted 1/17/2014 Board of Trustees meeting Revisions adopted 11/18/2016 Board of Trustees meeting

Reformatted 7/1/2018: previously UW Regulation 5-804, now UW Regulation 2-8

Academic Officers Page 7 of 7

AGENDA ITEM TITLE: <u>Approval of modifications to UW Regulation 6-10 (University of Wyoming Public Art)</u>, Brown/Evans

SESSION TYPE:	APPLIES TO STRATEGIC PLAN:
☐ Work Session	
☐ Education Session	☐ Driving Excellence
☐ Information Item	☐ Inspiring Students
☑ Other:	☐ Impacting Communities
[Committee of the Whole – Items for Approval]	
	☐ No [Regular Business]
\boxtimes Attachments are provided with the narrative.	

EXECUTIVE SUMMARY:

At the September 2016 Board of Trustees meeting, the Board approved review by UW Administration of UW's current regulatory structure, to include the following:

- 1) Phasing out presidential directives;
- 2) Defining regulation versus policy/procedure;
- 3) Creating a new "look" and format for the regulations; and
- 4) Updating the substance of the regulations, policies, and procedures as needed, including determining whether there are any substantive gaps.

At the January 2017 Board of Trustees meeting, the University proposed 12 sections for the new *Governing Regulations and Standard Administrative Policies and Procedures Manual*.

Section 1: Governance and Structure

Section 2: Academic Affairs

Section 3: Athletics

Section 4: Diversity and Equal Opportunity

Section 5: Employment and Ethics

Section 6: Facilities

Section 7: Finance and Business

Section 8: Information Technology

Section 9: Research and Economic Development

Section 10: Safety and Security

Section 11: Student Affairs

Section 12: University Relations

The new structure will involve three levels of policies:

- 1) Governing Regulations (Level A)
- 2) Standard Administrative Policies and Procedures (Level B)
- 3) Department/Unit Administrative Policies and Procedures (Level C)

Attached is the following Section 6 Facilities regulation (with a summary of the proposed modifications):

• **UW Regulation 6-10 (Public Art)**: Modifications to align the process with the University's 2018 Public Art Plan and to exempt the regulation if the University opts into the State of Wyoming Art in Public Buildings program.

Academic Affairs worked extensively with the Department Head of Visual & Literary Arts and General Counsel on this draft. Per the routing process for UW Regulations, the proposed modifications were provided to the President's Cabinet, Deans and Directors, Faculty Senate, Staff Senate, ASUW, and the Internal Auditor.

The Trustees Regulation Committee will discuss this item at the February 2021 Board of Trustees Conference Call and recommend full Board action, if appropriate.

PRIOR RELATED BOARD DISCUSSIONS/ACTIONS:

None.

WHY THIS ITEM IS BEFORE THE BOARD:

UW Regulation 1-101 requires that the Board approve modifications to UW Regulations.

ACTION REQUIRED AT THIS BOARD MEETING:

Board approval, modification, or disapproval of the recommended modifications to the Regulations.

PROPOSED MOTION:

"I move to authorize modifications to UW Regulation 6-10, as presented to the Board"

PRESIDENT'S RECOMMENDATION:

The President recommends approval.

UW Board of Trustees Report and Supplemental Materials - Public Session February 12, 2021 Page 86

UW Regulation 6-10 Clean Copy

UNIVERSITY OF WYOMING REGULATIONS

Subject: University of Wyoming Public Art

Number: UW Regulation 6-10



I. PURPOSE

To outline the process for acquisitions, proposals, evaluation, and installation of public art.

II. **DEFINITIONS**

Defined Property: The placement of donated trees, benches, monuments, tables, and similar donated structures.

Donation: A work of public art or defined property which is donated to the University through the UW Foundation upon recommendation of the Public Art Committee and if accepted by the UW President.

Direct Selection: A work of public art purchased or commissioned from an individual artist by the University upon recommendation of the Public Art Committee.

Limited-Term Installations: Public art or defined property that may or may not be the property of the University and is installed for a specified period.

Open Competition: A work of public art selected through a competition or request for proposals for a commissioning. These commissions may include faculty, staff, students, and external artists.

Permanent Installations: Installations of public art or defined property that are the permanent property of the University and are intended to be installed for an extended period or in perpetuity.

Public Art: Art objects placed in a shared, publicly accessible space. Categories of public art may include functional, expressive, or installation art donated or commissioned, or otherwise acquired that are prominent and located in public space. Art media may include but is not limited to sculptures, painting, murals, photography, drawings, prints, mixed media, electronic media, gardens, including fountains and seating, and may include but is not limited to objects in clay, fiber, textiles, wood, metal, plastic, or other material.

Public Art Page 1 of 6

Public Art Committee: The Committee that advises the President on matters relating to the selection, location, cost, financing, and installation of art and defined property in the public spaces of the university. The Committee shall consist of the Art Museum Director, the Department of Visual and Literary Arts Head, a Art and Art History Program faculty member, an ASUW representative, a student representative, a Faculty Senate representative, a Staff Senate representative, the Vice President for Finance and Administration, a UW Foundation representative, a Student Life representative, and two individuals accomplished in art or design who are not employed by the University. Terms shall be two (2) years in length, shall be staggered, and shall coincide with the University's fiscal year (July 1 to June 30). Committee members will be appointed by the President of the University.

III. PROJECT TYPES

Engagement and Education: These projects focus on ideas to create awareness, excitement, and curiosity about the existing art on campus through programs and events for students, alumni, faculty, staff, and community members.

Enhance Existing Sites: These projects feature suggestions by campus meeting participants and stakeholders where art is desired, including atriums and the tunnels connecting the student residences to the dining hall.

Integrated Art: These projects engage artists to integrate into the design of facilities and landscapes to create memorable places and amplify campus character.

Socially Engaged and Interdisciplinary Projects: These projects focus on opportunities for artists to work with students, faculty, and staff from various disciplines to create art experiences.

IV. MISSION AND VISION OF PUBLIC ART

The Public Art program enriches the cultural, intellectual, and scholarly life of the campus and the Wyoming community.

V. TYPES OF PUBLIC ART AND DEFINED PROPERTY PROPOSALS CONSIDERED

Acquisition methods of public art include the following:

- **A.** University generated, which are solicited proposals for public art including:
 - **1.** An artist may be commissioned by the University.

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- **2.** An artwork may be purchased by the University.
- **3.** An artwork may be loaned to the University.

University Generated public art will be solicited via requests for qualifications, requests for proposals, invitational selection, or direct selection.

- **B.** Open source generated, which are unsolicited proposals presented to the University including:
 - 1. An existing artwork, which may be given as a gift or loan to the University.
 - **2.** A commissioned artwork, which may be given as a loan or gift to the University.

VI. SELECTION PROCESSES FOR PROJECTS

A. Funds Available for the Project

Prior to selection of the project, the Public Art Committee shall provide written confirmation to the President that funds are available and earmarked for the entire project, including installation, and shall identify the funding source.

B. Determination of Selection Committee

The Public Art Committee will appoint an Artist Selection Committee for each public art project or group of projects to select the artist(s) and the project for a specific site. The Committee shall include representatives from the Public Art Committee, departments or units occupying the building or adjacent area, and other individuals deemed essential to the selection process by the committee.

C. Selection Processes

1. Open source applications: The application process for an open-source art project includes submitting a written description of the proposed project and the desired timeframe to be on view; visuals that clearly convey the proposed project; a summary of why this project is important for the University of Wyoming and how it addresses the public art plan; information about the artist(s) involved with the project, including bio/resume and samples of previous work; a detailed budget that outlines the total project costs, including maintenance; percentage of funds that have been raised, how much need to be

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raised, and all confirmed funding sources; a maintenance plan; the proposed site, if one has been predetermined; and the implementation timeline.

- **2. Commissioning artworks**: Calls for artists to apply for opportunities are posted widely through:
 - **a.** Request for qualifications (RFQ) where artists are invited to submit images, a resume, and a brief statement or letter of intent regarding their interest in and approach to the project.
 - **b.** Request for proposals (RFP) where artists are invited to submit conceptual proposals for works of art.
- **3. Invitational selection:** A group of artists is invited to submit their qualifications and a panel selects from this group. The presentation may be assembled based on nominations from arts professionals, as well as curatorial input from the public art staff and Public Art Committee.
- **4. Direct selection**: In rare instances, an artist might be selected directly to create a proposal.

D. Selection Procedure

The Artist Selection Committee selects the artist(s) and the project and submits it to the Public Art Committee, and, upon recommendation of the Committee, the UW President makes the final selection.

VII. CRITERIA FOR SELECTION

All public art or defined property shall be judged against the following criteria:

- **A.** The relationship of the proposed project to the University's public art vision and goals.
- **B.** The feasibility of implementing the project.
 - 1. The artist or entity proposing the artwork demonstrated they are capable of implementing the project.
 - **2.** The proposed site is appropriate for the project and is related to the site's use and operations.

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- **3.** The implementation schedule is realistic.
- **4.** The project complements other university activities.
- **5.** The project requires input from an architect, engineer, conservator, or other specialist.
- **6.** If the project needs to be reviewed by another government agency or other organizations, when and how the review will take place.
- **7.** The level of maintenance required.

VIII. EXEMPTIONS

This regulation shall not apply to the established academic programs in the UW Student Union Gallery, UW Art Museum, College or Department galleries, including exterior space of the Visual Arts building, or student exhibitions.

If a new University building project is state-funded, the University can select to opt in to the Wyoming Art in Public Buildings program and 1% of the total construction costs for the new building project (not to exceed \$100,000) will be used to acquire works of art for permanent installation at the project site pursuant to W.S. 16-6-802. The Public Art Committee, in consultation with the University's Facilities Construction Department, shall make a recommendation to the President on whether to opt in. The President, in consultation with the Facilities Contracting Committee of the Board of Trustees, shall make the final determination on whether to opt in to W.S. 16-6-802. If the University opts in to the state program, this regulation shall not apply.

IX. DISCLAIMER

The University endeavors to maintain the public art per the original agreement but shall reserve the right to remove, reinstall, store, move, or dispose of the object at the discretion of the University and in discussion with the artist and his/her Estate. The University is obligated to retain the artwork in its original form and not alter, change, or otherwise reconfigure the work.

Responsible Division/Unit: Office of the President

Source: None

Links: http://www.uwyo.edu/regs-policies

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Associated Regulations, Policies, and Forms: None

History:

UW Regulation 1-102(I)(M), Attachment C adopted Minutes of the Trustees, January 17, 2014 Moved to new UW Regulation 6-10 on 6/12/2019 Board of Trustees meeting (effective 7/1/2019)

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UW Regulation 6-10 Full Red Line

UNIVERSITY OF WYOMING REGULATIONS

Subject: University of Wyoming Public Art Policy

Number: UW Regulation 6-10



I. COMMITTEE CHARGE

Per the appointment letter of January 24, 2012, and consistent with the action of the Board of Trustees on October 23, 2013, the President's Public Art Committee (the Committee) is hereby charged with: 1) evaluation and providing a recommendation on proposals for the placement of art—permanent or temporary—in public areas of the university, including outdoor public areas and interior public spaces (prominent public areas, lobbies, atria, entrances, and principal assembly areas); and 2) working with Physical Plant and the University of Wyoming (UW) Foundation to develop a plan for the future placement of trees, benches, and picnic tables through the Foundation's "Tree and Bench" program.

The Committee shall serve in an advisory capacity to the UW President. In offering input, the Committee shall strive to provide recommendations by unanimous consent wherever reasonably possible. In the event unanimous consent is not achievable, recommendations will be determined by a majority vote of a minimum quorum of three Committee members.

II. COMMITTEE MISSION

The President's Public Art Committee seeks to enrich the cultural, intellectual, and scholarly life of the University of Wyoming's students, faculty, staff, and visitors while advancing the university's academic mission through enhancing and complimenting the physical and aesthetic environment of campus, preserving and celebrating its history and heritage, and inspiring contemplation, reflection, and dialogue.

HI. VISION STATEMENT

The President's Public Art Committee strives to advance the aesthetic and physical environment of UW by creating an integrated mixed use of public spaces that reflects the University's teaching, research, and service mission and acknowledges its heritage. Art can become the physical expression of UW and that art can further inquiry and widen knowledge. Finding a balance from traditional to contemporary is essential in creating

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public spaces that challenge and broaden the experience of our students, faculty, staff, donors, and visitors on campus.

IV. DEFINITION OF PUBLIC ART

I. The Committee is guided by the following definition of public art: artPURPOSE

To outline the process for acquisitions, proposals, evaluation, and installation of public art.

II. **DEFINITIONS**

Defined Property: The placement of donated trees, benches, monuments, tables, and similar donated structures.

Donation: A work of public art or defined property which is donated to the University through the UW Foundation upon recommendation of the Public Art Committee and if accepted by the UW President.

Direct Selection: A work of public art purchased or commissioned from an individual artist by the University upon recommendation of the Public Art Committee.

<u>Limited-Term Installations:</u> Public art or defined property that may or may not be the property of the University and is installed for a specified period.

<u>Open Competition:</u> A work of public art selected through a competition or request for proposals for a commissioning. These commissions may include faculty, staff, students, and external artists.

<u>Permanent Installations</u>: Installations of public art or defined property that are the permanent property of the University and are intended to be installed for an extended period or in perpetuity.

<u>Public Art: Art</u> objects placed in a shared, publicly accessible space. Categories of public art may include functional, expressive, or installation art donated or commissioned, or otherwise acquired that are prominent and located in public space. Art media may include but is not limited to sculptures, painting, murals, photography, drawings, prints, mixed media, electronic media, gardens, including fountains and seating, and may include but is not limited to objects in clay, fiber, textiles, wood, metal, plastic, or other material.

V. DEFINITION OF ADDITIONAL PROPERTY AND FACILITIES (DEFINED PROPERTY) UNDER THE REVIEW OF THE COMMITTEE

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In addition to public art, the Committee shall provide recommendations regarding the placement of donated trees, benches, monuments, tables, and similar donated structures.

VI. COMPOSITION OF THE COMMITTEE AND TERM OF APPOINTMENTS

Members of the Committee are appointed by the UW President for indefinite terms and serve at the pleasure of the President.

VII. AUTHORITY OF THE COMMITTEE

The Committee Public Art Committee: The Committee that advises the President on matters relating to the selection, location, cost, financing, and installation of art and defined property in the public spaces of the university. The final decisions on the disposition of public art rest with the UW President. The Committee: The Committee shall consist of the Art Museum Director, the Department of Visual and Literary Arts Head, a Art and Art History Program faculty member, an ASUW representative, a student representative, a Faculty Senate representative, a Staff Senate representative, the Vice President for Finance and Administration, a UW Foundation representative, a Student Life representative, and two individuals accomplished in art or design who are not employed by the University. Terms shall be two (2) years in length, shall be staggered, and shall coincide with the University's fiscal year (July 1 to June 30). Committee members will be appointed by the President of the University.

<u>III.</u> Maintains an inventory of the works of public art acquired after January 2012 (or records from prior acquisitions, as identified)PROJECT TYPES

- A. Engagement and maintains copies of acquisitions, deeds of gift, or loan forms;
- **B.** Identifies sites at the university where art or defined property may be safely installed, consistent with the mission Education: These projects focus on ideas to create awareness, excitement, and criteria adopted by the Committee;

Guides—curiosity about the existing art on campus through programs and events for students, <u>alumni</u>, faculty, staff, <u>alumni</u>, donors, members of the public, and artists who seek to install works of public art or defined property; and community members.

Seeks input

<u>Enhance Existing Sites:</u> These projects feature suggestions by campus meeting <u>participants</u> and <u>communicates with the stakeholders regarding the acquisition and placement where art is desired, including atriums and the tunnels connecting the student residences to the dining hall.</u>

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<u>Integrated Art:</u> These projects engage artists to integrate into the design of facilities and landscapes to create memorable places and amplify campus character.

Socially Engaged and Interdisciplinary Projects: These projects focus on opportunities for artists to work with students, faculty, and staff from various disciplines to create art experiences.

IV. MISSION AND VISION OF PUBLIC ART

The Public Art program enriches the cultural, intellectual, and scholarly life of the campus and the Wyoming community.

V. TYPES OF PUBLIC ART AND DEFINED PROPERTY PROPOSALS CONSIDERED

<u>Acquisition methods</u> of public art or defined property; include the following:

- C. Engages artists and facilities managers to ensure that the installation is appropriate, safe, and secure for audiences (traffics flows, etc.), feasible (installation and maintenance), that every effort is made to prevent vandalism or theft, and that every effort is made to retain the integrity of work;
- **D.** May require artists, donors, or sponsors to engage stakeholders to discuss any proposed installation (both its physical nature and its content) with the university and community, or other stakeholders;
- E. Makes a recommendation to the UW President to accept or deny a proposed public art or defined property installation;

Encourages the inclusion of

- **A.** University generated, which are solicited proposals for public art including:
 - 1. An artist may be commissioned by the University.
 - **2.** An artwork may be purchased by the University.
 - **3.** An artwork may be loaned to the University.
- **F.** <u>University Generated</u> public art <u>(interior and exterior locations) in major renovations or new construction of university buildings; and</u>
- G. Ensures that the proper signage is attributed to works of public art or defined property.

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VIII. TYPES OF PROPOSALS CONSIDERED

The following definitions apply to proposals that require review by the Committee and its recommendation to the UW President.

A. Duration of Installations

- 1. Permanent installations: Installations of public art or defined property that are the permanent property of the University and are intended to be installed for an extended period of time or in perpetuity;
- 2. Limited-term installations: Public art or defined property that may or may not be the property of the University and is installed for a specified period of time.

B. Methods of Selection/Acquisition

The following types of acquisitions are to be made in consultation with the Committee:

1. Donation: A work of public art or defined property is donated to the University through the UW Foundation upon recommendation of the Committee and action by the UW President;

<u>Direct</u>will be solicited via requests for qualifications, requests for proposals, invitational selection: An individual artist is chosen by the UW President upon recommendation of the Committee and a work is either purchased or commissioned. The Committee may also, or direct a separate, ad hoc, committee, appointed with the approval of the President, to oversee the selection of an individual artist:

2. Open Open competition: The Committee, or a separate, ad hoc, committee, appointed with the approval of the President, may hold a competition or issue requests for proposals for a commissioning regulated by the Committee. Competitions or commissions may include faculty, staff, students, and external artists.

C. Acquisitions

Acquisitions of public art or defined property may be made by donation or purchase.

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1. Donations

- **B.** Donations source generated, which are unsolicited proposals presented to the University including:
 - **a.** An existing artwork, which may be made by the artist or donor(s), including but not limited to an individual, family, alumni group, or class given as a gift committee;

Donor(s) may approach any units of the University, but all offers of works of public art or defined property must be acted upon by the Committee, and are subject to approval by the UW President in consultation with the President of the Board of Trustees; or loan to

- b. The University representative in contact with the donor must discuss any potential gift with the Committee. Preliminary review by the Committee will address the appropriateness of the work of art for the University, including content, size, material, condition, site suitability and installation and maintenance costs. This review may be based on conversations or a written proposal, but the Committee must act on all proposals and forward its recommendations to the UW President;
- 1. Based on a recommendation from the Committee, the President may designate a representative of the University, to discuss the offer in detail including appropriateness, integrity of design and condition, proposed installation site, installation and maintenance costs, signage or recognition identifying the title of the work, the artist, dates, and the donor, activities acknowledging the gift of the work of art, e.g., celebrations, openings; determine the responsible party, i.e., the office responsible for the installation, insurance, and maintenance of the work of art;
 - c. Based on the results of discussions in subparagraph C1d., the Committee on Public Art may call for open meetings with specified parties to further discuss the acquisition.

2. Purchases

a. Any university unit may propose to purchase works of art or defined property for interior and exterior public spaces. The University representative from the unit should discuss any potential purchase with the Committee. Preliminary review will address the appropriateness of the work of art for the University, including content, size, material, condition, site suitability and installation and maintenance costs. This review may be based on conversations or a written proposal, but the

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Committee must act on all proposals and forward the results to the UW President:

b. Purchases must follow the processes in Section IX. Selection Processes for Purchases of New Works or Extant Works of this policy.

D. Limited-term Installations, including Art or Defined Property on Loan

In the event the artwork or defined property is intended to be a temporary display, in addition to the above considerations, special attention shall be made to the timeline, financing, and disruption for and during construction and removal of the property. Arrangements for disposal or removal should be concluded in advance of the loan and its implementation whenever possible.

2. A commissioned artwork, which may be given as a loan or gift to the University.

IX.VI. SELECTION PROCESSES FOR PURCHASES OF NEW WORKS OR EXTANT WORKSPROJECTS

A. Funds Available for the Project

Prior to selection of the project, the Public Art Committee shall provide written confirmation to the President that funds are available and earmarked for the entire project, including installation, and shall identify the funding source.

A.B. Determination of Selection Committee

An ad hoc committee including representatives of the proposers, representative of the UWThe Public Art Museum, community liaison, and other individuals deemed essential to the selection process by the Committee, e.g. members of physical plant will appoint an Artist Selection Committee for each public art project or group of projects to select the artist(s) and the project for a specific site. The Committee shall include representatives from the Public Art Committee, departments or units occupying the building or adjacent area, etc. shall comprise the Selection Committee for new or extant works, only upon recommendation of the Committee and approval by the UW President in consultation with the President of the Board of Trustees and other individuals deemed essential to the selection process by the committee.

B.C. Determination of Selection Processes

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- 1. Direct purchase from living or deceased artist. A living artist submits to the purchasing group an appropriate number of images of works; a proposal sheet containing information on the artist's name, address, title of work, dimensions, medium, price, current location, and installation instructions; resume, artist statement, and references from similar projects regarding the creation and installation of public art. If the artist is deceased, the purchasing group works with the artist's Estate or representative to present appropriate number of images of the artist's work, a biographical sketch and resume, and information on the current location, cost of the work and installation instructions to the ad hoc committee. Open source applications: The application process for an open-source art project includes submitting a written description of the proposed project and the desired timeframe to be on view; visuals that clearly convey the proposed project; a summary of why this project is important for the University of Wyoming and how it addresses the public art plan; information about the artist(s) involved with the project, including bio/resume and samples of previous work; a detailed budget that outlines the total project costs, including maintenance; percentage of funds that have been raised, how much need to be raised, and all confirmed funding sources; a maintenance plan; the proposed site, if one has been predetermined; and the implementation timeline.
- **2.** Commissioning artworks: Calls for artists to apply for opportunities are posted widely through:
 - a. Request for qualifications (RFQ) where artists are invited to submit images, a resume, and a brief statement or letter of intent regarding their interest in and approach to the project.
 - **b.** Request for proposals (RFP) where artists are invited to submit conceptual proposals for works of art.
- 3. Invitational selection: A group of artists is invited to submit their qualifications and a panel selects from this group. The presentation may be assembled based on nominations from arts professionals, as well as curatorial input from the public art staff and Public Art Committee.
- **4. Direct selection**: In rare instances, an artist might be selected directly to create a proposal.
- 1. Open competition. Purchasers provide scope, description, budget, and schedule as well as installation timeline to the Selection Committee. Artists submit to the Selection Committee in response to a Call for Artwork:

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resume and list of works, images of their works of art, a statement of conceptual approach to the project/artist's statement, and a reference from a similar project regarding the creation and installation of public art.

2. Limited competition. Purchasers provide scope, description, budget, and schedule as well as installation timeline. The Selection Committee may invite a limited number of selected artists to compete. Invited artists must submit: resume and list of works, images of their works of art, a statement of conceptual approach to the project or artist's statement, and a reference from a similar project regarding the creation and installation of public art.

C.D. Selection Procedure

Within sixty (60) days, the The Artist Selection Committee reviews all proposals and selects a minimum of 3 finalists. Finalists are compensated for creating specific proposals which are presented in person in open forums. The Selection Committee selects their proposal the artist(s) and the project and submits it to the Public Art Committee, and, upon recommendation of the Committee, the UW President makes the final selection in consultation with the President of the Board of Trustees.

X.VII. CRITERIA FOR SELECTION

All public art or defined property shall be judged against the following criteria:

- A. Artistic Merit Considerations
- <u>A.</u> Enhances the physical and aesthetic environmentThe relationship of the proposed project to the University's public art vision and goals.
- **B.** The feasibility of implementing the project.
 - 1. The artist or entity proposing the artwork demonstrated they are capable of implementing the project.
 - 2. The proposed site is appropriate for the project and is related to the site's use and operations.
 - **3.** The implementation schedule is realistic.
 - **1.4.** The project complements other university; activities.
 - Instills a sense of quality through the civic spaces of the university;
 - 2. Enriches the cultural, intellectual, and scholarly life of UW;

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- 3. Inspires contemplation, reflection, and dialogue;
- 4. Preserves and acknowledges history, heritage and culture; and
- 5. Fosters university identity and enhances and balances existing works of art.

B. Physical Plant Considerations

- 1. Location and artwork or defined property avoids life, safety, or health risks;
- 2. Work contemplates a suitable location for infrastructure;

On-going

- 5. The project requires input from an architect, engineer, conservator, or other specialist.
- **6.** If the project needs to be reviewed by another government agency or other organizations, when and how the review will take place.
- **3.7.**The level of maintenance requirements; and required.
- 4. Work of art is consistent with UW's Long Range Development Plan (LRDP), existing area, landscaping, buildings, and view shed.

C. Constituent Input

- 1. Students and parents;
- 2. Faculty, staff, and administrators;
- 3. Donors and alumni; and
- 4. Community and policymakers.

D. Financing

- 1. Identified source of funds for acquisition or loans;
- 2. Identified source of funds for installation;
- 3. Identified source of funds for maintenance; and
- **4.** Identified source of funds for removal (if temporary).

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E. Considerations Related to Individual Artists

1. Regional, national or international accomplishments, ie professional artist who has garnered curatorial recognition, gallery representation, or other recognitions in the field;

Proven record of public art commissions / installations.

XI.VIII. EXEMPTIONS

This policy regulation shall not apply to the established academic programs in the UW Student Union Gallery, UW Art Museum, College or Department galleries, including exterior space of the Visual Arts building, or student exhibitions.

If a new University building project is state-funded, the University can select to opt in to the Wyoming Art in Public Buildings program and 1% of the total construction costs for the new building project (not to exceed \$100,000) will be used to acquire works of art for permanent installation at the project site pursuant to W.S. 16-6-802. The Public Art Committee, in consultation with the University's Facilities Construction Department, shall make a recommendation to the President on whether to opt in. The President, in consultation with the Facilities Contracting Committee of the Board of Trustees, shall make the final determination on whether to opt in to W.S. 16-6-802. If the University opts in to the state program, this regulation shall not apply.

XII.IX. DISCLAIMER

The University endeavors to maintain the public art per the original agreement but shall reserve the right to remove, reinstall, store, move, or dispose of the object at the discretion of the University and in discussion with the artist and his/her Estate. The University is obligated to retain the artwork in its original form and not alter, change, or otherwise reconfigure the work.

Responsible Division/Unit: Office of the President

Source: None

Links: http://www.uwyo.edu/regs-policies

Associated Regulations, Policies, and Forms: None

History:

UW Regulation 1-102(I)(M), Attachment C adopted Minutes of the Trustees, January 17, 2014

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UW Board of Trustees Report and Supplemental Materials - Public Session February 12, $2021\,$ Page $105\,$

Draft 11-9-20 FULL REDLINE

Moved to new UW Regulation 6-10 on 6/12/2019 Board of Trustees meeting (effective 7/1/2019)

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